

Edgar Filing: MADDEN STEVEN LTD - Form 4

MADDEN STEVEN LTD  
 Form 4  
 June 10, 2002

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 FORM 4  
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[ ] Check this box if no longer  
 subject to Section 16. Form 4  
 or Form 5 obligations may  
 continue. See Instruction 1(b)

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a)  
 Holding Company Act of 1935 or Section 30(f) of the Investment Company A

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|   |  |  |
|---|--|--|
| 1. Name and Address of Reporting Person*        | 2. Issuer Name and Ticker or Trading Symbol                      | 6. R<br>P<br>(                         |
| Dharia Arvind                                   | Steven Madden, Ltd.; SHOO  |  |
| (Last) (First) (Middle)                         | 3. IRS or Social Security Number of Reporting Person (Voluntary) | 4. Statement for Month/Year [X]<br>[X] |
| c/o Steven Madden, Ltd.<br>52-16 Barnett Avenue |  | 05/2002                                |
| (Street)  | 5. If Amendment, Date of Original (Month/Year)                   | 7. I<br>(c<br>[X]<br>[ ]               |
| Long Island City, NY 11104                      |  |  |
| (City) (State) (Zip)                            |  |  |

Table I - Non-Derivative Securities Acquired, Disposed of

| 1. Title of Security (Instr.3)                              | 2. Trans-<br>action<br>Date<br>(Month/<br>Day/Year) | 3. Trans-<br>action Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3,4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned at End<br>Month<br>(Instr. 3 and |
|---|---|--|--|--|
|   |   | Code                                   | V Amount (A) or (D) Price  |  |
| Common Stock, par value \$0.0001 per share ("Common Stock") | 05/06/02  | P                                      | 5,000 (1) (A) \$ 6.00  | 102,000 (24)   |
| Common Stock  | 05/06/02  | P                                      | 1,000 (2) (A) \$ 6.00  | 102,000 (24)   |
| Common Stock  | 05/06/02  | P                                      | 1,500 (3) (A) \$ 6.00  | 102,000 (24)   |
| Common Stock  | 05/06/02  | P                                      | 100 (4) (A) \$ 6.00  | 102,000 (24)   |

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|              |          |   |            |     |         |              |
|--------------|----------|---|------------|-----|---------|--------------|
| Common Stock | 05/06/02 | P | 100 (5)    | (A) | \$ 6.00 | 102,000 (24) |
| Common Stock | 05/06/02 | P | 100 (6)    | (A) | \$ 6.00 | 102,000 (24) |
| Common Stock | 05/06/02 | P | 200 (7)    | (A) | \$ 6.00 | 102,000 (24) |
| Common Stock | 05/06/02 | P | 300 (8)    | (A) | \$ 6.00 | 102,000 (24) |
| Common Stock | 05/06/02 | P | 300 (9)    | (A) | \$ 6.00 | 102,000 (24) |
| Common Stock | 05/06/02 | P | 1,000 (10) | (A) | \$ 6.00 | 102,000 (24) |
| Common Stock | 05/07/02 | P | 9,900 (11) | (A) | \$ 6.00 | 102,000 (24) |
| Common Stock | 05/07/02 | P | 5,000 (12) | (A) | \$ 6.00 | 102,000 (24) |
| Common Stock | 05/07/02 | P | 200 (13)   | (A) | \$ 6.00 | 102,000 (24) |
| Common Stock | 05/07/02 | P | 300 (14)   | (A) | \$ 6.00 | 102,000 (24) |
| Common Stock | 05/07/02 | P | 1,800 (15) | (A) | \$ 5.50 | 102,000 (24) |
| Common Stock | 05/07/02 | P | 100 (16)   | (A) | \$ 5.50 | 102,000 (24) |
| Common Stock | 05/07/02 | P | 200 (17)   | (A) | \$ 5.50 | 102,000 (24) |
| Common Stock | 05/08/02 | P | 200 (18)   | (A) | \$ 5.50 | 102,000 (24) |
| Common Stock | 05/08/02 | P | 4,700 (19) | (A) | \$ 5.50 | 102,000 (24) |
| Common Stock | 05/08/02 | P | 5,000 (20) | (A) | \$ 5.50 | 102,000 (24) |
| Common Stock | 05/08/02 | P | 2,000 (21) | (A) | \$ 5.50 | 102,000 (24) |
| Common Stock | 05/08/02 | P | 900 (22)   | (A) | \$ 5.50 | 102,000 (24) |
| Common Stock | 05/08/02 | P | 100 (23)   | (A) | \$ 5.50 | 102,000 (24) |
| Common Stock | 05/06/02 | S | 5,000      | (D) | \$19.64 | 102,000 (24) |
| Common Stock | 05/06/02 | S | 1,000      | (D) | \$19.55 | 102,000 (24) |
| Common Stock | 05/06/02 | S | 1,500      | (D) | \$19.52 | 102,000 (24) |
| Common Stock | 05/06/02 | S | 100        | (D) | \$19.55 | 102,000 (24) |
| Common Stock | 05/06/02 | S | 100        | (D) | \$19.53 | 102,000 (24) |
| Common Stock | 05/06/02 | S | 100        | (D) | \$19.51 | 102,000 (24) |
| Common Stock | 05/06/02 | S | 200        | (D) | \$19.59 | 102,000 (24) |
| Common Stock | 05/06/02 | S | 300        | (D) | \$19.54 | 102,000 (24) |

