

HORMEL FOODS CORP /DE/
Form 3
June 06, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â HAYNES JANA L | | (Month/Day/Year) | HORMEL FOODS CORP /DE/ [HRL] | |
| (Last) | (First) | (Middle) | 05/30/2016 | |
| 1 HORMEL PLACE | | | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street) | | | (Check all applicable) | |
| AUSTIN,Â MNÂ 55912 | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| (City) | (State) | (Zip) | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| | | | (give title below) (specify below) | <input type="checkbox"/> Form filed by More than One Reporting Person |
| | | | Vice President and Controller | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 216.97 | I | JEPST Plan |
| Common Stock | 1,526.597 | I | by Spouse |
| Common Stock | 794.001 | I | Spouse's 401(k) Plan |
| Common Stock | 687.221 | I | Spouse's JEPST Plan |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date | 3. Title and Amount of Securities Underlying | 4. Conversion | 5. Ownership | 6. Nature of Indirect Beneficial Ownership |
|--|---|--|---------------|--------------|--|
|--|---|--|---------------|--------------|--|

Edgar Filing: HORMEL FOODS CORP /DE/ - Form 3

| | (Month/Day/Year) | | Derivative Security (Instr. 4) | | or Exercise Price of Derivative Security | Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | (Instr. 5) |
|------------------------------|------------------|-----------------|--------------------------------|----------------------------|--|--|------------|
| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | |
| Stock Options (Right to Buy) | Â (1) | 12/07/2020 | Common Stock | 2,500 | \$ 12.48 | D | Â |
| Stock Options (Right to Buy) | Â (2) | 12/06/2021 | Common Stock | 7,500 | \$ 14.8 | D | Â |
| Stock Options (Right to Buy) | Â (3) | 12/04/2022 | Common Stock | 10,000 | \$ 15.49 | D | Â |
| Stock Options (Right to Buy) | Â (4) | 12/03/2023 | Common Stock | 6,200 | \$ 22.99 | D | Â |
| Stock Options (Right to Buy) | Â (5) | 12/02/2024 | Common Stock | 8,000 | \$ 26.38 | D | Â |
| Stock Options (Right to Buy) | Â (6) | 12/01/2025 | Common Stock | 8,800 | \$ 37.755 | D | Â |
| Stock Options (Right to Buy) | Â (5) | 12/02/2024 | Common Stock | 4,600 | \$ 26.38 | I | by Spouse |
| Stock Options (Right to Buy) | Â (6) | 12/01/2025 | Common Stock | 3,000 | \$ 37.755 | I | by Spouse |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| HAYNES JANA L 1 HORMEL PLACE AUSTIN, MN 55912 | Â | Â | Â Vice President and Controller | Â |

Signatures

Jana L. Haynes, by Power of Attorney
05/31/2016

Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The option vested in four equal annual installments, with the first group vesting on December 7, 2011.
- (2) The option vested in four equal annual installments, with the first group vesting on December 6, 2012.
- (3) The option vests in four equal annual installments, with the first group vesting on December 4, 2013.
- (4) The option vests in four equal annual installments, with the first group vesting on December 3, 2014.

Edgar Filing: HORMEL FOODS CORP /DE/ - Form 3

(5) The option vests in four equal annual installments, with the first group vesting on December 2, 2015.

(6) The option vests in four equal annual installments, with the first group vesting on December 1, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.