

FAIR ISAAC CORP  
Form 4  
November 10, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BATTLE A GEORGE**

2. Issuer Name and Ticker or Trading Symbol  
**FAIR ISAAC CORP [FICO]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
**181 METRO DRIVE**  
  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
**11/07/2014**

Director  10% Owner  
 Officer (give title below)  Other (specify below)

**SAN JOSE, CA 95110**  
  
(City) (State) (Zip)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/07/2014		M	V Amount (A) or (D) Price 6,375 (1) A \$ 34.22	19,078	D	
Common Stock	11/07/2014		S	V Amount (A) or (D) Price 6,375 (1) D \$ 70	12,703	D	
Common Stock					0 (2)	I	The Perkins-Battle 2011 Family Trust Agreement, DTD 10/26/2011
					9,602 (2)	I	

Edgar Filing: FAIR ISAAC CORP - Form 4

Common Stock							A. George Battle 2011 Separate Property Trust
Common Stock				10,000	I		Treehouse Vineyards LLC

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Non Qualified Stock Option (right to buy)	\$ 34.22	11/07/2014		M	6,375	02/01/2005 <sup>(3)</sup>	01/31/2015	Common Stock	6,375

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BATTLE A GEORGE 181 METRO DRIVE SAN JOSE, CA 95110	X			

## Signatures

/s/Nancy E. Fraser,  
Attorney-in-fact

11/10/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Exercised and sold pursuant to reporting person's 10b5-1 plan.

Since the date of the reporting person's last ownership report, 3,683 shares of FICO common stock were transferred to his former spouse

(2) pursuant to a domestic relations order. The reporting person no longer reports as beneficially owned any securities owned by his former spouse. The reporting person's 3,683 shares held in this trust were transferred to the A. George Battle 2011 Separate Property Trust.

(3) This option vested in full upon this date.

Since the date of the reporting person's last ownership report, 53,313 stock options were transferred from his outstanding stock option

(4) grants to his former spouse pursuant to a domestic relations order. The reporting person no longer reports as beneficially owned any securities owned by his former spouse.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.