

CORNERSTONE PROGRESSIVE RETURN FUND  
Form N-PX  
August 11, 2014

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED  
MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number 811-22066

Cornerstone Progressive Return Fund

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(Exact name of registrant as specified in charter)

7 Dawson Street Huntington Station, NY  
(Address of principal executive offices)

11746  
(Zip code)

Frank J. Maresca

AST Fund Solutions, LLC, 7 Dawson Street, Huntington Station, NY 11746

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(Name and address of agent for service)

Registrant's telephone number, including area code: (866) 668-6558

Date of fiscal year end: December 31

Date of reporting period: July 1, 2013 - June 30, 2014

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## ITEM 1. PROXY VOTING RECORD.

Company Name	Ticker	CUSIP	Meeting Date	Proposal Number	Proposal Description	Proponent	Mgmt Recommendation
Abbott Laboratories	ABT	002824100	4/25/2014	1.1	Elect Robert J. Alpern	Mgmt	For
Abbott Laboratories	ABT	002824100	4/25/2014	1.2	Elect Roxanne S. Austin	Mgmt	For
Abbott Laboratories	ABT	002824100	4/25/2014	1.3	Elect Sally E. Blount	Mgmt	For
Abbott Laboratories	ABT	002824100	4/25/2014	1.4	Elect W. James Farrell	Mgmt	For
Abbott Laboratories	ABT	002824100	4/25/2014	1.5	Elect Edward M. Liddy	Mgmt	For
Abbott Laboratories	ABT	002824100	4/25/2014	1.6	Elect Nancy McKinstry	Mgmt	For
Abbott Laboratories	ABT	002824100	4/25/2014	1.7	Elect Phebe N. Novakovic	Mgmt	For
Abbott Laboratories	ABT	002824100	4/25/2014	1.8	Elect William A. Osborn	Mgmt	For
Abbott Laboratories	ABT	002824100	4/25/2014	1.9	Elect Samuel C. Scott III	Mgmt	For
Abbott Laboratories	ABT	002824100	4/25/2014	1.10	Elect Glenn F. Tilton	Mgmt	For
Abbott Laboratories	ABT	002824100	4/25/2014	1.11	Elect Miles D. White	Mgmt	For
Abbott Laboratories	ABT	002824100	4/25/2014	2	Ratification of Auditor	Mgmt	For
Abbott Laboratories	ABT	002824100	4/25/2014	3	Advisory Vote on Executive Compensation	Mgmt	For
Abbott Laboratories	ABT	002824100	4/25/2014	4	ShrHldr Proposal Regarding Labeling of Genetically Modified Organisms	ShrHldr	Against
Abbott Laboratories	ABT	002824100	4/25/2014	5	ShrHldr Proposal Regarding Lobbying Report	ShrHldr	Against
Abbott Laboratories	ABT	002824100	4/25/2014	6	ShrHldr Proposal Regarding Excluding Compliance Costs for the Purposes of Executive	ShrHldr	Against

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					Compensation		
AbbVie Inc.	ABBV	00287Y109	5/9/2014	1.1	Elect Robert J. Alpern	Mgmt	For
AbbVie Inc.	ABBV	00287Y109	5/9/2014	1.2	Elect Edward M. Liddy	Mgmt	For
AbbVie Inc.	ABBV	00287Y109	5/9/2014	1.3	Elect Frederick H. Waddell	Mgmt	For
AbbVie Inc.	ABBV	00287Y109	5/9/2014	2	Ratification of Auditor	Mgmt	For
AbbVie Inc.	ABBV	00287Y109	5/9/2014	3	Advisory Vote on Executive Compensation	Mgmt	For
Aberdeen Asia-Pacific Income Fund Inc	FAX	003009107	3/27/2014	1.1	Elect P. Gerald Malone	Mgmt	For
Aberdeen Global Income Fund Inc	FCO	003013109	3/27/2014	1.1	Elect P. Gerald Malone	Mgmt	For
Aberdeen Global Income Fund Inc	FCO	003013109	3/27/2014	1.2	Elect John T. Sheehy	Mgmt	For
Aberdeen Greater China Fund, Inc.	GCH	003031101	6/9/2014	1.1	Elect C. William Maher	Mgmt	For
Aberdeen Greater China Fund, Inc.	GCH	003031101	6/9/2014	1.2	Elect Jonathan J.K. Taylor	Mgmt	For
Aberdeen Israel Fund Inc	ISL	00301L109	3/27/2014	1.1	Elect James J. Cattano	Mgmt	For
Aberdeen Israel Fund Inc	ISL	00301L109	3/27/2014	1.2	Elect Steven N. Rappaport	Mgmt	For
Aberdeen Singapore Fund Inc	SGF	003244100	6/9/2014	1.1	Elect Martin J. Gruber	Mgmt	For
Aberdeen Singapore Fund Inc	SGF	003244100	6/9/2014	1.2	Elect Richard J. Herring	Mgmt	For
The Adams Express Company	ADX	006212104	4/10/2014	1.1	Elect Enrique R. Arzac	Mgmt	For
The Adams Express Company	ADX	006212104	4/10/2014	1.2	Elect Phyllis O. Bonanno	Mgmt	For
The Adams Express Company	ADX	006212104	4/10/2014	1.3	Elect Kenneth J. Dale	Mgmt	For
The Adams Express Company	ADX	006212104	4/10/2014	1.4	Elect Frederic A. Escherich	Mgmt	For
The Adams Express Company	ADX	006212104	4/10/2014	1.5	Elect Roger W. Gale	Mgmt	For

