

HOME BANCSHARES INC
Form 4
January 21, 2015

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Engelkes Jack

2. Issuer Name and Ticker or Trading Symbol
HOME BANCSHARES INC
[HOMB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
01/16/2015

Director 10% Owner
 Officer (give title below) Other (specify below)

P.O. BOX 966

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

CONWAY, AR 72033

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) | | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|--|---|-------------------------|
| | | | Code | V | Amount or Price | | | | | |
| Common Stock - Restricted | 01/16/2015 | | A | | 1,000 | A | \$ 0 | 1,666 ⁽²⁾ ⁽⁸⁾ ⁽⁹⁾ | D | |
| Common Stock | | | | | 96,029.7313 ⁽⁶⁾ | I | | | | Owned solely by wife |
| Common Stock | | | | | 22,359.696 | I | | | | Custodian for daughters |
| Common Stock | | | | | 2,088.345 ⁽⁷⁾ | I | | | | Held in Simple IRA |

Edgar Filing: HOME BANCSHARES INC - Form 4

| | | | |
|--------------|---|---|--------------------|
| Common Stock | 200 | I | Held in wife's IRA |
| Common Stock | 56,817.917 ⁽²⁾ <u>(5)</u> | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price or Amount of Derivative Security (Instr. 3) | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|----------------------------|
| | | | | | | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| | | | | | | Code | V | (A) | (D) |
| Stock Option | \$ 4.92 | | | | | <u>(3)</u> | <u>(3)</u> | Common Stock | 1,425 |
| Stock Option | \$ 4.21 | | | | | 12/31/2007 | 12/31/2017 | Common Stock | 712 |
| Stock Option | \$ 5.33 | | | | | <u>(4)</u> | <u>(4)</u> | Common Stock | 2,851 |
| Stock Option | \$ 8.6 | | | | | <u>(1)</u> | 01/09/2018 | Common Stock | 2,376 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Engelkes Jack P.O. BOX 966 CONWAY, AR 72033 | | X | | |

Signatures

/s/Jack Engelkes by Rachel
Wesson

01/21/2015

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option is exercisable in five equal annual installments. The first installment became exercisable on January 10, 2009.
- (2) The reporting person had 667 shares vest since the last filing.
- (3) 40% of the issued option is remaining. The first 20% of the remaining option became exercisable on December 31, 2007 and expires on December 31, 2017. The last 20% of the remaining option became exercisable on December 31, 2008 and expires on December 31, 2018.
- (4) 80% of the issued option is remaining. The first 20% of the remaining option became exercisable on December 31, 2006 and expires on December 31, 2016. The second 20% of the remaining option became exercisable on December 31, 2007 and expires on December 31, 2017. The third 20% of the remaining option became exercisable on December 31, 2008 and expires on December 31, 2018. The last 20% of the remaining option became exercisable on December 31, 2009 and expires on December 31, 2019.
- (5) Includes 230.918 shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (6) Includes 42.147 shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (7) Includes 13.367 shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (8) Restricted Stock granted on January 16, 2015 will "cliff" vest 100% three years from award date.
- (9) Restricted Stock granted on January 18, 2013 will vest in 33 1/3% installments over three years each January 18th.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.