

Whang Scott Yoon-suk
Form 5
February 14, 2019

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box if
no longer subject
to Section 16.
Form 4 or Form
5 obligations
may continue.
See Instruction
1(b).
Form 3 Holdings
Reported
Form 4
Transactions
Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0362
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1. Name and Address of Reporting Person *
Whang Scott Yoon-suk

(Last) (First) (Middle)

3200 WILSHIRE BLVD., SUITE
1400

(Street)

LOS ANGELES, CA 90010

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
HOPE BANCORP INC [HOPE]

3. Statement for Issuer's Fiscal Year Ended
(Month/Day/Year)
12/31/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Reporting

(check applicable line)

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/31/2018 ⁽¹⁾	Â	G ⁽¹⁾	3,000 D \$ 0	46,702 ⁽²⁾	D	Â
Common Stock	12/31/2018 ⁽¹⁾	Â	G ⁽¹⁾	3,000 A \$ 0	14,700	I	By wife as UGMA custodian for grandchildren
Common Stock	12/31/2018 ⁽³⁾	Â	G ⁽³⁾	2,000 D \$ 0	44,702	D	Â

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Common Stock	12/31/2018 ⁽³⁾	Â	G ⁽³⁾	2,000	A	\$ 0	9,800 ⁽⁴⁾	I	By reporting person as UGMA custodian for grandchildren
Common Stock	12/31/2018	Â	G	1,000	D	\$ 0	43,702	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. of D
					(A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Whang Scott Yoon-suk 3200 WILSHIRE BLVD., SUITE 1400 LOS ANGELES, CA 90010	Â X Â Â Â

Signatures

/s/ Claire Hur as attorney-in-fact for Scott Yoon-Suk Whang
 **Signature of Reporting Person
 Date 02/14/2019

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Transfer from the Scott Whang & Lydia Whang Trust Under Agreement 07/19/2001 S&O Revocable Trust to reporting person's wife as custodian of three of six grandchildren under Uniform Gifts to Minors Act. On 12/31/2018, reporting person gifted to three of six grandchildren common stock in the amount of 1,000 shares each. Reporting person disclaims beneficial ownership of all securities held

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by his grandchildren, and this report should not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 of the Exchange Act of 1934 or for any other purpose.

- (2) This amount reflects the corrected number of securities beneficially owned after the vesting of 4,500 restricted stock units on Form 4 filed on 9/5/2018.

Transfer from the Scott Whang & Lydia Whang Trust Under Agreement 07/19/2001 S&O Revocable Trust to reporting person as custodian of two of six grandchildren under Uniform Gifts to Minors Act. On 12/31/2018, reporting person gifted to two of six

- (3) grandchildren common stock in the amount of 1,000 shares each. Reporting person disclaims beneficial ownership of all securities held by his grandchildren, and this report should not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 of the Exchange Act of 1934 or for any other purpose.

- (4) This amount reflects the transfer of 3,900 shares of common stock from the custodian to a beneficiary grandchild who reached the age of majority on 11/5/2017.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.