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The Adams Express Company	ADX	006212104	4/10/2014	1.6	Elect Kathleen T. McGahran	Mgmt	For
The Adams Express Company	ADX	006212104	4/10/2014	1.7	Elect Craig R. Smith	Mgmt	For
The Adams Express Company	ADX	006212104	4/10/2014	1.8	Elect Mark E. Stoeckle	Mgmt	For
The Adams Express Company	ADX	006212104	4/10/2014	2	Ratification of Auditor	Mgmt	For
Advent-Claymore Enhanced Growth & Income Fund	LCM	00765E104	10/16/2013	1	Elect Gerald L. Seizert	Mgmt	For
Advent-Claymore Enhanced Growth & Income Fund	LCM	00765E104	10/16/2013	2	Elect Derek Medina	Mgmt	For
Advent-Claymore Enhanced Growth & Income Fund	LCM	00765E104	10/16/2013	3	Elect Randall C. Barnes	Mgmt	For
Allergan, Inc.	AGN	018490102	5/6/2014	1	Elect David E.I. Pyott	Mgmt	For
Allergan, Inc.	AGN	018490102	5/6/2014	2	Elect Michael R. Gallagher	Mgmt	For
Allergan, Inc.	AGN	018490102	5/6/2014	3	Elect Deborah Dunsire	Mgmt	For
Allergan, Inc.	AGN	018490102	5/6/2014	4	Elect Trevor M. Jones	Mgmt	For
Allergan, Inc.	AGN	018490102	5/6/2014	5	Elect Louis J. Lavigne, Jr.	Mgmt	For
Allergan, Inc.	AGN	018490102	5/6/2014	6	Elect Peter J. McDonnell	Mgmt	For
Allergan, Inc.	AGN	018490102	5/6/2014	7	Elect Timothy D. Proctor	Mgmt	For
Allergan, Inc.	AGN	018490102	5/6/2014	8	Elect Russell T. Ray	Mgmt	For
Allergan, Inc.	AGN	018490102	5/6/2014	9	Elect Henri A. Termeer	Mgmt	For
Allergan, Inc.	AGN	018490102	5/6/2014	10	Ratification of Auditor	Mgmt	For
Allergan, Inc.	AGN	018490102	5/6/2014	11	Advisory Vote on Executive Compensation	Mgmt	For
Allergan, Inc.	AGN	018490102	5/6/2014	12	Amendment to Certificate of	Mgmt	For

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					Incorporation Allowing Action by Written Consent		
Allergan, Inc.	AGN	018490102	5/6/2014	13	ShrHldr Proposal Regarding Independent Board Chairman	ShrHldr	Against
AllianzGI Convertible & Income Fund II	NFJ	018829101	7/17/2013	1.1	Elect Deborah A. DeCotis	Mgmt	For
AllianzGI Convertible & Income Fund II	NFJ	018829101	7/17/2013	1.2	Elect Bradford K. Gallagher	Mgmt	For
AllianzGI Convertible & Income Fund II	NFJ	018829101	7/17/2013	1.3	Elect James A. Jacobson	Mgmt	For
AllianzGI Convertible & Income Fund II	NIE	018829101	7/17/2013	1.1	Elect Deborah A. DeCotis	Mgmt	For
AllianzGI Convertible & Income Fund II	NIE	018829101	7/17/2013	1.2	Elect Bradford K. Gallagher	Mgmt	For
AllianzGI Convertible & Income Fund II	NCV	018829101	7/17/2013	1.1	Elect Deborah A. DeCotis	Mgmt	For
AllianzGI Convertible & Income Fund II	NCV	018829101	7/17/2013	1.2	Elect Bradford K. Gallagher	Mgmt	For
AllianzGI Convertible & Income Fund II	NCV	018829101	7/17/2013	1.3	Elect James A. Jacobson	Mgmt	For
AllianzGI Convertible & Income Fund II	NIE	018829101	1/14/2014	1	Issuance of Common Stock	Mgmt	For
Allstate Corporation	ALL	020002101	5/20/2014	1	Elect F. Duane Ackerman	Mgmt	For
Allstate Corporation	ALL	020002101	5/20/2014	2	Elect Robert D. Beyer	Mgmt	For
Allstate Corporation	ALL	020002101	5/20/2014	3	Elect Kermit R. Crawford	Mgmt	For
Allstate Corporation	ALL	020002101	5/20/2014	4	Elect Jack M. Greenberg	Mgmt	For
Allstate Corporation	ALL	020002101	5/20/2014	5	Elect Herbert L. Henkel	Mgmt	For
Allstate Corporation	ALL	020002101	5/20/2014	6	Elect Siddarth N. Mehta	Mgmt	For
Allstate Corporation	ALL	020002101	5/20/2014	7	Elect Andrea Redmond	Mgmt	For
Allstate Corporation	ALL	020002101	5/20/2014	8	Elect John W. Rowe	Mgmt	For

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Allstate Corporation	ALL	020002101	5/20/2014	9	Elect Judith A. Sprieser	Mgmt	For
Allstate Corporation	ALL	020002101	5/20/2014	10	Elect Mary Alice Taylor	Mgmt	For
Allstate Corporation	ALL	020002101	5/20/2014	11	Elect Thomas J. Wilson	Mgmt	For
Allstate Corporation	ALL	020002101	5/20/2014	12	Advisory Vote on Executive Compensation	Mgmt	For
Allstate Corporation	ALL	020002101	5/20/2014	13	Approval of Material Terms of the Annual Incentive Plan	Mgmt	For
Allstate Corporation	ALL	020002101	5/20/2014	14	Ratification of Auditor	Mgmt	For
Allstate Corporation	ALL	020002101	5/20/2014	15	ShrHldr Proposal Regarding Retention of Shares Until Retirement	ShrHldr	Against
Allstate Corporation	ALL	020002101	5/20/2014	16	ShrHldr Proposal Regarding Lobbying Report	ShrHldr	Against
Allstate Corporation	ALL	020002101	5/20/2014	17	ShrHldr Proposal Regarding Political Contributions and Expenditures Report	ShrHldr	Against
Alpine Global Dynamic Dividend Fund	AGD	02082E205	5/28/2014	1.1	Elect Eleanor T Hoagland	Mgmt	For
Alpine Global Dynamic Dividend Fund	AGD	02082E205	5/28/2014	1.2	Elect Jeffrey E. Wacksman	Mgmt	For
Alpine Global Dynamic Dividend Fund	AGD	02082E205	5/28/2014	2	Transaction of Other Business	Mgmt	For
Alpine Global Premier Properties Fund	AWP	02083A103	5/28/2014	1.1	Elect Eleanor T Hoagland	Mgmt	For
Alpine Global Premier Properties Fund	AWP	02083A103	5/28/2014	1.2	Elect Jeffrey E. Wacksman	Mgmt	For
Alpine Global Premier Properties Fund	AWP	02083A103	5/28/2014	2	Transaction of Other Business	Mgmt	For
Alpine Total Dynamic Dividend Fund	AOD	021060207	5/28/2014	1.1	Elect Eleanor T Hoagland	Mgmt	For

