

NOMURA HOLDINGS INC
Form 6-K
February 20, 2019
Table of Contents

FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of February 2019

NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F X Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

Table of Contents

Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from January 1, 2019 to January 31, 2019.

Table of Contents

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: February 20, 2019

By: /s/ Hajime Ikeda
Hajime Ikeda
Senior Managing Director

Table of Contents

[Translation of Share Buyback Report for the reporting month from January 1, 2019 to January 31, 2019

filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on February 20, 2019]

Class of Shares: Common Stock

1. Status of repurchase

(1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders

Not applicable

(2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors (Board)

as of January 31, 2019

	Number of Shares	Total Repurchase Amount (JPY)
Authorization pursuant to the resolution of the Board (April 26, 2018)		
(Period of repurchase: from May 16, 2018 to March 29, 2019 (excluding the ten business days following the announcement of each quarterly financial results))	100,000,000	70,000,000,000
Repurchases during this reporting month (Date of repurchase)	(Date)	
Total		
Aggregate shares repurchased as of the end of this reporting month	100,000,000	51,702,988,850
Progress of share repurchase (%)	100.0	73.9

2. Status of disposition

as of January 31, 2019

	Number of shares disposed in the reporting month (Date)	Aggregate amount of disposition (JPY)
Treasury shares disposed subject to procedures for issuance of new shares		

Subtotal			
Treasury shares canceled	(Date)		
Subtotal			
Treasury shares transferred upon merger, share exchange or demerger	(Date)		
Subtotal			
Other (upon request for purchasing less-than-a-full-unit shares)	(Date)		
	January 7	10	3,995
Subtotal		10	3,995
Other (exercise of stock acquisition rights)	(Date)		
	January 4	45,200	45,200
	January 7	5,100	5,100
	January 8	16,800	313,800
	January 9	44,100	44,100
	January 10	1,300	1,300
	January 11	1,700	1,700
	January 15	4,100	301,100
	January 16	10,300	10,300
	January 18	32,000	32,000
	January 21	2,000	2,000
	January 22	20,900	20,900
	January 23	1,700	1,700
	January 24	1,000	298,000
	January 25	17,900	17,900
	January 28	8,800	8,800
	January 29	8,900	8,900
	January 30	29,100	29,100
Subtotal		250,900	1,141,900
Total		250,910	1,145,895

Table of Contents

3. Status of shares held in treasury

as of January 31, 2019

As of the end of the reporting month	Number of Shares
Total number of issued shares	3,493,562,601
Number of shares held in treasury	185,251,092