

Edgar Filing: WESTWOOD HOLDINGS GROUP INC - Form 4

WESTWOOD HOLDINGS GROUP INC

Form 4

November 21, 2002

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

☐ Check box if no longer subject to Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).

1. Name and Address of Reporting Person*

Mosle, Jon L., Jr.

(Last)

(First)

(Middle)

300 Crescent Court, Suite 1300

(Street)

Dallas, Texas 75201

(City)

(State)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

Westwood Holdings Group, Inc. ("WHG")

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Year

11/19/02

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer
(Check all applicable)

☒ Director

☐ 10% Owner

☐ Officer (give title below)

☐ Other (specify below)

7. Individual or Joint/Group Filing (Check applicable line)

☒ Form filed by one Reporting Person

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[] Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

6.	5.	Owner-	4.
or	Amount of	ship	Securities Acquired (A)
Securities	Form:	7.	3. Disposed of (D)
Beneficially	Direct	Nature of	Transaction (Instr. 3, 4 and 5)
----- Owned at End	(D) or	Indirect	Code
1. of Month	Indirect	Beneficial	2. Transaction (Instr. 8)
Title of Security	(Instr. 3)	(I)	Date
Price (Instr. 3)	(Instr. 3)	Ownership	(mm/dd/yy)
and 4)	(Instr. 4)	(Instr. 4)	Code V
Common Stock			11/19/02
			G
			23,074 (1)
			D
Common Stock			

* If the Form is filed by more than one Reporting Person, see Instruction 4(b) (v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Response)

(Over)

FORM 4 (continued)

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Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

=====										
10.										
9.	Owner-									
Number	ship									
of	Form									
Deriv-	of	2.								
ative	Deriv-	11.	Conver-				5.			
Amount		Secur-	sion	ative	Nature					
Underlying	8.	ities	Secur-	of		Derivative	6.			
Price	Bene-	ity:	In-	Trans-		Securities	Date			
and 4)	of	ficially	Direct	direct		Acquired (A)	Exercisable and			
-----	Deriv-	Owned	(D) or	Bene-		or Disposed	Expiration Date			
1.		of	action	Code		of (D)	(Month/Day/Year)			
Amount	ative	at End	In-	ficial		(Instr. 3,	-----			
Title of	Secur-	Deriv-	Date	(Instr.		4 and 5)	Date	Expira-		
Derivative		ative	(Month/	8)			Date	Expira-		
Number	ity	Month	(I)	ship		-----	Exer-	tion		
Security		Secur-	Day/	-----						
of	(Instr.	(Instr.	(Instr.	(Instr.						
(Instr. 3)		ity	Year)	Code V		(A)	(D)	cisable	Date	Title
Shares	5)	4)	4)	4)						

=====										
=====										

Explanation of Responses:

(1) Represents transfer of shares to wife

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/s/ William R. Hardcastle, Jr.

11/21/02

**Signature of Reporting Person
as Attorney-in-fact

Date

** Intentional misstatements or omissions of facts constitute Federal
Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.

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