#### Edgar Filing: MARRONE BIO INNOVATIONS INC - Form 3

#### MARRONE BIO INNOVATIONS INC

Form 3

January 17, 2014

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

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**SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement MARRONE BIO INNOVATIONS INC [MBII] **Â** Stewart Alison (Month/Day/Year) 01/07/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O MARRONE BIO (Check all applicable) INNOVATIONS, INC., Â 2121 SECOND STREET, SUITE 10% Owner Director A-107 \_X\_\_ Officer Other (give title below) (specify below) (Street) 6. Individual or Joint/Group SR VP of R&D and CTO Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person DAVIS, CAÂ 95618 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) (Instr. 5) Form: Direct (D) or Indirect (Instr. 5) Â Common Stock 417 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	(1)	04/23/2023	Common Stock	31,862	\$ 11.77	D	Â
Stock Option (Right to Buy)	(2)	08/01/2023	Common Stock	159	\$ 12	D	Â
Stock Option (Right to Buy)	(3)	09/27/2023	Common Stock	60,000	\$ 18.01	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Stewart Alison C/O MARRONE BIO INNOVATIONS, INC. 2121 SECOND STREET, SUITE A-107 DAVIS. CA 95618	Â	Â	SR VP of R&D and CTO	Â	

### **Signatures**

/s/ Alison
Stewart

\*\*Signature of Reporting Person

O1/17/2014

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The option vests with respect to 1/4th of the total shares subject to the option on the first anniversary of the vesting commencement date of April 1, 2013, and 1/48th of the total shares subject to the option monthly thereafter for 36 months.
- (2) The option vests with respect to 1/4th of the total shares subject to the option on the first anniversary of the vesting commencement date of August 1, 2013, and 1/48th of the total shares subject to the option monthly thereafter for 36 months.
- (3) The option vests with respect to 1/4th of the total shares subject to the option on the first anniversary of the vesting commencement date of September 27, 2013, and 1/48th of the total shares subject to the option monthly thereafter for 36 months.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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