SS&C Technologies Holdings Inc Form 4 December 03, 2013

| December 0  | 3, 2013                                 |   |  |                  |          |  |  |   |           |  |
|---|---|---|--|------------------|----------|--|--|---|-----------|--|
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION   |   |   |  |                  |          |  | OMB APPROVAL   |   |           |  |
|   | UNITED                                  | STATES SE   | CURITIES A<br>Washington   |                  |          | NGE CO   | OMMISSION  | OMB<br>Number:  | 3235-0287 |  |
| Check this box<br>if no longer<br>subject to<br>Section 16. SECURITIES STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>Section 16. SECURITIES Expires: January 3<br>200<br>Estimated average<br>burden hours per |   |   |  |                  |          |  |  |   |           |  |
| (Print or Type  | Responses)                              |   |  |                  |          |  |  |   |           |  |
| 1. Name and Address of Reporting Person <u>*</u><br>PEDONTI PATRICK J   |   |   |  |                  |          |  | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)        |   |           |  |
| (Last) (First) (Middle)<br>C/O SS&C TECHNOLOGIES<br>HOLDINGS, INC., 80<br>LAMBERTON ROAD  |   |   | ( v onun/Dav/rear) –   |                  |          |  | Director 10% Owner<br>_X Officer (give title Other (specify<br>below)<br>SVP and CFO |   |           |  |
|   | (Street)                                | Filed(Month/Day/Year) A                             |  |                  |          | 5. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>X_ Form filed by One Reporting Person |  |   |           |  |
| WINDSOR   | , CT 06095                              |   |  |                  |          | P  | Form filed by Mo<br>erson  | ore than One Rep  | oorting   |  |
| (City)  | (State)                                 | (Zip)   | Table I - Non-   | Derivative       | Secur    | rities Acqui   | red, Disposed of,  | or Beneficiall  | y Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date<br>any<br>(Month/Day/Y | bate, if Transactionor Disposed of (D)<br>Code (Instr. 3, 4 and 5) |                  | (D)      | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)         | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4)           | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |           |  |
| Common<br>Stock   | 12/02/2013                              |   | Code V<br>M  | Amount<br>10,000 | (D)<br>A | Price<br>\$ 8.77   | (Instr. 3 and 4)<br>10,000   | D   |           |  |
| Common<br>Stock   | 12/02/2013 <u>(1)</u>                   |   | S <u>(1)</u>   | 10,000           | D        | \$<br>43.3112<br>(2)   | 0  | D   |           |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of<br>orDerivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     | 8<br>E<br>S<br>(] |
|---|---|---|---|--|--|--|--------------------|---|-------------------------------------|-------------------|
|   |   |   |   | Code V                                 | (A) (D)  | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |                   |
| Stock<br>Option<br>(right to<br>buy)                | \$ 8.77   | 12/02/2013                              |   | М                                      | 10,000   | <u>(3)</u>   | 08/09/2016         | Common<br>Stock   | 10,000                              |                   |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |             |       |  |  |
|---|---------------|-----------|-------------|-------|--|--|
| I BERNERAL  | Director      | 10% Owner | Officer     | Other |  |  |
| PEDONTI PATRICK J<br>C/O SS&C TECHNOLOGIES HOLDINGS, INC.<br>80 LAMBERTON ROAD<br>WINDSOR, CT 06095 |               |           | SVP and CFO |       |  |  |
| Signatures  |               |           |             |       |  |  |
| Paul G. Igoe, Attorney-in-fact for Patrick J.<br>Pedonti  | 12/           | 03/2013   |             |       |  |  |
| **Signature of Reporting Person   |               | Date      |             |       |  |  |

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Open market transaction pursuant to a 10b5-1 trading plan adopted by the Reporting Person in accordance with Rule 10b5-1 of the (1)Securities Exchange Act of 1934, as amended.

The price reported is the weighted average of the shares sold. The shares were sold at varying prices in the range of \$42.74 to \$43.79. The (2) reporting person undertakes, upon request by the Staff of the Securities and Exchange Commission, or a security holder of the issuer, to provide full information regarding the number of shares sold at each separate price.

The option is a "time-based" option that vested as to 25% of the shares on November 23, 2006 and as to 1/36 of the shares each month (3)thereafter until fully vested on November 23, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.