

UNITED TECHNOLOGIES CORP /DE/  
Form 3/A  
May 12, 2006

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â Brown William M  
(Last) (First) (Middle)

UNITED TECHNOLOGIES CORPORATION,Â ONE FINANCIAL PLAZA

(Street)

HARTFORD,Â CTÂ 06101

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)  
01/17/2006

3. Issuer Name and Ticker or Trading Symbol  
UNITED TECHNOLOGIES CORP /DE/ [UTX]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer  Other  
(give title below) (specify below)  
President, UTC Fire & Security

5. If Amendment, Date Original Filed(Month/Day/Year)

01/25/2006

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)

Common Stock

No Securities Owned

2. Amount of Securities Beneficially Owned (Instr. 4)

2,104.015

0

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

I

D

4. Nature of Indirect Beneficial Ownership (Instr. 5)

By Savings Plan Trustee

Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Non-Qualified Stock Option (right to buy)	07/01/2000	06/30/2007	Common Stock	22,000	\$ 20.9531	D	Â
Non-Qualified Stock Option (right to buy)	01/02/2001	01/01/2008	Common Stock	20,000	\$ 18.2812	D	Â
Non-Qualified Stock Option (right to buy)	01/02/2001	01/01/2008	Common Stock	6,000	\$ 18.2812	D	Â
Non-Qualified Stock Option (right to buy)	01/04/2002	01/03/2009	Common Stock	24,000	\$ 27	D	Â
Non-Qualified Stock Option (right to buy)	01/03/2003	01/02/2010	Common Stock	20,600	\$ 31.25	D	Â
Non-Qualified Stock Option (right to buy)	01/02/2004	01/01/2011	Common Stock	22,200	\$ 37.625	D	Â
Non-Qualified Stock Option (right to buy)	04/26/2004	04/25/2011	Common Stock	100,000	\$ 38.5	D	Â
Non-Qualified Stock Option (right to buy)	01/02/2005	01/01/2012	Common Stock	33,400	\$ 32.17	D	Â
Non-Qualified Stock Option (right to buy)	01/02/2006	01/01/2013	Common Stock	44,000	\$ 31.705	D	Â
Non-Qualified Stock Option (right to buy)	01/09/2007	01/08/2014	Common Stock	37,000	\$ 46.76	D	Â
Non-Qualified Stock Option (right to buy)	01/03/2008	01/02/2015	Common Stock	36,900	\$ 51.5	D	Â
Stock Appreciation Right	01/03/2009	01/02/2016	Common Stock	25,000	\$ 56.53	D <sup>(1)</sup>	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Brown William M UNITED TECHNOLOGIES CORPORATION ONE FINANCIAL PLAZA HARTFORD, CT 06101	Â	Â	Â President, UTC Fire & Security	Â

## Signatures

By: /s/ Charles F. Hildebrand as Attorney-in-Fact  
Date: 05/12/2006

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The following footnote was inadvertently not included on the initial filing: The report person also holds 7,600 performance share units (PSUs) under the UTC Long Term Incentive Plan. Each PSU has a value equal to one share of UTC common stock. These PSUs vest solely upon achievement of pre-established performance targets for UTC's earnings per share and total shareholder return over a three year performance period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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