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|              |          |   |       |     |         |              |
|--------------|----------|---|-------|-----|---------|--------------|
| Common Stock | 05/06/02 | S | 300   | (D) | \$19.53 | 102,000 (24) |
| Common Stock | 05/06/02 | S | 1,000 | (D) | \$19.55 | 102,000 (24) |
| Common Stock | 05/07/02 | S | 9,900 | (D) | \$19.05 | 102,000 (24) |
| Common Stock | 05/07/02 | S | 5,000 | (D) | \$19.15 | 102,000 (24) |
| Common Stock | 05/07/02 | S | 200   | (D) | \$19.22 | 102,000 (24) |
| Common Stock | 05/07/02 | S | 300   | (D) | \$19.00 | 102,000 (24) |
| Common Stock | 05/07/02 | S | 1,800 | (D) | \$19.15 | 102,000 (24) |
| Common Stock | 05/07/02 | S | 100   | (D) | \$19.22 | 102,000 (24) |
| Common Stock | 05/07/02 | S | 200   | (D) | \$19.16 | 102,000 (24) |
| Common Stock | 05/08/02 | S | 200   | (D) | \$19.30 | 102,000 (24) |
| Common Stock | 05/08/02 | S | 4,700 | (D) | \$19.15 | 102,000 (24) |
| Common Stock | 05/08/02 | S | 5,000 | (D) | \$19.20 | 102,000 (24) |
| Common Stock | 05/08/02 | S | 2,000 | (D) | \$19.35 | 102,000 (24) |
| Common Stock | 05/08/02 | S | 900   | (D) | \$19.51 | 102,000 (24) |
| Common Stock | 05/08/02 | S | 100   | (D) | \$19.15 | 102,000 (24) |

FORM 4 (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(E.G., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/<br>Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>of (D)<br><br>(Instr. 3, 4,<br>and 5) |
|---|--|--|--------------------------------------|--|
|   |  |  | Code                                 | V (A) (D)  |



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had been previously granted to the Reporting Person.

(7) On May 6, 2002, the Reporting Person exercised options to purchase 200 shares of Common Stock at an exercise price of \$6.00 per share, which options had been previously granted to the Reporting Person.

(8) On May 6, 2002, the Reporting Person exercised options to purchase 300 shares of Common Stock at an exercise price of \$6.00 per share, which options had been previously granted to the Reporting Person.

(9) On May 6, 2002, the Reporting Person exercised options to purchase 300 shares of Common Stock at an exercise price of \$6.00 per share, which options had been previously granted to the Reporting Person.

(10) On May 6, 2002, the Reporting Person exercised options to purchase 1,000 shares of Common Stock at an exercise price of \$6.00 per share, which options had been previously granted to the Reporting Person.

(11) On May 7, 2002, the Reporting Person exercised options to purchase 9,900 shares of Common Stock at an exercise price of \$6.00 per share, which options had been previously granted to the Reporting Person.

(12) On May 7, 2002, the Reporting Person exercised options to purchase 5,000 shares of Common Stock at an exercise price of \$6.00 per share, which options had been previously granted to the Reporting Person.

(13) On May 7, 2002, the Reporting Person exercised options to purchase 200 shares of Common Stock at an exercise price of \$6.00 per share, which options had been previously granted to the Reporting Person.

(14) On May 7, 2002, the Reporting Person exercised options to purchase 300 shares of Common Stock at an exercise price of \$6.00 per share, which options had been previously granted to the Reporting Person.

(15) On May 7, 2002, the Reporting Person exercised options to purchase 1,800 shares of Common Stock at an exercise price of \$5.50 per share, which options had been previously granted to the Reporting Person.

(16) On May 7, 2002, the Reporting Person exercised options to purchase 100 shares of Common Stock at an exercise price of \$5.50 per share, which options had been previously granted to the Reporting Person.

(17) On May 7, 2002, the Reporting Person exercised options to purchase 200 shares of Common Stock at an exercise price of \$5.50 per share, which options had been previously granted to the Reporting Person.

(18) On May 8, 2002, the Reporting Person exercised options to purchase 200 shares of Common Stock at an exercise price of \$5.50 per share, which options had been previously granted to the Reporting Person.

(19) On May 8, 2002, the Reporting Person exercised options to purchase 4,700 shares of Common Stock at an exercise price of \$5.50 per share, which options had been previously granted to the Reporting Person.

(20) On May 8, 2002, the Reporting Person exercised options to purchase 5,000 shares of Common Stock at an exercise price of \$5.50 per share, which options had been previously granted to the Reporting Person.

(21) On May 8, 2002, the Reporting Person exercised options to purchase 2,000 shares of Common Stock at an exercise price of \$5.50 per share, which options had been previously granted to the Reporting Person.

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(22) On May 8, 2002, the Reporting Person exercised options to purchase 900 shares of Common Stock at an exercise price of \$5.50 per share, which options had been previously granted to the Reporting Person.

(23) On May 8, 2002, the Reporting Person exercised options to purchase 100 shares of Common Stock at an exercise price of \$5.50 per share, which options had been previously granted to the Reporting Person.

(24) As of May 31, 2002, the Reporting Person held options to purchase 102,000 shares of Common Stock.

/s/ ARVIND DHARIA

June 10, 2002

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\*\*Signature of Reporting Person

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Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.