Kobza Joshua Form 3 January 31, 2019

### FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Restaurant Brands International Inc. [OSR] Kobza Joshua (Month/Day/Year) 01/23/2019 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 130 KING STREET (Check all applicable) WEST, SUITE 300 (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting COO Person TORONTO, A6Â M5X 1E1 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Shares 24,510 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 5. 6. Nature of (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Indirect Beneficial (Month/Day/Year) **Derivative Security** or Exercise Form of Ownership (Instr. 4) Price of Derivative (Instr. 5) Derivative Security: Title Direct (D) Security

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Exchangeable Units (1)	(1)	(1)	Common Shares	5,413	\$ <u>(1)</u>	D	Â
Option (right to buy)	(2)	02/28/2023	Common Shares	776	\$ 18.25	D	Â
Option (right to buy)	(2)	02/28/2023	Common Shares	200,000	\$ 18.25	D	Â
Option (right to buy)	(2)	03/06/2024	Common Shares	32,991	\$ 27.28	D	Â
Option (right to buy)	03/07/2019	03/06/2024	Common Shares	300,000	\$ 27.28	D	Â
Option (right to buy)	12/31/2019	03/05/2025	Common Shares	35,494	\$ 42.26	D	Â
Option (right to buy)	03/06/2020	03/05/2025	Common Shares	300,000	\$ 42.26	D	Â
Restricted Share Units	(3)	(3)	Common Shares	26,730	\$ (4)	D	Â
Dividend Equivalent Rights	(5)	(5)	Common Shares	1,614.2184	\$ <u>(6)</u>	D	Â
Performance Share Units	02/26/2021	02/26/2021	Common Shares	350,000	\$ <u>(7)</u>	D	Â
Dividend Equivalent Rights	(8)	(8)	Common Shares	24,816.018	\$ <u>(9)</u>	D	Â
Restricted Share Units	(10)	(10)	Common Shares	20,114	\$ (4)	D	Â
Dividend Equivalent Rights	(11)	(11)	Common Shares	909.4099	\$ (6)	D	Â
Option (right to buy)	05/05/2022	05/04/2027	Common Shares	200,000	\$ 56.92	D	Â
Restricted Share Units	(12)	(12)	Common Shares	17,111	\$ (4)	D	Â
Dividend Equivalent Rights	(13)	(13)	Common Shares	547.2384	\$ <u>(6)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Kobza Joshua	Â	Â	COO	Â		
130 KING STREET WEST						

Reporting Owners 2

SUITE 300 TORONTO, A6Â M5X 1E1

### **Signatures**

/s/ Lisa Giles-Klein, As Attorney-in-Fact for Joshua Kobza

01/31/2019

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Each Restaurant Brands International Limited Partnership exchangeable unit is convertible, at the Reporting Person's election, into common shares of Restaurant Brands International Inc. or a cash amount equal to a prescribed cash amount determined by reference to the weighted average trading price of Restaurant Brands International Inc.'s common shares on the New York Stock Exchange for the 20

- consecutive trading days ending on the last business day prior to the exchange date, at the sole discretion of the general partner of Restaurant Brands International Limited Partnership (subject to the consent of the Restaurant Brands International Inc. conflicts committee, in certain circumstances). This conversion right has no expiration date.
- (2) These options are immediately exerciseable.
- (3) These restricted share units vest on December 31, 2020.
- (4) Each restricted share unit represents a contingent right to receive one common share.
- These dividend equivalent rights accrued on the 2016 restricted share unit award (the "2016 RSUs"). Dividend equivalent rights accrue when and as dividends are paid on the common shares underlying the 2016 RSUs and vest proportionately with and are subject to settlement and expiration upon the same terms as the 2016 RSUs to which they relate.
- (6) Each whole dividend equivalent right represents a contingent right to receive one common share.
- The shares reported represent an award of performance based restricted share units (the "PBRSUs") granted to the Reporting Person.

  The PBRSUs will have a three-year performance period beginning January 1, 2015 and ending December 31, 2018 and will vest 100% on February 24, 2021, which is the fifth anniversary of the grant date. The number of common shares that will be earned at the end of the three-year performance period is subject to increase or decrease based on the results of the Issuer performance condition.
- These dividend equivalent rights accrued on the PBRSUs. Dividend equivalent rights accrue when and as dividends are paid on the common shares underlying the PBRSUs and vest proportionately with and are subject to settlement and expiration upon the same terms as the PBRSUs to which they relate.
- (9) Each whole dividend equivalent right represents a contingent right to receive one common share, subject to increase or decrease based on the results of the Issuer performance condition.
- (10) These restricted share units vest on December 31, 2021.
- These dividend equivalent rights accrued on the 2017 restricted share unit award (the "2017 RSUs"). Dividend equivalent rights accrue (11) when and as dividends are paid on the common shares underlying the 2017 RSUs and vest proportionately with and are subject to settlement and expiration upon the same terms as the 2017 RSUs to which they relate.
- (12) These restricted share units vest on December 31, 2022.
- These dividend equivalent rights accrued on the 2018 restricted share unit award (the "2018 RSUs"). Dividend equivalent rights accrue (13) when and as dividends are paid on the common shares underlying the 2018 RSUs and vest proportionately with and are subject to settlement and expiration upon the same terms as the 2018 RSUs to which they relate.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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