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Alpine Total Dynamic Dividend Fund	AOD	021060207	5/28/2014	1.2	Elect Jeffrey E. Wacksman	Mgmt	For
Alpine Total Dynamic Dividend Fund	AOD	021060207	5/28/2014	2	Transaction of Other Business	Mgmt	For
American International Group, Inc.	AIG	026874784	5/12/2014	1	Elect Robert H. Benmosche	Mgmt	For
American International Group, Inc.	AIG	026874784	5/12/2014	2	Elect W. Don Cornwell	Mgmt	For
American International Group, Inc.	AIG	026874784	5/12/2014	3	Elect Peter R. Fisher	Mgmt	For
American International Group, Inc.	AIG	026874784	5/12/2014	4	Elect John Fitzpatrick	Mgmt	For
American International Group, Inc.	AIG	026874784	5/12/2014	5	Elect William G. Jurgensen	Mgmt	For
American International Group, Inc.	AIG	026874784	5/12/2014	6	Elect Christopher S. Lynch	Mgmt	For
American International Group, Inc.	AIG	026874784	5/12/2014	7	Elect Arthur C. Martinez	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/8/2014	15	Ratification of Auditor	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/8/2014	16	Amendments to the Long-Term Incentive Plan	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/8/2014	17	ShrHldr Proposal Regarding Independent Board Chairman	ShrHldr	Against
BB&T Corporation	BBT	054937107	4/29/2014	1.1	Elect Jennifer S. Banner	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.2	Elect K. David Boyer, Jr.	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.3	Elect Anna R. Cablik	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.4	Elect Ronald E. Deal	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.5	Elect James A. Faulkner	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.6	Elect I. Patricia Henry	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.7	Elect John P. Howe, III	Mgmt	For

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BB&T Corporation	BBT	054937107	4/29/2014	1.8	Elect Eric C. Kendrick	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.9	Elect Kelly S. King	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.10	Elect Louis B. Lynn	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.11	Elect Edward C. Milligan	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.12	Elect Charles A. Patton	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.13	Elect Nido R. Qubein	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.14	Elect Tollie W. Rich, Jr.	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.15	Elect Thomas E. Skains	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.16	Elect Thomas N. Thompson	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.17	Elect Edwin H. Welch	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	1.18	Elect Stephen T. Williams	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	2	Ratification of Auditor	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	3	Advisory Vote on Executive Compensation	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	4	Adoption of Majority Vote for Election of Directors	Mgmt	For
BB&T Corporation	BBT	054937107	4/29/2014	5	ShrHldr Proposal Regarding Political Contributions and Expenditures Report	ShrHldr	Against
BB&T Corporation	BBT	054937107	4/29/2014	6	ShrHldr Proposal Regarding Recoupment of Unearned Bonuses	ShrHldr	Against
BlackRock Corporate High Yield Fund Inc	COY	09255L106	10/11/2013	1	Reorganization of BlackRock Corporate High Yield Fund, Inc. ("COY") into HYT	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	CYE	09255M104	7/30/2013	1.1	Elect Paul L. Audet	Mgmt	For
	CYE	09255M104	7/30/2013	1.2		Mgmt	For



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BlackRock Corporation High Yield Fund III Inc					Elect Michael J. Castellano		
BlackRock Corporation High Yield Fund III Inc	CYE	09255M104	7/30/2013	1.3	Elect Richard E. Cavanagh	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	CYE	09255M104	7/30/2013	1.4	Elect Frank J. Fabozzi	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	CYE	09255M104	7/30/2013	1.5	Elect Kathleen F. Feldstein	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	CYE	09255M104	7/30/2013	1.6	Elect James T. Flynn	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	CYE	09255M104	7/30/2013	1.7	Elect Henry Gabbay	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	CYE	09255M104	7/30/2013	1.8	Elect Jerrold B. Harris	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	CYE	09255M104	7/30/2013	1.9	Elect R. Glenn Hubbard	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	CYE	09255M104	7/30/2013	1.10	Elect W. Carl Kester	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	CYE	09255M104	7/30/2013	1.11	Elect Karen P. Robards	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYV	09255M104	7/30/2013	1.1	Elect Paul L. Audet	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYV	09255M104	7/30/2013	1.2	Elect Michael J. Castellano	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYV	09255M104	7/30/2013	1.3	Elect Richard E. Cavanagh	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYV	09255M104	7/30/2013	1.4	Elect Frank J. Fabozzi	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYV	09255M104	7/30/2013	1.5	Elect Kathleen F. Feldstein	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYV	09255M104	7/30/2013	1.6	Elect James T. Flynn	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYV	09255M104	7/30/2013	1.7	Elect Henry Gabbay	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYV	09255M104	7/30/2013	1.8	Elect Jerrold B. Harris	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYV	09255M104	7/30/2013	1.9	Elect R. Glenn Hubbard	Mgmt	For
	HYV	09255M104	7/30/2013	1.10	Elect W. Carl Kester	Mgmt	For

