

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

ALLIANT ENERGY CORP  
Form U-9C-3  
March 31, 2003

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM U-9C-3

QUARTERLY REPORT

For the quarter ended December 31, 2002

Filed Pursuant to Rule 58 of the Public Utility Holding Company  
Act of 1935

ALLIANT ENERGY CORPORATION  
4902 N. Biltmore Lane  
Madison, Wisconsin 53718-2132  
608-458-3311

ALLIANT ENERGY CORPORATION

FORM U-9C-3

For the Quarter Ended December 31, 2002

CONTENTS

ITEM 1 - Organization Chart

ITEM 2 - Issuances and Renewals of Securities and Capital Contributions

ITEM 3 - Associate Transactions

ITEM 4 - Summary of Aggregate Investment

ITEM 5 - Other Investments

ITEM 6 - Financial Statements and Exhibits

SIGNATURES

EXHIBIT A

ITEM 1 - ORGANIZATION CHART

Omitted for the fourth quarter pursuant to instructions for "Item 1."

ITEM 2 - ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTIONS:  
Investment level at December 31, 2002

Company Issuing Security	Type of Security Issued	Principal Amount of Security (in thousands)	
ADI Thermal Power, Corp.	Common stock	\$200	Alliant E
Alliant Energy Desdemona, LP	Partnership capital	\$1,569	Industria
	Partnership capital	\$1	Alliant E
Alliant Energy Integrated Services - Energy Management LLC	Money pool borrowings	\$9,605	Alliant E
Alliant Energy Integrated Services - Energy Solutions LLC	Money pool borrowings	\$4,729	Alliant E
Alliant South Texas Pipeline, LP	Partnership capital	\$6,140	Industria
	Partnership capital	\$9	Alliant E
American Superconductor Corporation	Common stock	\$963	Alliant E
AnAerobics, Inc.	Preferred stock	\$1,333	Alliant E
AstroPower, Inc.	Common stock	\$497	Alliant E
BFC Gas Company LC	Capital	\$1,988	Industria
CellTech Power, Inc.	Series A preferred stock	\$252	Alliant E
Cogenex Corporation (Incl. subsidiaries)	Money pool borrowings	\$72,394	Alliant E
Dais Analytic Corporation	Common stock	\$90	Wisconsin
Distribution Vision 2010 LLC	Capital	\$50	Alliant E

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

Energys, Inc.	Common stock	\$8,746	Industria
Energy Performance Services, Inc.	Money pool borrowings	\$18,042	Alliant E
Heartland Energy Group, Inc.	Common stock	\$5,011	Alliant E
	Money pool borrowings	\$22,590	Alliant E
Industrial Energy Applications, Inc.	Common stock	\$21,060	Alliant E
	Money pool borrowings	\$21,306	Alliant E
New River Synfuel LLC	Capital	\$10,566	Alpha Syn
NG Energy Trading, LLC	Capital	\$2,250	Heartland
Nth Power Technologies Fund II, LP	Partnership capital	\$6,000	Alliant E
Oak Hill Pipeline LP	Partnership capital	\$1,903	Industria
Proton Energy Systems, Inc.	Common stock	\$499	Alliant E
	Common stock	\$22	AER Holdi
ReGENco LLC	Class A units	\$1,083	Heartland
	Class B units	\$667	Heartland
RMT, Inc. (Incl. subsidiaries)	Common stock	\$11,822	Alliant E
SmartEnergy, Inc.	Common stock	\$15,000	Alliant E
	Bridge loan	\$21,320	Alliant E
STM Power, Inc.	Preferred stock	\$2,500	Alliant E
TRANSLink Development Company	Capital	\$288	Alliant E
	Loan	\$419	Alliant E
Williams Bulk Transfer Inc.	Common stock	\$1	Alliant E
	Money pool borrowings	\$4,543	Alliant E

(1) Associate companies.

3

ITEM 3 - ASSOCIATE TRANSACTIONS

For the Quarter Ended December 31, 2002

Part I -- Transactions performed by reporting companies on behalf of associate companies (a):

Reporting Company Rendering Services	Associate Company Receiving Services	Types of Services Rendered	Direct Costs Charged (in thousands)	Indirect Costs Charged (in thousa
RMT	WP&L	Environmental consulting	\$473	\$-
RMT	IEA	Environmental consulting	56	-
RMT	IP&L	Environmental consulting	219	-
RMT	Resources	Environmental consulting	35	-
RMT	Barge	Environmental consulting	1	-
RMT	AE Generation	Environmental consulting	14	-
ReGENco	WP&L	Integrated turbine & generator services	340	-

Part II -- Transactions performed by associate companies on behalf of reporting companies (a):

Associate Company	Reporting Company	Types of	Direct Costs	Indirect Costs
-------------------	-------------------	----------	--------------	----------------

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

Rendering Services	Receiving Services	Services Rendered	Charged (in thousands)	Charged (in thousands)
RMT	IEA	Environmental consulting	\$56	\$-

(a) The following abbreviations were used:

Abbreviation	Legal Name	Abbreviation	Legal Name
AE Generation Barge	Alliant Energy Generation, Inc.	ReGENco Resources	ReGENco LLC Alliant Energy
IEA	IEI Barge Services, Inc.	RMT	RMT, Inc.
IP&L	Industrial Energy Applications, Inc.	WP&L	Wisconsin Power & Light
	Interstate Power and Light Company		

