Scio Diamond Technology Corp Form 4/A

August 30, 2013

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response...

0.5

**OMB APPROVAL** 

Check this box if no longer subject to Section 16. Form 4 or Form 5

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

may continue. See Instruction

obligations

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Monahan Michael R

2. Issuer Name and Ticker or Trading

Symbol

Scio Diamond Technology Corp

[SCIO]

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

(Last)

(City)

(First)

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year)

Director Officer (give title

X 10% Owner Other (specify

411 UNIVERSITY RIDGE, SUITE

(Street)

(State)

D

4. If Amendment, Date Original

08/20/2013

Filed(Month/Day/Year)

08/22/2013

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

**GREENSVILLE, SC 29601** 

1.Title of Security	2. Transaction Date (Month/Day/Year)		
(Instr. 3)		any (Month/Day/Year)	(Ir

4. Securities Acquired ransaction(A) or Disposed of (D) ode (Instr. 3, 4 and 5) nstr. 8)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Reported Transaction(s) (Instr. 3 and 4)

or Code V Price Amount (D)

(A)

208,690 Α (1)

\$0 705,349.4

By 401(k)

Common

Common

Stock

Stock

01/14/2009

6,283

I

D

Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

#### Edgar Filing: Scio Diamond Technology Corp - Form 4/A

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and A Underlying S (Instr. 3 and	Securi
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo Num Shar
Employee Stock Options (Right to Buy)	\$ 10.61	01/14/2009		A	110,170	01/14/2010(2)	01/14/2019	Common Stock	110
Employee Stock Options (Right to Buy)	\$ 17.85	01/14/2009		A	110,170	01/14/2010(2)	01/14/2019	Common Stock	110

## **Reporting Owners**

Reporting Owner Name / Address	Keiationsinps				
	Director	10% Owner	Officer	Other	

Ralationshin

CEO, Global Cards

Freiberg Steven J

CITIGROUP INC. CORPORATE LAW DEPT.

425 PARK AVENUE 2ND FLOOR

NEW YORK, NY 10043

### **Signatures**

Steven J. Freiberg By: Joseph B. Wollard,
Attorney-in-Fact
01/16/2009

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Award of deferred stock that is subject to (1) vesting based on certain time and performance targets and (2) partial cancellation in the event performance targets are not met. None of the shares may be delivered to the Reporting Person until the fourth anniversary of the grant date.
- (2) The options vest in four annual installments beginning on the date indicated.

#### **Remarks:**

In total (including the employee stock options reported in Table II, above), the Reporting Person directly beneficially owns 43

Reporting Owners 2

### Edgar Filing: Scio Diamond Technology Corp - Form 4/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.