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BlackRock Corporation  
High Yield Fund III Inc

BlackRock Corporation High Yield Fund III Inc	HYV	09255M104	7/30/2013	1.11	Elect Karen P. Robards	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYV	09255M104	10/11/2013	1	Reorganization of BlackRock Corporate High Yield Fund V, Inc. ("HYV") into HYT	Mgmt	For
BlackRock Credit Allocation Income Trust	BTZ	092508100	7/30/2013	1.1	Elect Richard E. Cavanagh	Mgmt	For
BlackRock Credit Allocation Income Trust	BTZ	092508100	7/30/2013	1.2	Elect Kathleen F. Feldstein	Mgmt	For
BlackRock Credit Allocation Income Trust	BTZ	092508100	7/30/2013	1.3	Elect Henry Gabbay	Mgmt	For
BlackRock Credit Allocation Income Trust	BTZ	092508100	7/30/2013	1.4	Elect Jerrold B. Harris	Mgmt	For
Blackrock Ecosolutions Investment Trust	BQR	092546100	7/30/2013	1.1	Elect Richard E. Cavanagh	Mgmt	For
Blackrock Ecosolutions Investment Trust	BQR	092546100	7/30/2013	1.2	Elect Kathleen F. Feldstein	Mgmt	For
Blackrock Ecosolutions Investment Trust	BQR	092546100	7/30/2013	1.3	Elect Henry Gabbay	Mgmt	For
Blackrock Ecosolutions Investment Trust	BQR	092546100	7/30/2013	1.4	Elect Jerrold B. Harris	Mgmt	For
Blackrock Energy & Resources Trust	BGR	09250U101	7/30/2013	1.1	Elect Richard E. Cavanagh	Mgmt	For
Blackrock Energy & Resources Trust	BGR	09250U101	7/30/2013	1.2	Elect Kathleen F. Feldstein	Mgmt	For
Blackrock Energy & Resources Trust	BGR	09250U101	7/30/2013	1.3	Elect Henry Gabbay	Mgmt	For
Blackrock Energy & Resources Trust	BGR	09250U101	7/30/2013	1.4	Elect Jerrold B. Harris	Mgmt	For
BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/30/2013	1.1	Elect Paul L. Audet	Mgmt	For
BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/30/2013	1.2	Elect Michael J. Castellano	Mgmt	For
	CII	09256A109	7/30/2013	1.3		Mgmt	For

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BlackRock Enhanced Capital and Income Fund Inc						Elect Richard E. Cavanagh		
BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/30/2013	1.4		Elect Frank J. Fabozzi	Mgmt	For
BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/30/2013	1.5		Elect Kathleen F. Feldstein	Mgmt	For
BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/30/2013	1.6		Elect James T. Flynn	Mgmt	For
BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/30/2013	1.7		Elect Henry Gabbay	Mgmt	For
BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/30/2013	1.8		Elect Jerrold B. Harris	Mgmt	For
BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/30/2013	1.9		Elect R. Glenn Hubbard	Mgmt	For
BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/30/2013	1.10		Elect W. Carl Kester	Mgmt	For
BlackRock Enhanced Capital and Income Fund Inc	CII	09256A109	7/30/2013	1.11		Elect Karen P. Robards	Mgmt	For
BlackRock Enhanced Equity Dividend Trust	BDJ	09251A104	7/30/2013	1.1		Elect Richard E. Cavanagh	Mgmt	For
BlackRock Enhanced Equity Dividend Trust	BDJ	09251A104	7/30/2013	1.2		Elect Kathleen F. Feldstein	Mgmt	For
BlackRock Enhanced Equity Dividend Trust	BDJ	09251A104	7/30/2013	1.3		Elect Henry Gabbay	Mgmt	For
BlackRock Enhanced Equity Dividend Trust	BDJ	09251A104	7/30/2013	1.4		Elect Jerrold B. Harris	Mgmt	For
BlackRock Global Opportunities Equity Trust	BOE	092501105	7/30/2013	1.1		Elect Richard E. Cavanagh	Mgmt	For
BlackRock Global Opportunities Equity Trust	BOE	092501105	7/30/2013	1.2		Elect Kathleen F. Feldstein	Mgmt	For

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BlackRock Global Opportunities Equity Trust	BOE	092501105	7/30/2013	1.3	Elect Henry Gabbay	Mgmt	For
BlackRock Global Opportunities Equity Trust	BOE	092501105	7/30/2013	1.4	Elect Jerrold B. Harris	Mgmt	For
BlackRock High Income Shares	HIS	09250E107	10/11/2013	1	Reorganization of BlackRock High Income Shares ("HIS") into HYT	Mgmt	For
BlackRock High Yield Trust.	BHY	09248N102	10/11/2013	1	Reorganization of BlackRock High Yield Trust ("BHY") into HYT	Mgmt	For
BlackRock International Growth and Income Trust	BGY	092524107	7/30/2013	1.1	Elect Richard E. Cavanagh	Mgmt	For
BlackRock International Growth and Income Trust	BGY	092524107	7/30/2013	1.2	Elect Kathleen F. Feldstein	Mgmt	For
BlackRock International Growth and Income Trust	BGY	092524107	7/30/2013	1.3	Elect Henry Gabbay	Mgmt	For
BlackRock International Growth and Income Trust	BGY	092524107	7/30/2013	1.4	Elect Jerrold B. Harris	Mgmt	For
BlackRock Real Asset Equity Trust	BCF	09254B109	7/30/2013	1.1	Elect Richard E. Cavanagh	Mgmt	For
BlackRock Real Asset Equity Trust	BCF	09254B109	7/30/2013	1.2	Elect Kathleen F. Feldstein	Mgmt	For
BlackRock Real Asset Equity Trust	BCF	09254B109	7/30/2013	1.3	Elect Henry Gabbay	Mgmt	For
Cohen & Steers Quality Income Realty Fund, Inc.	RQI	19247L106	4/24/2014	1.2	Elect Michael Clark	Mgmt	For
Cohen & Steers Quality Income Realty Fund, Inc.	RQI	19247L106	4/24/2014	1.3	Elect Richard E. Kroon	Mgmt	For
Cohen & Steers REIT & Preferred Income Fund, Inc.	RNP	19247X100	4/24/2014	1.1	Elect Bonnie Cohen	Mgmt	For
	RNP	19247X100	4/24/2014	1.2	Elect Michael Clark	Mgmt	For

