

MKS INSTRUMENTS INC

Form 4

August 05, 2008

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
BERLINGHIERI LEO

(Last) (First) (Middle)

2 TECH DRIVE

(Street)

ANDOVER, MA 01810

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

MKS INSTRUMENTS INC [MKSI]

3. Date of Earliest Transaction
(Month/Day/Year)

08/04/2008

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

President and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	08/04/2008		F ⁽⁸⁾		15,657	D	\$ 20.81
					54,353	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
required to respond unless the form
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SEC 1474
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)**

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Stock Option (Right to Buy)	\$ 29.93					01/05/2005 ⁽¹⁾ 01/06/2014	Common Stock 15,000
Stock Option (Right to Buy)	\$ 27.11					11/11/2004 ⁽²⁾ 11/11/2013	Common Stock 35,000
Stock Option (Right to Buy)	\$ 23.5					01/30/2003 ⁽²⁾ 01/30/2012	Common Stock 30,000
Stock Option (Right to Buy)	\$ 17.25					03/21/2002 ⁽²⁾ 03/21/2011	Common Stock 30,000
Stock Option (Right to Buy)	\$ 18.44					06/24/2003 ⁽¹⁾ 06/24/2012	Common Stock 466
Stock Option (Right to Buy)	\$ 14.72					07/30/2005 ⁽²⁾ 07/30/2014	Common Stock 50,000
Stock Option (Right to Buy)	\$ 32					01/04/2001 ⁽²⁾ 01/04/2010	Common Stock 11,000
Stock Option (Right to Buy)	\$ 24.5					11/14/2001 ⁽³⁾ 11/14/2011	Common Stock 125,000
Stock Option	\$ 16.88					11/22/2003 ⁽²⁾ 11/22/2012	Common Stock 30,000

(Right to
Buy)

Stock

Option
(Right to
Buy)

\$ 20.02

10/15/2002⁽⁴⁾

10/15/2011

Common
Stock

880

Restricted
Stock Unit⁽⁵⁾ ⁽⁷⁾⁽⁶⁾⁽⁶⁾Common
Stock

74,288

Stock

Option
(Right to
Buy)

\$ 17.6

07/01/2004⁽²⁾

07/01/2013

Common
Stock

50,000

Stock

Option
(Right to
Buy)

\$ 12.97

02/07/2004⁽¹⁾

02/07/2013

Common
Stock

482

Stock

Option
(Right to
Buy)

\$ 17.6

07/01/2004⁽¹⁾

07/01/2013

Common
Stock

375

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BERLINGHIERI LEO 2 TECH DRIVE ANDOVER, MA 01810	X		President and CEO	

Signatures

/s/ Joseph M. Tocci
/ POA

08/05/2008

**Signature of Reporting
Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Vests 100% one year after grant

(2) 25% after first year, 6.25% each quarter thereafter - total 4 years.

(3) This option grant vests upon issue.

(4) Vests as follows: 33 1/3 after the first year and each successive 12 month period thereafter.

(5) Each restricted stock unit represents the right to receive one share of common stock of MKS Instruments, Inc.

(6) One half of RSUs shall vest in equal annual installments over three years, and the other half shall be subject to the achievement of performance criteria, and thereafter shall vest in equal annual installments over three years.

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(7) Each restricted stock unit represents the contingent right to receive one share of common stock of MKS Instruments, Inc.

(8) Disposition made pursuant to terms of grant in order to satisfy tax withholding obligations.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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