

Behring Alexandre  
Form 4  
November 08, 2010

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Behring Alexandre

(Last) (First) (Middle)

600 THIRD AVENUE, 37TH FLOOR

(Street)

NEW YORK, NY 10016

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
CSX CORP [CSX]

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/04/2010

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |   |        | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |            |                  |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--------|---|--|---|------------|------------------|
|                                 |                                      |  |                                | Code  | V | Amount |   |  |   | (A) or (D) | Price            |
| Common Stock, \$1.00 par value  | 11/05/2010                           |  | J(1)(2)(4)                     |   |   | 4,700  | A   | \$ 62.33   | 0   | I          | See Footnote (1) |
| Common Stock, \$1.00 par value  | 11/05/2010                           |  | J(1)(2)(4)                     |   |   | 6,654  | A   | \$ 62.34   | 0   | I          | See Footnote (1) |
| Common Stock, \$1.00 par value  | 11/05/2010                           |  | J(1)(2)(4)                     |   |   | 15,856 | A   | \$ 62.35   | 0   | I          | See Footnote (1) |

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|                                |            |                   |         |   |          |   |   |                  |
|--------------------------------|------------|-------------------|---------|---|----------|---|---|------------------|
| Common Stock, \$1.00 par value | 11/05/2010 | <u>J(1)(2)(4)</u> | 8,900   | A | \$ 62.36 | 0 | I | See Footnote (1) |
| Common Stock, \$1.00 par value | 11/05/2010 | <u>J(1)(2)(4)</u> | 12,700  | A | \$ 62.37 | 0 | I | See Footnote (1) |
| Common Stock, \$1.00 par value | 11/05/2010 | <u>J(1)(2)(4)</u> | 15,645  | A | \$ 62.38 | 0 | I | See Footnote (1) |
| Common Stock, \$1.00 par value | 11/05/2010 | <u>J(1)(2)(4)</u> | 9,759   | A | \$ 62.39 | 0 | I | See Footnote (1) |
| Common Stock, \$1.00 par value | 11/05/2010 | <u>J(1)(2)(4)</u> | 6,647   | A | \$ 62.4  | 0 | I | See Footnote (1) |
| Common Stock, \$1.00 par value | 11/05/2010 | <u>J(1)(2)(4)</u> | 33,134  | A | \$ 62.41 | 0 | I | See Footnote (1) |
| Common Stock, \$1.00 par value | 11/05/2010 | <u>J(1)(2)(4)</u> | 34,775  | A | \$ 62.42 | 0 | I | See Footnote (1) |
| Common Stock, \$1.00 par value | 11/05/2010 | <u>J(1)(2)(4)</u> | 53,377  | A | \$ 62.43 | 0 | I | See Footnote (1) |
| Common Stock, \$1.00 par value | 11/05/2010 | <u>J(1)(2)(4)</u> | 111,948 | A | \$ 62.44 | 0 | I | See Footnote (1) |
| Common Stock, \$1.00 par value | 11/05/2010 | <u>J(1)(2)(4)</u> | 63,880  | A | \$ 62.45 | 0 | I | See Footnote (1) |
| Common Stock, \$1.00 par value | 11/05/2010 | <u>J(1)(2)(4)</u> | 89,259  | A | \$ 62.46 | 0 | I | See Footnote (1) |
|                                | 11/05/2010 | <u>J(1)(2)(4)</u> | 20,442  | A |          | 0 | I |                  |