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Cohen & Steers REIT &  
Preferred Income Fund,  
Inc.

Cohen & Steers REIT & Preferred Income Fund, Inc.	RNP	19247X100	4/24/2014	1.3	Elect Richard E. Kroon	Mgmt	For
Cohen & Steers Total Return Realty Fund Inc	RFI	19247R103	4/24/2014	1	Issuance of Common Stock	Mgmt	For
Cohen & Steers Total Return Realty Fund Inc	RFI	19247R103	4/24/2014	2	Authority to Increase or Decrease Authorized Common Stock	Mgmt	For
Cohen & Steers Total Return Realty Fund Inc	RFI	19247R103	4/24/2014	3	Amendment to Investment Objective Regarding Loans	Mgmt	For
Cohen & Steers Total Return Realty Fund Inc	RFI	19247R103	4/24/2014	4	Amendment to Investment Objective Regarding Short Sales or Positions	Mgmt	For
Cohen & Steers Total Return Realty Fund Inc	RFI	19247R103	4/24/2014	5	Amendment to Investment Objective Regarding Purchases Made on Margin	Mgmt	For
Cohen & Steers Total Return Realty Fund Inc	RFI	19247R103	4/24/2014	1.1	Elect Bonnie Cohen	Mgmt	For
Cohen & Steers Total Return Realty Fund Inc	RFI	19247R103	4/24/2014	1.2	Elect Michael Clark	Mgmt	For
Cohen & Steers Total Return Realty Fund Inc	RFI	19247R103	4/24/2014	1.3	Elect Richard E. Kroon	Mgmt	For
Comcast Corporation	CMCSA	20030N200	5/21/2014	1.1	Elect Kenneth J. Bacon	Mgmt	For
Comcast Corporation	CMCSA	20030N200	5/21/2014	1.2	Elect Sheldon M. Bonovitz	Mgmt	For
Comcast Corporation	CMCSA	20030N200	5/21/2014	1.3	Elect Edward D. Breen	Mgmt	For
Comcast Corporation	CMCSA	20030N200	5/21/2014	1.4	Elect Joseph J. Collins	Mgmt	For
Comcast Corporation	CMCSA	20030N200	5/21/2014	1.5	Elect J. Michael Cook	Mgmt	For
Comcast Corporation	CMCSA	20030N200	5/21/2014	1.6	Elect Gerald L. Hassell	Mgmt	For
Comcast Corporation	CMCSA	20030N200	5/21/2014	1.7		Mgmt	For

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						Elect Jeffrey A. Honickman		
Comcast Corporation	CMCSA	20030N200	5/21/2014	1.8	Elect Eduardo G. Mestre	Mgmt	For	
Comcast Corporation	CMCSA	20030N200	5/21/2014	1.9	Elect Brian L. Roberts	Mgmt	For	
Comcast Corporation	CMCSA	20030N200	5/21/2014	1.10	Elect Ralph J. Roberts	Mgmt	For	
Comcast Corporation	CMCSA	20030N200	5/21/2014	1.11	Elect Johnathan A. Rodgers	Mgmt	For	
Comcast Corporation	CMCSA	20030N200	5/21/2014	1.12	Elect Judith Rodin	Mgmt	For	
Comcast Corporation	CMCSA	20030N200	5/21/2014	2	Ratification of Auditor	Mgmt	For	
Comcast Corporation	CMCSA	20030N200	5/21/2014	3	Advisory Vote on Executive Compensation	Mgmt	For	
Comcast Corporation	CMCSA	20030N200	5/21/2014	4	ShrHldr Proposal Regarding Lobbying Report	ShrHldr	Against	
Comcast Corporation	CMCSA	20030N200	5/21/2014	5	ShrHldr Proposal Regarding Compensation in the Event of a Change in Control	ShrHldr	Against	
ConocoPhillips	COP	20825C104	5/13/2014	1	Elect Richard L. Armitage	Mgmt	For	
ConocoPhillips	COP	20825C104	5/13/2014	2	Elect Richard H. Auchinleck	Mgmt	For	
ConocoPhillips	COP	20825C104	5/13/2014	3	Elect Charles E. Bunch	Mgmt	For	
ConocoPhillips	COP	20825C104	5/13/2014	4	Elect James E. Copeland, Jr.	Mgmt	For	
ConocoPhillips	COP	20825C104	5/13/2014	5	Elect Jody L Freeman	Mgmt	For	
ConocoPhillips	COP	20825C104	5/13/2014	6	Elect Gay Huey Evans	Mgmt	For	
ConocoPhillips	COP	20825C104	5/13/2014	7	Elect Ryan M. Lance	Mgmt	For	
ConocoPhillips	COP	20825C104	5/13/2014	8	Elect Robert A. Niblock	Mgmt	For	
ConocoPhillips	COP	20825C104	5/13/2014	9	Elect Harald J. Norvik	Mgmt	For	
ConocoPhillips	COP	20825C104	5/13/2014	10	Elect William E. Wade, Jr.	Mgmt	For	

