Edgar Filing: CONCERO INC - Form 5

CONCERO INC

Form 5

February 14, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

- [] Check box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.
- [] Form 3 Holdings Reported
- [] Form 4 Transactions Reported
- Name and Address of Reporting Person(s) Velasquez, John M.
 6300 Bridgepoint Parkway Building One, Suite 500 Austin, TX 78730
- Issuer Name and Ticker or Trading Symbol Concero Inc. (CERO)
- 3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)
- 4. Statement for Month/Year 12/01
- 5. If Amendment, Date of Original (Month/Year)
- 6. Relationship of Reporting Person(s) to Issuer (Check all applicable)
 - [] Director [] 10% Owner
 - $[{\tt X}]$ Officer (give title below) $[\]$ Other (specify below)
 - Sr. VP Sales
- 7. Individual or Joint/Group Filing (Check Applicable Line)
 - [X] Form filed by One Reporting Person
 - [] Form filed by More than One Reporting Person

Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Price of

Derivative Security

| 1)Title of Security | | 2)Trans- action Date (Month/ | | | 4.Securities Acquired(A) or Disposed of (D) A or | |
|-----------------------------------|--------------------------|---------------------------------------|-----------|----------------|--|---------------------------|
| | | Day/Year) | Code | Amount | D | Price |
| | | | | | | |
| Common Stock | | 04/30/01 | J | 500 | Α | \$1.0625 |
| Common Stock | | 10/31/01 | J | 500 | А | \$0.3740 |
| Table II (PART 1) Derivative | Securitites Acq | uired, Dispo | sed of, o | r Beneficially | Own | ed (Columns |
| 1)Title of Derivative Security | 2)Conversion or Exercise | 3)Trans- action | , | • | | Derivative cquired (A) |

Date

1

D

Code or Disposed of (D)

A

Code

Edgar Filing: CONCERO INC - Form 5

| Incentive Stock Option (right | \$3.0630 | | | |
|-------------------------------|----------|----------|---|--------|
| to buy) | | | | |
| Non-Qualified Stock Option | \$0.6000 | 08/15/01 | A | 50,000 |
| (right to buy) | | | | |
| Non-Qualified Stock Option | \$1.0100 | 04/23/01 | A | 19,355 |
| (right to buy) | | | | |
| Non-Qualified Stock Option | \$2.2500 | 02/01/01 | A | 20,000 |
| (right to buy) | | | | |
| Non-Qualified Stock Option | \$9.6250 | | | |
| (right to buy) | | | | |

| Table II (PART 2) | Derivative Securitites | s Acquired, Disposed of | , or Beneficially Owned | (Columns |
|-------------------|------------------------|-------------------------|-------------------------|----------|
| | | | | |

| 1)Title of Derivative Security | • | 7)Title and Amount of Underlying Securities Title | Amount or Number of Shares | 8)Price of Deri- vative Security |
|--|----------|---|----------------------------------|---|
| | | | | |
| <pre>Incentive Stock Option (right to buy)</pre> | | Common Stock | 150,000 | |
| Non-Qualified Stock Option (right to buy) | 08/15/01 | Common Stock | 50,000 | \$0.6000 |
| Non-Qualified Stock Option (right to buy) | 04/23/01 | Common Stock | 19,355 | \$1.0100 |
| Non-Qualified Stock Option (right to buy) | 02/01/01 | Common Stock | 20,000 | \$2.2500 |
| Non-Qualified Stock Option (right to buy) | | Common Stock | 25,000 | |

Explanation of Responses:

- (1) Options are exercisable in two (2) equal annual installments beginning August 15, 2002.
- (2) Options are exercisable in two (2) equal annual installments beginning April 23, 2002.
- (3) Options are exercisable in four (4) equal annual installments beginning February 1, 2002.

SIGNATURE OF REPORTING PERSON /S/ Velasquez, John M.
DATE: February 11, 2002