

Edgar Filing: CAPE EDWARD G - Form 3

CAPE EDWARD G
Form 3
February 05, 2002
FORM 3

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person / 2. Date of Event Requiring / 4. Issuer Name and Ti
Statement (Month/Day/Year) /
CAPE, EDWARD G. / 12/31/01 /
(Last) (First) (Middle) / 3. IRS or Social Security / 5. Relationship of Re
Number of Reporting Person / to Issuer
c/o THERMOGENESIS CORP.
3146 Gold Camp Drive / (Voluntary) / (Check all applica
X Director --- 1
X Officer --- 0
(Street) / (give title(Specific
below)
EXECUTIVE VICE-PRESI
CORPORATE STRAT
Rancho Cordova, California 95670 /
(City) (State) (Zip) /

Table 1 - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4) / 2. Amount of Securities / 3. Ownership Form: D
Beneficially Owned / Indirect (I) (Inst
(Instr. 4) /

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. (Over)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price Derivative Security	5. Ownership Percentage Derivative Direct (D) Indirect (I) (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Purchase Common Stock	(1)	1/24/05	Common Stock	100,000
				\$1.57
				D

Explanation of Responses:

(1) 50,000 vested on January 24, 2002 and 50,000 shall vest on July 1, 2002.

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/ EDWARD G.  
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 EDWARD G. CAP

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, See Instruction 6 for procedure.