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ConocoPhillips	COP	20825C104	5/13/2014	11	Ratification of Auditor	Mgmt	For
ConocoPhillips	COP	20825C104	5/13/2014	12	Advisory Vote on Executive Compensation	Mgmt	For
ConocoPhillips	COP	20825C104	5/13/2014	13	2014 Omnibus Stock and Performance Incentive Plan	Mgmt	For
ConocoPhillips	COP	20825C104	5/13/2014	14	ShrHldr Proposal Regarding Lobbying Report	ShrHldr	Against
ConocoPhillips	COP	20825C104	5/13/2014	15	ShrHldr Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions	ShrHldr	Against
Corning Incorporated	GLW	219350105	4/29/2014	1	Elect Stephanie A. Burns	Mgmt	For
Corning Incorporated	GLW	219350105	4/29/2014	2	Elect John A. Canning Jr.	Mgmt	For
Corning Incorporated	GLW	219350105	4/29/2014	3	Elect Richard T. Clark	Mgmt	For
Corning Incorporated	GLW	219350105	4/29/2014	4	Elect Robert F. Cummings, Jr.	Mgmt	For
Corning Incorporated	GLW	219350105	4/29/2014	5	Elect James B. Flaws	Mgmt	For
Corning Incorporated	GLW	219350105	4/29/2014	6	Elect Deborah A. Henretta	Mgmt	For
Corning Incorporated	GLW	219350105	4/29/2014	7	Elect Kurt M. Landgraf	Mgmt	For
Corning Incorporated	GLW	219350105	4/29/2014	8	Elect Kevin J. Martin	Mgmt	For
Corning Incorporated	GLW	219350105	4/29/2014	9	Elect Deborah D. Rieman	Mgmt	For
Corning Incorporated	GLW	219350105	4/29/2014	10	Elect Hansel E. Tookes II	Mgmt	For
Corning Incorporated	GLW	219350105	4/29/2014	11	Elect Wendell P. Weeks	Mgmt	For
Corning Incorporated	GLW	219350105	4/29/2014	12	Elect Mark S. Wrighton	Mgmt	For
Corning Incorporated	GLW	219350105	4/29/2014	13	Advisory Vote on Executive Compensation	Mgmt	For

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Corning Incorporated	GLW	219350105	4/29/2014	14	2014 Variable Compensation Plan	Mgmt	For
Corning Incorporated	GLW	219350105	4/29/2014	15	Ratification of Auditor	Mgmt	For
Cutwater Select Income Fund	CSI	232229104	6/18/2014	1.1	Elect W. Thacher Brown	Mgmt	For
Cutwater Select Income Fund	CSI	232229104	6/18/2014	1.2	Elect Gautam Khanna	Mgmt	For
Cutwater Select Income Fund	CSI	232229104	6/18/2014	1.3	Elect Ellen D. Harvey	Mgmt	For
Cutwater Select Income Fund	CSI	232229104	6/18/2014	1.4	Elect Thomas E. Spock	Mgmt	For
Cutwater Select Income Fund	CSI	232229104	6/18/2014	1.5	Elect Suzanne P. Welsh	Mgmt	For
Deere & Company	DE	244199105	2/26/2014	1	Elect Samuel R. Allen	Mgmt	For
Deere & Company	DE	244199105	2/26/2014	2	Elect Crandall C. Bowles	Mgmt	For
Deere & Company	DE	244199105	2/26/2014	3	Elect Vance D. Coffman	Mgmt	For
Deere & Company	DE	244199105	2/26/2014	4	Elect Charles O. Holliday, Jr.	Mgmt	For
Deere & Company	DE	244199105	2/26/2014	5	Elect Dipak C. Jain	Mgmt	For
Deere & Company	DE	244199105	2/26/2014	6	Elect Clayton M. Jones	Mgmt	For
Deere & Company	DE	244199105	2/26/2014	7	Elect Joachim Milberg	Mgmt	For
Deere & Company	DE	244199105	2/26/2014	8	Elect Richard B. Myers	Mgmt	For
Deere & Company	DE	244199105	2/26/2014	9	Elect Gregory R. Page	Mgmt	For
Deere & Company	DE	244199105	2/26/2014	10	Elect Thomas H. Patrick	Mgmt	For
Deere & Company	DE	244199105	2/26/2014	11	Elect Sherry M. Smith	Mgmt	For
Deere & Company	DE	244199105	2/26/2014	12	Advisory Vote on Executive Compensation	Mgmt	For
Deere & Company	DE	244199105	2/26/2014	13	Ratification of Auditor	Mgmt	For
Delaware Enhanced Global Dividend &	DEX	246060107	8/21/2013	1.1	Elect Thomas L. Bennett	Mgmt	For



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Income Fund

Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/21/2013	1.2	Elect Joseph W. Chow	Mgmt	For
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/21/2013	1.3	Elect Patrick P. Coyne	Mgmt	For
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/21/2013	1.4	Elect John A. Fry	Mgmt	For
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/21/2013	1.5	Elect Anthony D. Knerr	Mgmt	For
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/21/2013	1.6	Elect Lucinda S. Landreth	Mgmt	For
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/21/2013	1.7	Elect Frances A. Sevilla-Sacasa	Mgmt	For
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/21/2013	1.8	Elect Thomas K. Whitford	Mgmt	For
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/21/2013	1.9	Elect Janet L. Yeomans	Mgmt	For
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/21/2013	1.10	Elect J. Richard Zecher	Mgmt	For
Devon Energy Corporation	DVN	25179M103	6/4/2014	1.1	Elect Barbara M. Baumann	Mgmt	For
Devon Energy Corporation	DVN	25179M103	6/4/2014	1.2	Elect John E. Bethancourt	Mgmt	For
Devon Energy Corporation	DVN	25179M103	6/4/2014	1.3	Elect Robert H. Henry	Mgmt	For
Devon Energy Corporation	DVN	25179M103	6/4/2014	1.4	Elect John A. Hill	Mgmt	For
Devon Energy Corporation	DVN	25179M103	6/4/2014	1.5	Elect Michael M. Kanovsky	Mgmt	For
Devon Energy Corporation	DVN	25179M103	6/4/2014	1.6	Elect Robert A. Mosbacher, Jr.	Mgmt	For
	DVN	25179M103	6/4/2014	1.7	Elect J. Larry Nichols	Mgmt	For

