

GENERAL ELECTRIC CO  
Form 3  
February 20, 2007

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Zucker Jeffrey A  
(Last) (First) (Middle)

2. Date of Event Requiring Statement  
(Month/Day/Year)  
02/09/2007

3. Issuer Name and Ticker or Trading Symbol  
GENERAL ELECTRIC CO [GE]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

GENERAL ELECTRIC COMPANY, 3135 EASTON TURNPIKE  
(Street)

(Check all applicable)

Director  10% Owner  
 Officer  Other  
(give title below) (specify below)  
President & CEO, NBC Universal

FAIRFIELD, CT 06828  
(City) (State) (Zip)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security<br>(Instr. 4) | 2. Amount of Securities<br>Beneficially Owned<br>(Instr. 4) | 3. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial<br>Ownership<br>(Instr. 5) |
|------------------------------------|---|---|---|
| Common Stock                       | 106,803   | D   | ^   |
| Common Stock                       | 29,306  | I   | by 401(k)   |
| Common Stock                       | 40  | I   | by family   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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| 1. Title of Derivative Security<br>(Instr. 4)       | 2. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 3. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 4) |                                  | 4. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 5. Ownership<br>Form of<br>Derivative<br>Security:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) | 6. Nature of Indirect<br>Beneficial<br>Ownership<br>(Instr. 5) |
|---|--|--------------------|--|----------------------------------|--|--|--|
|   | Date<br>Exercisable  | Expiration<br>Date | Title  | Amount or<br>Number of<br>Shares |  |  |  |
| Phantom Stock Units                                 | Â <u>(1)</u>   | Â <u>(1)</u>       | Common<br>Stock  | 11,339                           | \$ <u>(2)</u>  | D  | Â  |
| Restricted Stock Units                              | Â <u>(3)</u>   | Â <u>(3)</u>       | Common<br>Stock  | 18,750                           | \$ <u>(2)</u>  | D  | Â  |
| Restricted Stock Units                              | Â <u>(4)</u>   | Â <u>(4)</u>       | Common<br>Stock  | 48,669                           | \$ <u>(2)</u>  | D  | Â  |
| Restricted Stock Units                              | Â <u>(5)</u>   | Â <u>(5)</u>       | Common<br>Stock  | 237,500                          | \$ <u>(2)</u>  | D  | Â  |
| Employee Stock Options<br>(right to buy) <u>(6)</u> | 09/12/2000   | 09/12/2007         | Common<br>Stock  | 22,500                           | \$ 22.08   | D  | Â  |
| Employee Stock Options<br>(right to buy) <u>(6)</u> | 09/11/2001   | 09/11/2008         | Common<br>Stock  | 22,500                           | \$ 26.42   | D  | Â  |
| Employee Stock Options<br>(right to buy) <u>(6)</u> | 09/10/2002   | 09/10/2009         | Common<br>Stock  | 30,000                           | \$ 39.73   | D  | Â  |
| Employee Stock Options<br>(right to buy) <u>(6)</u> | 09/22/2003   | 09/22/2010         | Common<br>Stock  | 5,000                            | \$ 57.31   | D  | Â  |
| Employee Stock Options<br>(right to buy) <u>(6)</u> | 09/22/2003   | 09/22/2010         | Common<br>Stock  | 20,000                           | \$ 57.31   | D  | Â  |
| Employee Stock Options<br>(right to buy) <u>(6)</u> | 12/14/2003   | 12/14/2010         | Common<br>Stock  | 30,000                           | \$ 51.44   | D  | Â  |
| Employee Stock Options<br>(right to buy) <u>(6)</u> | 07/26/2004   | 07/26/2011         | Common<br>Stock  | 40,000                           | \$ 43.75   | D  | Â  |
| Employee Stock Options<br>(right to buy) <u>(6)</u> | 09/26/2004   | 09/26/2011         | Common<br>Stock  | 20,000                           | \$ 35.48   | D  | Â  |
| Employee Stock Options<br>(right to buy) <u>(7)</u> | 09/13/2005   | 09/13/2012         | Common<br>Stock  | 60,000                           | \$ 27.05   | D  | Â  |
| Employee Stock Options<br>(right to buy) <u>(7)</u> | 09/12/2006   | 09/12/2013         | Common<br>Stock  | 48,000                           | \$ 31.53   | D  | Â  |
| Employee Stock Options<br>(right to buy) <u>(7)</u> | 09/17/2007   | 09/17/2014         | Common<br>Stock  | 60,000                           | \$ 34.22   | D  | Â  |
| Employee Stock Options<br>(right to buy) <u>(7)</u> | 09/16/2008   | 09/16/2015         | Common<br>Stock  | 60,000                           | \$ 34.47   | D  | Â  |
| Employee Stock Options<br>(right to buy) <u>(7)</u> | 09/08/2009   | 09/08/2016         | Common<br>Stock  | 50,000                           | \$ 34.01   | D  | Â  |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                                  | Other |
|---|---------------|-----------|----------------------------------|-------|
|   | Director      | 10% Owner | Officer                          |       |
| Zucker Jeffrey A<br>GENERAL ELECTRIC COMPANY<br>3135 EASTON TURNPIKE<br>FAIRFIELD, CT 06828 | Â             | Â         | Â President & CEO, NBC Universal | Â     |

## Signatures

Eliza W. Fraser on behalf of Jeffrey Zucker 02/20/2007

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reallocable to other investment media. Payable after termination of employment.
- (2) 1-for-1
- (3) 25,000 units granted 09/10/01; Vesting schedule :25% on third anniversary; 25% on seventh anniversary; 50% upon retirement.
- (4) 10,667 units granted 09/12/03; 13,334 units granted 09/17/04; 13,334 units granted 09/16/05; 16,667 units granted on 09/08/06. Vesting schedule : 50% on third anniversary; 50% on fifth anniversary.
- (5) 50,000 units granted 09/13/02; 150,000 units granted 12/11/03; 50,000 units granted 2/08/07. Vesting schedule : 25% on third anniversary; 25% on fifth anniversary; 25% on tenth anniversary; 25% upon retirement.
- (6) The options become exercisable in two equal installments of 50% each, with 50% on the "Date Exercisable" shown to the right, and another 50% two years thereafter.
- (7) The options become exercisable in five equal installments of 20% each beginning on the "Date Exercisable" shown to the right, and another 20% each year thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.