Edgar Filing: MARRONE BIO INNOVATIONS INC - Form 4

MARRONE BIO INNOVATIONS INC Form 4 October 07, 2014 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Stewart Alison Issuer Symbol MARRONE BIO INNOVATIONS (Check all applicable) INC [MBII] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X_Officer (give title Other (specify (Month/Day/Year) below) below) C/O MARRONE BIO 10/03/2014 SR VP of R&D and CTO INNOVATIONS, INC., 2121 SECOND STREET, SUITE A-107 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting DAVIS, CA 95618 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. 1.Title of 2. Transaction Date 2A. Deemed 4. Securities 5. Amount of 6. Ownership 7. Nature of Form: Direct Indirect Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities (Instr. 3) Code Disposed of (D) Beneficially (D) or Indirect Beneficial anv (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

> Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | tise any (Month/Day/Y ve | | 4. Transactic Code (Instr. 8) | 5. Number of iorDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8 D S (1 |
|---|---|--------------------------------|--|--|---|-----|--|--------------------|---|-------------------------------------|-------------------|
| | | | | Code V | (A) (| (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Stock Option (Right to Buy) | \$ 2.65 | 10/03/2014 | | А | 15,000 | | <u>(1)</u> | 10/03/2024 | Common Stock | 15,000 | |
| Reporting Owners | | | | | | | | | | | |
| Reporting Owner Name / Address | | | | Relation | | | ships | | | | |
| | Di | | | or 10% Owner Officer | | | Other | | | | |
| Stewart Alison C/O MARRONE BIO INNOVATIONS, INC. 2121 SECOND STREET, SUITE A-107 DAVIS, CA 95618 | | | | | SR VP of R&D and CTO | | | | | | |
| Signatures | | | | | | | | | | | |
| /s/ Linda V. Moore, as attorney-in-fact for Alison Stewart | | | | 10/07/2014 | | | | | | | |
| | **Signature of Reporting Person | | | | Date | | | | | | |
| Explanation of Responses: | | | | | | | | | | | |
| * If the form is filed by more than one reporting person, <i>see</i> Instruction 4(b)(v). | | | | | | | | | | | |

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** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). (1) The options vest with respect to 100% of the total shares subject to the option on December 31, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.