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Devon Energy Corporation								
Devon Energy Corporation	DVN	25179M103	6/4/2014	1.8	Elect Duane C. Radtke	Mgmt	For	
Devon Energy Corporation	DVN	25179M103	6/4/2014	1.9	Elect Mary P. Ricciardello	Mgmt	For	
Devon Energy Corporation	DVN	25179M103	6/4/2014	1.10	Elect John Richels	Mgmt	For	
Devon Energy Corporation	DVN	25179M103	6/4/2014	2	Advisory Vote on Executive Compensation	Mgmt	For	
Exelon Corporation	EXC	30161N101	5/6/2014	3	Elect John A. Canning Jr.	Mgmt	For	
Exelon Corporation	EXC	30161N101	5/6/2014	4	Elect Christopher M. Crane	Mgmt	For	
Exelon Corporation	EXC	30161N101	5/6/2014	5	Elect Yves C. de Balmann	Mgmt	For	
Exelon Corporation	EXC	30161N101	5/6/2014	6	Elect Nicholas DeBenedictis	Mgmt	For	
Exelon Corporation	EXC	30161N101	5/6/2014	7	Elect Nelson A. Diaz	Mgmt	For	
Exelon Corporation	EXC	30161N101	5/6/2014	8	Elect Sue Ling Gin	Mgmt	For	
Exelon Corporation	EXC	30161N101	5/6/2014	9	Elect Paul L. Joskow	Mgmt	For	
Exelon Corporation	EXC	30161N101	5/6/2014	10	Elect Robert J. Lawless	Mgmt	For	
Exelon Corporation	EXC	30161N101	5/6/2014	11	Elect Richard W. Mies	Mgmt	For	
Exelon Corporation	EXC	30161N101	5/6/2014	12	Elect William C. Richardson	Mgmt	For	
Exelon Corporation	EXC	30161N101	5/6/2014	13	Elect John W. Rogers, Jr.	Mgmt	For	
Exelon Corporation	EXC	30161N101	5/6/2014	14	Elect Mayo A. Shattuck III	Mgmt	For	
Exelon Corporation	EXC	30161N101	5/6/2014	15	Elect Stephen D. Steinour	Mgmt	For	
Exelon Corporation	EXC	30161N101	5/6/2014	16	Ratification of Auditor	Mgmt	For	
Exelon Corporation	EXC	30161N101	5/6/2014	17	Advisory Vote on Executive Compensation	Mgmt	For	

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Exelon Corporation	EXC	30161N101	5/6/2014	18	Renewal of the Annual Incentive Plan for Senior Executives	Mgmt	For
Exelon Corporation	EXC	30161N101	5/6/2014	19	ShrHldr Proposal Regarding Pay Ratio	ShrHldr	Against
Federated Enhanced Treasury Income Fund	FTT	314162108	9/13/2013	1.1	Elect Thomas M. O'Neill	Mgmt	For
Federated Enhanced Treasury Income Fund	FTT	314162108	9/13/2013	1.2	Elect John S. Walsh	Mgmt	For
First Trust Dividend and Income Fund	FAV	33731L100	9/16/2013	1	Approval of a New Sub-Advisory Agreement Between First Trust Dividend & Income Fund and Chartwell Investment Partners, L.P.	Mgmt	For
First Trust Energy Income and Growth Fund	FEO	33731K102	4/23/2014	1.1	Elect Robert F. Keith	Mgmt	For
First Trust Energy Income and Growth Fund	FEO	33731K102	4/23/2014	1.1	Elect Robert F. Keith	Mgmt	For
First Trust High Income Long Short Fund	FSD	33738E109	4/23/2014	1.1	Elect Robert F. Keith	Mgmt	For
First Trust Mortgage Income Fund	FMY	33734E103	4/23/2014	1.1	Elect Robert F. Keith	Mgmt	For
Franklin Universal Trust	FT	355145103	3/21/2014	1.1	Elect Harris J. Ashton	Mgmt	For
Franklin Universal Trust	FT	355145103	3/21/2014	1.2	Elect Sam Ginn	Mgmt	For
Franklin Universal Trust	FT	355145103	3/21/2014	1.3	Elect Edith E. Holiday	Mgmt	For
Franklin Universal Trust	FT	355145103	3/21/2014	1.4	Elect Gregory E. Johnson	Mgmt	For
Franklin Universal Trust	FT	355145103	3/21/2014	1.5	Elect Rupert H. Johnson, Jr.	Mgmt	For
Franklin Universal Trust	FT	355145103	3/21/2014	1.6	Elect J. Michael Luttig	Mgmt	For
Franklin Universal Trust	FT	355145103	3/21/2014	1.7	Elect Frank A. Olson	Mgmt	For
	FT	355145103	3/21/2014	1.8		Mgmt	For

