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MARRONE BIO INNOVATIONS INC

Form 3

December 28, 2015

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *

Johnson Timothy B

(Last) (First)

C/O MARRONE BIO

Statement

(Month/Day/Year)

12/17/2015

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

MARRONE BIO INNOVATIONS INC [MBII]

(Middle) 4. Relationship of Reporting Person(s) to Issuer

INNOVATIONS, INC., 1540

DREW AVENUE

(Street)

Filed(Month/Day/Year)

5. If Amendment, Date Original

(Check all applicable)

Director _X__ Officer

(give title below) (specify below)

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) VP Field Development Technical _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

DAVIS, CAÂ 95618

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

(I)

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

Form: (Instr. 5) Direct (D)

or Indirect (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. 5. Conversion Ownership or Exercise Form of

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

(Instr. 4)

Title

Amount or

Derivative

Price of

Security: Direct (D)

Derivative

Expiration Security Exercisable Number of Date

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				Shares		or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	(1)	01/23/2021	Common Stock	2,559	\$ 1.1926	D	Â
Stock Option (Right to Buy)	(2)	12/14/2021	Common Stock	3,186	\$ 1.1423	D	Â
Stock Option (Right to Buy)	(3)	08/02/2023	Common Stock	1,274	\$ 12	D	Â
Stock Option (Right to Buy)	(4)	09/27/2023	Common Stock	33,333	\$ 18.01	D	Â
Stock Option (Right to Buy)	(5)	09/23/2024	Common Stock	6,000	\$ 2.87	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Johnson Timothy B C/O MARRONE BIO INNOVATIONS, INC. 1540 DREW AVENUE DAVIS, CA 95618	Â	Â	VP Field Development Technical	Â	

Signatures

/s/ Linda V. Moore, as attorney in-fact 12/28/2015

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The original option for 9,559 shares vested with respect to 1/4th of the total shares subject to the option on the first anniversary of the vesting commencement date of January 1, 2012, and with respect to 1/48th of the total shares subject to the option monthly thereafter for 36 months. 7,000 shares subject to the original option have been issued upon prior exercises.
- The option vests with respect to 1/60th of the total shares subject to the option on the vesting commencement date of December 1, 2011, and with respect to 1/60th of the total shares subject to the option monthly thereafter.
- (3) The option vests with respect to 1/4th of the total shares subject to the option on the first anniversary of the vesting commencement date of August 2, 2013, and with respect to 1/48th of the total shares subject to the option monthly thereafter for 36 months.
- (4) The option vests with respect to 1/4th of the total shares subject to the option on the first anniversary of the vesting commencement date of September 27, 2013, and with respect to 1/48th of the total shares subject to the option monthly thereafter for 36 months.
- (5) The option vests with respect to 100% of the total shares subject to the option on December 31, 2015.

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Remarks:

No securities are beneficially owned. Exhibit list: Exhibit 24 Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Reporting Owners 2

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