

ERICKSON INC.
Form 4
May 05, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
PIERSON BRIAN

(Last) (First) (Middle)

C/O ERICKSON
INCORPORATED, 5550 SW
MACADAM AVENUE, SUITE 200

(Street)

PORTLAND, OR 97239

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ERICKSON INC. [EAC]

3. Date of Earliest Transaction
(Month/Day/Year)
04/22/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)
VP, Global Defense & Security

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)		
				(A) or (D)	Code	V	Amount	(D)	Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transaction	5. Number of Derivative	6. Date Exercisable and Expiration Date	7. Title and Amount Underlying Securities
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Security (Instr. 3)	or Exercise Price of Derivative Security	any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year)	(Instr. 3 and 4)		
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Appreciation Right	(1)	04/22/2016	A		(3)	04/22/2026	Common Stock	52,000
Stock Option (Right to Buy)	(2)	04/22/2016	A		(3)	04/22/2026	Common Stock	17,500

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

PIERSON BRIAN
 C/O ERICKSON INCORPORATED
 5550 SW MACADAM AVENUE, SUITE 200
 PORTLAND, OR 97239

VP, Global Defense & Security

Signatures

/s/ Brian Pierson by Nichole Tennyson,
 Attorney-in-Fact

05/05/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person received a Stock Appreciation Rights Award (SAR) granted under the Company's 2012 Long-Term Incentive Plan.
- (1) One-third (1/3) of such SAR shall have an exercise price of \$1.54, One-third (1/3) of such SAR shall have an exercise price of \$4.04, and One-third (1/3) of such SAR shall have an exercise price of \$6.54.
 - (2) The reporting person received a Nonqualified Stock Option granted under the Company's 2012 Long-Term Incentive Plan. One-third (1/3) of such stock option shall have an exercise price of \$1.54, One-third (1/3) of such stock option shall have an exercise price of \$4.04, and One-third (1/3) of such stock option shall have an exercise price of \$6.54.
 - (3) These SAR and stock options shall vest as to 20% on each of April 22, 2017, April 22, 2018, April 22, 2019, April 22, 2020, and April 22, 2021, subject to the reporting person's continuous service to the issuer through each such date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.