

NRG ENERGY, INC.  
Form 4  
April 09, 2008

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Winn Steve

(Last) (First) (Middle)  
NRG ENERGY, INC., 211  
CARNEGIE CENTER  
(Street)

PRINCETON, NJ 08540

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
NRG ENERGY, INC. [NRG]

3. Date of Earliest Transaction  
(Month/Day/Year)  
04/08/2008

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
EVP, Strategy & Nuclear Dev

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(D)	Price	
Common Stock, par value .01 per share	04/08/2008		M <sup>(1)</sup>		8,750	A	\$ 23.975 34,912	D
Common Stock, par value .01 per share	04/08/2008		S <sup>(1)</sup>		100	D	\$ 39.05 34,812	D
Common Stock, par value .01 per share	04/08/2008		S <sup>(1)</sup>		50	D	\$ 39.09 34,762	D

Edgar Filing: NRG ENERGY, INC. - Form 4

Common Stock, par value .01 per share	04/08/2008	<u>S(1)</u>	50	D	\$ 39.1	34,712	D
Common Stock, par value .01 per share	04/08/2008	<u>S(1)</u>	400	D	\$ 39.11	34,312	D
Common Stock, par value .01 per share	04/08/2008	<u>S(1)</u>	1,200	D	\$ 39.13	33,112	D
Common Stock, par value .01 per share	04/08/2008	<u>S(1)</u>	100	D	\$ 39.17	33,012	D
Common Stock, par value .01 per share	04/08/2008	<u>S(1)</u>	100	D	\$ 39.18	32,912	D
Common Stock, par value .01 per share	04/08/2008	<u>S(1)</u>	300	D	\$ 39.19	32,612	D
Common Stock, par value .01 per share	04/08/2008	<u>S(1)</u>	200	D	\$ 39.1925	32,412	D
Common Stock, par value .01 per share	04/08/2008	<u>S(1)</u>	600	D	\$ 39.2	31,812	D
Common Stock, par value .01 per share	04/08/2008	<u>S(1)</u>	200	D	\$ 39.21	31,612	D
Common Stock, par value .01 per share	04/08/2008	<u>S(1)</u>	100	D	\$ 39.22	31,512	D
Common Stock, par value .01 per share	04/08/2008	<u>S(1)</u>	4,400	D	\$ 39.25	27,112	D
	04/08/2008	<u>S(1)</u>	100	D	\$ 39.265	27,012	D

Edgar Filing: NRG ENERGY, INC. - Form 4

Common  
Stock, par  
value .01  
per share

Common  
Stock, par  
value .01  
per share

Common  
Stock, par  
value .01  
per share

Common  
Stock, par  
value .01  
per share

Common  
Stock, par  
value .01  
per share

04/08/2008	S <sup>(1)</sup>	300	D	\$ 39.31	26,712	D
04/08/2008	S <sup>(1)</sup>	200	D	\$ 39.32	26,512	D
04/08/2008	S <sup>(1)</sup>	250	D	\$ 39.33	26,262	D
04/08/2008	S <sup>(1)</sup>	100	D	\$ 39.34	26,162	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title
Non-Qualified Stock Options	\$ 23.975	04/08/2008		M <sup>(1)</sup>	8,750	<sup>(2)</sup> 01/03/2012	Common Stock, par value \$.01 per share

Amount or Number of Shares

8,750

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Winn Steve NRG ENERGY, INC. 211 CARNEGIE CENTER PRINCETON, NJ 08540			EVP, Strategy & Nuclear Dev	

## Signatures

/s/ Steven C.  
Winn

04/09/2008

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction made pursuant to 10b5-1 plan dated November 9, 2007.
  - (2) Pursuant to the Grant Agreement by and between NRG Energy, Inc. and Mr. Winn, 33 1/3% of the Stock Options vested on January 3, 2007 and 33 1/3% vested on January 3, 2008. The remaining 33 1/3% will vest on January 3, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.