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|                                |            |                   |        |   |          |   |  |   |                  |
|--------------------------------|------------|-------------------|--------|---|----------|---|--|---|------------------|
| Common Stock, \$1.00 par value |            |                   |        |   | \$ 62.47 |   |  |   | See Footnote (1) |
| Common Stock, \$1.00 par value | 11/05/2010 | <u>J(1)(2)(4)</u> | 17,900 | A | \$ 62.48 | 0 |  | I | See Footnote (1) |
| Common Stock, \$1.00 par value | 11/05/2010 | <u>J(1)(2)(4)</u> | 17,482 | A | \$ 62.49 | 0 |  | I | See Footnote (1) |
| Common Stock, \$1.00 par value | 11/05/2010 | <u>J(1)(2)(4)</u> | 37,519 | A | \$ 62.5  | 0 |  | I | See Footnote (1) |
| Common Stock, \$1.00 par value | 11/05/2010 | <u>J(1)(2)(4)</u> | 88,240 | A | \$ 62.51 | 0 |  | I | See Footnote (1) |
| Common Stock, \$1.00 par value | 11/05/2010 | <u>J(1)(2)(4)</u> | 30,700 | A | \$ 62.52 | 0 |  | I | See Footnote (1) |
| Common Stock, \$1.00 par value | 11/05/2010 | <u>J(1)(2)(4)</u> | 9,400  | A | \$ 62.53 | 0 |  | I | See Footnote (1) |
| Common Stock, \$1.00 par value | 11/05/2010 | <u>J(1)(2)(4)</u> | 3,381  | A | \$ 62.54 | 0 |  | I | See Footnote (1) |
| Common Stock, \$1.00 par value | 11/05/2010 | <u>J(1)(2)(4)</u> | 7,619  | A | \$ 62.55 | 0 |  | I | See Footnote (1) |
| Common Stock, \$1.00 par value | 11/05/2010 | <u>J(1)(2)(4)</u> | 7,200  | A | \$ 62.56 | 0 |  | I | See Footnote (1) |
| Common Stock, \$1.00 par value | 11/05/2010 | <u>J(1)(2)(4)</u> | 2,410  | A | \$ 62.57 | 0 |  | I | See Footnote (1) |
|                                | 11/05/2010 | <u>J(1)(2)(4)</u> | 2,531  | A |          | 0 |  | I |                  |

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|                                |            |  |                   |       |          |            |  |   |                  |
|--------------------------------|------------|--|-------------------|-------|----------|------------|--|---|------------------|
| Common Stock, \$1.00 par value |            |  |                   |       | \$ 62.58 |            |  |   | See Footnote (1) |
| Common Stock, \$1.00 par value | 11/05/2010 |  | <u>J(1)(2)(4)</u> | 2,690 | A        | \$ 62.59 0 |  | I | See Footnote (1) |
| Common Stock, \$1.00 par value | 11/05/2010 |  | <u>J(1)(2)(4)</u> | 1,400 | A        | \$ 62.6 0  |  | I | See Footnote (1) |
| Common Stock, \$1.00 par value | 11/05/2010 |  | <u>J(1)(2)(4)</u> | 3,300 | A        | \$ 62.61 0 |  | I | See Footnote (1) |
| Common Stock, \$1.00 par value | 11/05/2010 |  | <u>J(1)(2)(4)</u> | 200   | A        | \$ 62.62 0 |  | I | See Footnote (1) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|  |  |                                      |  |                                |   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares                                       |
|  |  |                                      |  |                                |   | Code   | V   | (A)  | (D)  |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| Behring Alexandre<br>600 THIRD AVENUE<br>37TH FLOOR<br>NEW YORK, NY 10016 | X             |           |         |       |

## Signatures

|                                    |            |
|------------------------------------|------------|
| /s/ Alexandre<br>Behring           | 11/08/2010 |
| **Signature of<br>Reporting Person | Date       |

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).  
 3G Capital Partners Ltd. ("3G Capital Ltd.") is the general partner of 3G Capital Partners, L.P. ("3G Capital L.P."), which is the sole member of 3G Fund Partners, Ltd. ("3G Fund"), which is the general partner of 3G Fund (3G Fund together with 3G Capital Ltd. and 3G Capital L.P., "3G Capital"). Mr. Behring is the Managing Director of 3G Capital Ltd. and is therefore in a position to determine the
  - (1) investment and voting decisions made by 3G Fund. Mr. Behring disclaims beneficial ownership of any and all securities held by 3G Fund except to the extent of his pecuniary interest in 3G Fund. This report shall not be deemed an admission that Mr. Behring is the beneficial owner of any securities held by 3G Fund for purposes of Section 16 of the Securities and Exchange Act of 1934, as amended, or for any other purpose.
  - (2) 3G Fund closed out of its position in the securities of CSX Corporation through the transactions disclosed in this report.
  - (4) Open market trade.

### Remarks:

Two of three filings. Footnote (3) "Block trade with J.P. Morgan Securities LLC." omitted, as it is not referenced.

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