## Edgar Filing: Klein Martin P - Form 4

Klein Martin	Р										
Form 4											
February 16,	2012										
FORM	4		GEGU						PPROVA	۹L	
Washington, D.C. 20549								N OMB Number:		-0287	
Check this box								Expires:	Janua	ry 31, 2005	
In the longer STATEMENT OF CHANGES IN BENEFICIAL OWNE   subject to Section 16.   Section 16. SECURITIES   Form 4 or Filed pursuant to Section 16(a) of the Securities Exchange A								Estimated burden hou response	Estimated average burden hours per response		
obligation may conti <i>See</i> Instru 1(b).	Is Section 17(	a) of the P	ublic U	Jtility Hol	ding Co		of 1935 or Secti				
(Print or Type R	lesponses)										
1. Name and Address of Reporting Person <u>*</u> Klein Martin P			2. Issuer Name <b>and</b> Ticker or Trading Symbol GENWORTH FINANCIAL INC				5. Relationship of Reporting Person(s) to Issuer				
			[GNW]				(Check all applicable)				
(Last) C/O GENW	IAL,	3. Date of Earliest Transaction (Month/Day/Year) 02/14/2012			Director 10% Owner X Officer (give title Other (specify below) below) SVP - Chief Financial Officer						
INC., 6620 V	WEST BROAD	STREET									
	4. If Amendment, Date Original Filed(Month/Day/Year)			<ul><li>6. Individual or Joint/Group Filing(Check</li><li>Applicable Line)</li><li>_X_ Form filed by One Reporting Person</li></ul>							
RICHMONI	D, VA 23230						Form filed by Person	More than One R	eporting		
(City)	(State)	(Zip)	Tab	ole I - Non-J	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	lly Owne	d	
	2. Transaction Date (Month/Day/Year)		Date, if	Code (Instr. 8)	4. Securit onAcquired Disposed (Instr. 3, Amount	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature Indirect Beneficia Ownersh (Instr. 4)	al 1ip	
Reminder: Repo	ort on a separate line	e for each cla	iss of sec	urities bene	ficially ow	ned directly	or indirectly.				
					inforr requi	nation cont red to resp ays a curre	spond to the colle tained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)		
	Tab					sposed of, or convertible	Beneficially Owner securities)	đ			

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8	Securities Acquired ( Disposed o (Instr. 3, 4, 5)	of (D)	(Month/Day	(Year)	(Instr. 3 and	4)
				Code	7 (A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Settled SARs	\$ 8.88	02/14/2012		A	158,000		<u>(1)</u>	02/14/2022	Class A Common Stock	158,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
Klein Martin P C/O GENWORTH FINANCIAL, INC. 6620 WEST BROAD STREET RICHMOND, VA 23230			SVP - Chief Financial Officer				
Signatures							
/s/ Richard J. Oelhafen, Jr., by power of attorney		02/16/2	2012				
**Signature of Reporting Person		Date					

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The SARs vest in four equal annual installments beginning on February 14, 2013. The vested and unexercised portion of the SARs, if

(1) any, shall be automatically exercised if the closing price of the issuer's common stock equals or exceeds a specified "maximum share value" equal to \$75.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.