EVOLVING SYSTEMS INC

Form 3

October 02, 2015

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person * THEKKETHALA THOMAS

(Last) (First) (Middle)

C/O EVOLVING SYSTEMS, INC., Â 9777 PYRAMID **COURT. SUITE 100**

(Street)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Statement

(Month/Day/Year)

09/30/2015

4. Relationship of Reporting Person(s) to Issuer

EVOLVING SYSTEMS INC [EVOL]

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

10% Owner Director Officer _Other

(give title below) (specify below) President

Table I - Non-Derivative Securities Beneficially Owned

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

ENGLEWOOD, Â COÂ 80112

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Â

Direct (D) or Indirect (I) (Instr. 5)

 $0^{(1)}$ Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

D

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

Expiration Date (Month/Day/Year)

2. Date Exercisable and 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Ownership Conversion or Exercise Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Price of Derivative Derivative Security:

1

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Date Expiration Title Amount or Security Direct (D)

Exercisable Date Number of Shares (I)

(Instr. 5)

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

THEKKETHALA THOMAS

C/O EVOLVING SYSTEMS, INC.
9777 PYRAMID COURT, SUITE 100

ENGLEWOOD, COÂ 80112

Signatures

Thomas
Thekkethala

**Signature of
Reporting Person

Thomas

10/02/2015

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Mr. Thekkethala was appointed an officer of the Company on September 30, 2015. He was also appointed to the Board of Directors. At the time of his appointment, no securities were beneficially owned.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2