### Edgar Filing: TANDEM DIABETES CARE INC - Form 3

#### TANDEM DIABETES CARE INC

Form 3

November 13, 2013

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

**SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement TANDEM DIABETES CARE INC [TNDM] À Delphi Management Partners (Month/Day/Year) VIII, L.L.C. 11/13/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 3000 SAND HILL (Check all applicable) ROAD,, Â BUILDING 1, SUITE 135 \_\_X\_\_ 10% Owner Director (Street) Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Form filed by One Reporting Person MENLO PARK. CAÂ 94025 \_X\_ Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) (Instr. 5) Form: Direct (D) or Indirect (I) (Instr. 5) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 4. 5. 6. Nature of Indirect Securities Underlying Ownership Beneficial Ownership (Instr. 4) **Expiration Date** Conversion (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5) (Instr. 4) Price of Derivative Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Series C Preferred Stock	(1)	(2)	Common Stock	664,565	\$ 0	I	See footnote (3)
Series C Preferred Stock	(1)	(2)	Common Stock	6,488	\$ 0	I	See footnote (4)
Series D Preferred Stock	(1)	(2)	Common Stock	2,967,987	\$ 0	I	See footnote (3)
Series D Preferred Stock	(1)	(2)	Common Stock	28,979	\$ 0	I	See footnote (4)

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
and the second	Director	10% Owner	Officer	Other	
Delphi Management Partners VIII, L.L.C. 3000 SAND HILL ROAD, BUILDING 1, SUITE 135 MENLO PARK, CA 94025	Â	ÂX	Â	Â	
DELPHI VENTURES VIII LP 3000 SAND HILL ROAD BUILDING 1, SUITE 135 MENLO PARK, CA 94025	Â	ÂX	Â	Â	
Delphi BioInvestments VIII LP 3000 SAND HILL ROAD BUILDING 1, SUITE 135 MENLO PARK, CA 94025	Â	ÂX	Â	Â	
ROEDER DOUGLAS A 3000 SAND HILL ROAD BUILDING 1, SUITE 135 MENLO PARK, CA 94025	ÂX	ÂX	Â	Â	
BOCHNOWSKI JAMES J 3000 SAND HILL ROAD BUILDING 1, SUITE 135 MENLO PARK, CA 94025	Â	ÂX	Â	Â	
DOUGLASS DAVID L 3000 SAND HILL ROAD BUILDING 1, SUITE 135 MENLO PARK, CA 94025	Â	ÂX	Â	Â	
PAKIANATHAN DEEPIKA 3000 SAND HILL ROAD BUILDING 1, SUITE 135 MENLO PARK, CA 94025	Â	ÂX	Â	Â	

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### **Signatures**

/s/ David B. Berger, Attorney-in-Fact for Delphi Management Partners VIII, L.L.C.				
**Signature of Reporting Person	Date			
/s/ David B. Berger, Attorney-in-Fact for Delphi Ventures VIII, L.P.				
**Signature of Reporting Person	Date			
/s/ David B. Berger, Attorney-in-Fact for Delphi BioInvestments VIII, L.P.				
**Signature of Reporting Person	Date			
/s/ David B. Berger, Attorney-in-Fact for Douglas A. Roeder				
**Signature of Reporting Person	Date			
/s/ David B. Berger, Attorney-in-Fact for James T. Bochnowski				
**Signature of Reporting Person	Date			
/s/ David B. Berger, Attorney-in-Fact for David L. Douglass				
**Signature of Reporting Person	Date			
/s/ David B. Berger, Attorney-in-Fact for Deepika R. Pakianathan	11/13/2013			
**Signature of Reporting Person	Date			

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The securities are immediately convertible.
- (2) The expiration date is not relevant to the conversion of these securities.
  - The reported securities are owned directly by Delphi Ventures VIII, L.P. ("DV VIII"). Delphi Management Partners VIII, L.L.C. (the "General Partner"), as general partner of Delphi Ventures VIII, L.P., and Douglas A. Roeder, James J. Bochnowski, David L. Douglass
- (3) and Deepika R. Pakianathan, Ph.D., the managing members of the General Partner, may be deemed to share voting and dispositive power over the reported securities but each disclaims beneficial ownership in the securities held by DV VIII, except to the extent of their pecuniary interest therein.
- The reported securities are owned directly by Delphi BioInvestments VIII, L.P. ("DBI VIII"). The General Partner, as general partner of Delphi BioInvestments VIII, L.P., and Douglas A. Roeder, James J. Bochnowski, David L. Douglass and Deepika R. Pakianathan, Ph.D., the managing members of the General Partner, may be deemed to share voting and dispositive power over the reported securities but each disclaims beneficial ownership in the securities held by DBI VIII, except to the extent of their pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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