4

ITEM 4 - SUMMARY OF AGGREGATE INVESTMENT  
(Dollars in thousands)

Investments in energy-related companies:

Total consolidated capitalization as of December 31, 2002 (a)	\$5,089,
Total capitalization multiplied by 15% (Line 1 multiplied by 0.15)	763,
Greater of \$50 million or total capitalization multiplied by 15% (Line 2)	763,
Total current aggregate investment subsequent to April 21, 1998 (categorized by major line of energy-related business):	
Energy-related business category i	113,
Energy-related business category ii	15,
Energy-related business category iii	
Energy-related business category iv	
Energy-related business category v	62,
Energy-related business category vi	19,
Energy-related business category vii	3,
Energy-related business category viii	240,
Energy-related business category ix	14,
Energy-related business category x	
Total current aggregate investment	468,
Difference between the greater of \$50 million or 15% of capitalization and the total aggregate investment of the registered holding company system (Line 3 less Line 4)	\$294,

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

Investments in gas-related companies:

-----  
 Total current aggregate investment (categorized by major line of gas-related business):

Gas-related business category i	\$-
Gas-related business category ii	-
	-----
Total current aggregate investment	\$-

-----  
 (a) Includes common equity, cumulative preferred stock of subsidiaries, long-term debt, current maturities and sinking funds and short-term debt (variable rate demand bonds, commercial paper and other short-term borrowings).

(b) Represents \$156,199,000 of payments and \$84,034,000 of commitments for future payments required to purchase generation equipment that Alliant Energy intends to use to develop one or more qualifying facilities.

5

ITEM 5 - OTHER INVESTMENTS  
 (Dollars in thousands)

Major Line Of Energy-Related Business	Other Investment In Last U-9C-3 Report	Other Investment In This U-9C-3 Report	Reason In
Energy-related business category i* Henwood Energy Services, Inc.	\$1,342	\$0	In the fourth quarter, Henwood Energy Services, Inc. sold their interest in Henwood Energy Services, Inc.
Industrial Energy Applications, Inc.	\$47,133	\$42,365	In the fourth quarter, Industrial Energy Applications, Inc. repaid borrowings to Alliant Energy.
Energy-related business category v* Heartland Energy Group, Inc.	\$3,289	\$3,289	No change.
Energy-related business category vi* BFC Gas Company LC	\$2,109	\$1,988	In the fourth quarter, BFC Gas Company LC made a distribution to its partners, Industrial Energy Applications, Inc. and Alliant Energy.
Energy-related business category vii* RMT, Inc. (including subsidiaries)	\$11,822	\$11,822	No change.
Energy-related business category ix* Oak Hill Pipeline LP	\$2,279	\$1,903	In the fourth quarter, Oak Hill Pipeline LP repaid borrowings to Alliant Energy.

\* Item 5 includes aggregate investments that were invested or committed to be invested in energy-related companies, prior to the date Rule 58 was effective for Alliant Energy Corporation (April 21, 1998 - Date Alliant Energy Corporation became a registered holding company), for which there is recourse, directly or indirectly, to Alliant Energy Corporation or any subsidiary thereof.

ITEM 6 - FINANCIAL STATEMENTS AND EXHIBITS

List all financial statements and exhibits filed as a part of this report.

A. FINANCIAL STATEMENTS:

Omitted for the fourth quarter pursuant to instructions for "Item 6."

B. EXHIBITS:

- 1. Exhibit A - Certificate of Alliant Energy Corporation
- 2. Copies of contracts required by Item 3:  
None

SIGNATURES

Pursuant to the requirements of the Public Utility Holding Company Act of 1935, Alliant Energy Corporation has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized on the 31st day of March 2003.

ALLIANT ENERGY CORPORATION

-----  
Registrant

By: /s/ John E. Kratchmer  
-----  
John E. Kratchmer

Vice President-Controller and Chief Accounting Officer  
(Principal Accounting Officer)

Exhibit A

ALLIANT ENERGY CORPORATION  
4902 N. Biltmore Lane, Madison, Wisconsin 53718-2132

CERTIFICATE

Pursuant to the requirements of Rule 58 of the Public Utility Holding Company Act of 1935, the undersigned certifies that a conformed copy of the quarterly report on Form U-9C-3 shall be filed with the interested state commissions

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

promptly after filing with the SEC. The names and addresses of each state commission having jurisdiction over the retail rates of the public utility companies that are associate companies of any of the reporting companies are:

Iowa Utilities Board  
350 Maple Street  
Des Moines, Iowa 50319-0069

Illinois Commerce Commission  
527 E. Capitol Avenue  
Springfield, Illinois 62701

Minnesota Public Utilities Commission  
121 7th Place E. Suite 350  
St. Paul, MN 55101-2147

Public Service Commission of Wisconsin  
610 North Whitney Way, P.O. Box 7854  
Madison, Wisconsin 53707-7854

ALLIANT ENERGY CORPORATION

-----  
Registrant

By: /s/ John E. Kratchmer  
-----  
John E. Kratchmer

Vice President-Controller and Chief Accounting Officer  
(Principal Accounting Officer)