

UMB FINANCIAL CORP
Form 3
February 06, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *			2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Â Fischer Anthony J			(Month/Day/Year)	UMB FINANCIAL CORP [UMBF]	
(Last)	(First)	(Middle)	01/27/2015	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
235 GALENA STREET				(Check all applicable)	
(Street)				____ Director	____ 10% Owner
MILWAUKEE,Â WIÂ 53212-3948				<u> X </u> Officer	____ Other
(City)	(State)	(Zip)		(give title below) (specify below)	President UMB Fund Services
6. Individual or Joint/Group Filing(Check Applicable Line)					
<u> X </u> Form filed by One Reporting Person					
____ Form filed by More than One Reporting Person					

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	2,095.817	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

						(I) (Instr. 5)	
Stock Option (Right to Buy)	01/01/2012 ⁽¹⁾	01/01/2019	Common Stock	143	\$ 41.37	D	Â
Stock Option (Right to Buy)	01/01/2013 ⁽²⁾	01/01/2020	Common Stock	150	\$ 37.84	D	Â
Stock Option (Right to Buy)	01/01/2014 ⁽³⁾	02/18/2021	Common Stock	141	\$ 41.71	D	Â
Stock Option (Right to Buy)	01/01/2015 ⁽⁴⁾	01/01/2022	Common Stock	184	\$ 39.97	D	Â
Stock Option (Right to Buy)	01/01/2016	01/01/2023	Common Stock	673	\$ 45.58	D	Â
Stock Option (Right to Buy)	01/01/2017 ⁽⁵⁾	01/01/2024	Common Stock	633	\$ 57.4	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Fischer Anthony J 235 GALENA STREET MILWAUKEE, WI 53212-3948	Â	Â	Â President UMB Fund Services	Â

Signatures

/s/ John C. Pauls Attorney-in-fact for Mr. Fischer 02/06/2015

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Options will vest 50% on 1/1/2012, 75% on 1/1/2013, and 100% on 1/1/2014

(2) Options will vest 50% on 1/1/2013; 75% on 1/1/2014; and 100% on 1/1/2015

(3) Options will vest 50% on 1/1/2014, 75% on 1/1/2015 and 100% on 1/1/2015

(4) Options will vest 50% on 1/1/2015, 75% on 1/1/2016 and 100% on 1/1/2017.

(5) Options vest 50% on 1/1/2017, 75% on 1/1/2018, and 100% on 1/1/2019

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.