

SYNAPTICS INC
Form 4
April 29, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LEE FRANCIS F

2. Issuer Name and Ticker or Trading Symbol
SYNAPTICS INC [SYNA]

5. Relationship of Reporting Person(s) to Issuer

(Last) (First) (Middle)
1251 MCKAY DRIVE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
04/27/2015

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

SAN JOSE, CA 95131
(City) (State) (Zip)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | 04/27/2015 | | M | | 82,000 | A | \$ 20.47 |
| | | | | | | | 82,989 |
| Common Stock | 04/27/2015 | | S ⁽¹⁾ | | 70,234 | D | \$ 85.1078 |
| | | | | | | | 12,755 |
| Common Stock | 04/27/2015 | | S ⁽¹⁾ | | 11,766 | D | \$ 85.6796 |
| | | | | | | | 989 |
| Common Stock | 04/27/2015 | | S ⁽¹⁾ | | 10,000 | D | \$ 85.2536 |
| | | | | | | | 61,314 |
| | | | | | | | (5) |
| | | | | | | | I |
| | | | | | | | By Trust |
| | | | | | | | (6) |

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| | | | |
|-----------------|--------|---|------------------------|
| Common Stock | 32,422 | I | By Trust <u>(7)</u> |
| Common Stock | 32,422 | I | By Trust <u>(8)</u> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|---|--|---|---|--------------------------------------|--|--|---|--|
| Director Stock Option (Right to Buy) | \$ 20.47 | 04/27/2015 | | M | 82,000 | <u>(9)</u> 01/17/2016 | Common Stock | 82,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| LEE FRANCIS F 1251 MCKAY DRIVE SAN JOSE, CA 95131 | X | | | |

Signatures

Kermit Nolan, as
attorney-in-fact

04/29/2015

 Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) The shares were sold pursuant to a 10b5-1 Sales Plan dated February 26, 2015.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$84.53 to \$85.46, inclusive. The reporting person undertakes to provide to Synaptics Incorporated (the "Company"), any security holder of the Company, or the staff of the Securities and Exchange Commission (the "SEC"), upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

- (2) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$85.54 to \$86.00, inclusive. The reporting person undertakes to provide to the Company, any security holder of the Company, or the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

- (3) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$85.00 to \$85.85, inclusive. The reporting person undertakes to provide to the Company, any security holder of the Company, or the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

- (4) Includes 327 shares previously reported as being held directly.

- (5) The shares are held by EF Lee Family 2012 Irr Trust.

- (6) The shares are held by Francis F. Lee, Trustee of the Francis Lee 2002 Irrevocable Trust.

- (7) The shares are held by Evelyn C. Lee, the reporting person's spouse, Trustee of the Evelyn Lee 2002 Irrevocable Trust.

- (8) 25% of the total number of shares subject to the option vested and became exercisable on the twelve month anniversary of the January 17, 2006 grant date, and 1/48th of the total number of shares subject to the option vested and became exercisable on the 17th day of each month thereafter.

- (9) Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.