

ENVESTNET, INC.  
Form 4  
October 15, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
O'Brien Shelly

(Last) (First) (Middle)

35 EAST WACKER DRIVE, SUITE 2400

(Street)

CHICAGO, IL 60601

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
ENVESTNET, INC. [ENV]

3. Date of Earliest Transaction (Month/Day/Year)  
10/11/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
General Counsel

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	10/11/2013		M	5,000 (5) A \$ 7.5	5,000	D	
Common Stock	10/11/2013		S	5,000 (5) D \$ 29.25	0	D	
Common Stock	10/11/2013		M	5,000 (5) A \$ 5	5,000	D	
Common Stock	10/11/2013		S	5,000 (5) D \$ 29.25	0	D	
Common Stock	10/11/2013		M	8,597 (5) A \$ 7.5	8,597	D	

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Common Stock	10/11/2013	S	8,597 (5)	D	\$ 29.25	0	D
Common Stock	10/11/2013	M	1,403 (5)	A	\$ 0.11	1,403	D
Common Stock	10/11/2013	S	1,403 (5)	D	\$ 29.25	0	D
Common Stock						442	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Employee Stock Option (Right to Buy) <sup>(1)</sup>	\$ 7.5	10/11/2013		M	5,000	<sup>(1)</sup> 11/14/2015	Common Stock	5,000
Employee Stock Option (Right to Buy) <sup>(2)</sup>	\$ 5	10/11/2013		M	5,000	<sup>(2)</sup> 11/14/2015	Common Stock	5,000
Employee Stock Option (Right to Buy) <sup>(4)</sup>	\$ 7.5	10/11/2013		M	8,597	<sup>(3)</sup> 04/26/2017	Common Stock	8,597
Employee Stock	\$ 0.11	10/11/2013		M	1,403	<sup>(4)</sup> 04/26/2017	Common Stock	1,403

Option  
(Right to  
Buy) <sup>(5)</sup>

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
O'Brien Shelly 35 EAST WACKER DRIVE SUITE 2400 CHICAGO, IL 60601			General Counsel	

## Signatures

/s/ Shelly  
O'Brien

10/15/2013

    Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) A total of 5,000 options were granted on 11/14/2005. All options were vested and exercisable as of 11/14/2007.
- (2) A total of 5,000 options were granted on 11/14/2005. All options were vested and exercisable as of 11/14/2007.
- (3) A total of 80,000 options were granted on 4/26/2007. All options were vested and exercisable as of 4/26/2010.
- (4) A total of 1,403 options were granted on 4/26/2007. All options were vested and exercisable as of 4/26/2010.
- (5) Option exercise and sale pursuant to Issuer Secondary Offering.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.