

LAKELAND BANCORP INC  
 Form 4  
 November 07, 2014

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**FREDERICKS MARK J**

2. Issuer Name and Ticker or Trading Symbol  
**LAKELAND BANCORP INC [LBAI]**

5. Relationship of Reporting Person(s) to Issuer  
 (Check all applicable)

(Last) (First) (Middle)  
**C/O LAKELAND BANCORP, INC., 250 OAK RIDGE ROAD**  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
**11/06/2014**

Director  10% Owner  
 Officer (give title below)  Other (specify below)

**OAK RIDGE, NJ 07438**

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4)  |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V Amount (D) Price  |   |  |   |
| Common Stock                    | 11/06/2014                           |  | S                              | 7,000 D \$ 10.91  | 27,015 <sup>(1)</sup>   | I  | Shares held by Fredericks Fuel Profit Sharing Plan of which Mr. Fredericks is a trustee |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares                                       |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| FREDERICKS MARK J<br>C/O LAKELAND BANCORP, INC.<br>250 OAK RIDGE ROAD<br>OAK RIDGE, NJ 07438 |               | X         |         |       |

## Signatures

Harry Cooper  
POA 11/07/2014

\*\*Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

I: This total includes 1,619 sh. received as part of a 5% stock dividend distributed June 17, 2014; additionally Mr. Fredericks holds indirectly 23,515 sh (includes 1,119 sh. from the 5% stock dividend) owned by Fredericks Fuel and Heating Service of which he is President; 42,136 sh. (includes 1,964 from the 5% stock dividend) held by Keil Oil Profit Sharing Plan of which he is a trustee; Mr. Fredericks spouse owns 53,785 sh. (includes 2,561 sh. from the 5% stock dividend and 720 sh. from the Company's DRP). D: Mr. Fredericks directly owns 199,202 sh. (includes 9,482 sh. paid as part of the 5% stock dividend and 2,594 sh. from the Company's DRP) and 47,150 sh. (includes 2,245 sh. from the 5% stock dividend and 531 sh. from the Company's DRP) held as custodian for his daughter. Previously on August 6, 2014, Mr. Fredericks transferred 46,493 sh. and 47,155 sh., respectively, which he previously held in custodial form to two of his sons, who are no longer minors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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