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Franklin Universal Trust					Elect Larry D. Thompson		
Franklin Universal Trust	FT	355145103	3/21/2014	1.9	Elect John B. Wilson	Mgmt	For
Gabelli Global Utility & Income Trust.	GLU	36242L105	5/12/2014	1.1	Elect Salvatore J. Zizza	Mgmt	For
GAMCO Natural Resources, Gold & Income Trust	GGN	36465A109	5/12/2014	1.1	Elect Frank J. Fahrenkopf, Jr.	Mgmt	For
GAMCO Natural Resources, Gold & Income Trust	GGN	36465A109	5/12/2014	1.2	Elect Salvatore J. Zizza	Mgmt	For
GAMCO Natural Resources, Gold & Income Trust	GNT	36465A109	5/12/2014	1.1	Elect Mario d'Urso	Mgmt	For
GAMCO Natural Resources, Gold & Income Trust	GNT	36465A109	5/12/2014	1.2	Elect Michael J. Melarkey	Mgmt	For
GAMCO Natural Resources, Gold & Income Trust	GNT	36465A109	5/12/2014	1.3	Elect Anthonie C. van Ekris	Mgmt	For
GDL Fund	GDL	361570104	5/12/2014	1.1	Elect Clarence A. Davis	Mgmt	For
GDL Fund	GDL	361570104	5/12/2014	1.2	Elect Arthur V. Ferrara	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/16/2014	1.1	Elect Rodney B. Berens	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/16/2014	1.2	Elect Lewis B. Cullman	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/16/2014	1.3	Elect Spencer Davidson	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/16/2014	1.4	Elect Gerald M. Edelman	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/16/2014	1.5	Elect John D. Gordan, III	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/16/2014	1.6	Elect Betsy F. Gotbaum	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/16/2014	1.7	Elect Sidney R. Knafel	Mgmt	For
	GAM	368802104	4/16/2014	1.8		Mgmt	For

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General American Investors Company, Inc.						Elect Daniel M. Neidich		
General American Investors Company, Inc.	GAM	368802104	4/16/2014	1.9		Elect Jeffrey W. Priest	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/16/2014	2		Ratification of Auditor	Mgmt	For
General Dynamics Corporation	GD	369550108	5/7/2014	1		Elect Mary T. Barra	Mgmt	For
General Dynamics Corporation	GD	369550108	5/7/2014	2		Elect Nicholas D. Chabraja	Mgmt	For
General Dynamics Corporation	GD	369550108	5/7/2014	3		Elect James S. Crown	Mgmt	For
General Dynamics Corporation	GD	369550108	5/7/2014	4		Elect William P. Fricks	Mgmt	For
General Dynamics Corporation	GD	369550108	5/7/2014	5		Elect Paul G. Kaminski	Mgmt	For
General Dynamics Corporation	GD	369550108	5/7/2014	6		Elect John M. Keane	Mgmt	For
General Dynamics Corporation	GD	369550108	5/7/2014	7		Elect Lester L. Lyles	Mgmt	For
General Dynamics Corporation	GD	369550108	5/7/2014	8		Elect James N. Mattis	Mgmt	For
General Dynamics Corporation	GD	369550108	5/7/2014	9		Elect Phebe N. Novakovic	Mgmt	For
General Dynamics Corporation	GD	369550108	5/7/2014	10		Elect William A. Osborn	Mgmt	For
General Dynamics Corporation	GD	369550108	5/7/2014	11		Elect Laura J Schumacher	Mgmt	For
General Dynamics Corporation	GD	369550108	5/7/2014	12		Elect Sir Robert Walmsley	Mgmt	For
General Dynamics Corporation	GD	369550108	5/7/2014	13		Ratification of Auditor	Mgmt	For
General Dynamics Corporation	GD	369550108	5/7/2014	14		Advisory Vote on Executive Compensation	Mgmt	For
General Dynamics Corporation	GD	369550108	5/7/2014	15		ShrHldr Proposal Regarding Independent Board Chairman	ShrHldr	Against

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General Dynamics Corporation	GD	369550108	5/7/2014	16	ShrHldr Proposal Regarding Lobbying Report	ShrHldr	Against
General Electric Company	GE	369604103	4/23/2014	1	Elect W. Geoffrey Beattie	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	2	Elect John J. Brennan	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	3	Elect James I. Cash, Jr.	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	4	Elect Francisco D'Souza	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	5	Elect Marijn E. Dekkers	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	6	Elect Ann M. Fudge	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	7	Elect Susan Hockfield	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	8	Elect Jeffrey R. Immelt	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	9	Elect Andrea Jung	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	10	Elect Robert W. Lane	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	11	Elect Rochelle B. Lazarus	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	12	Elect James J. Mulva	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	13	Elect James E. Rohr	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	14	Elect Mary L. Schapiro	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	15	Elect Robert J. Swieringa	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	16	Elect James S. Tisch	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	17	Elect Douglas A. Warner III	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	18	Advisory Vote on Executive Compensation	Mgmt	For

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General Electric Company	GE	369604103	4/23/2014	19	Ratification of Auditor	Mgmt	For
General Electric Company	GE	369604103	4/23/2014	20	ShrHldr Proposal Regarding Cumulative Voting	ShrHldr	Against
General Electric Company	GE	369604103	4/23/2014	21	ShrHldr Proposal Regarding Retention of Shares	ShrHldr	Against
General Electric Company	GE	369604103	4/23/2014	22	ShrHldr Proposal Regarding Double Board Nominees	ShrHldr	Against
General Electric Company	GE	369604103	4/23/2014	23	ShrHldr Proposal Regarding Right to Act by Written Consent	ShrHldr	Against
General Electric Company	GE	369604103	4/23/2014	24	ShrHldr Proposal Regarding Cessation of All Stock Options and Bonuses	ShrHldr	Against
General Electric Company	GE	369604103	4/23/2014	25	ShrHldr Proposal Regarding Sale of Company	ShrHldr	Against
Goldman Sachs Group Inc.	GS	38141G104	5/16/2014	1	Elect Lloyd C. Blankfein	Mgmt	For
Goldman Sachs Group Inc.	GS	38141G104	5/16/2014	2	Elect M. Michele Burns	Mgmt	For
Goldman Sachs Group Inc.	GS	38141G104	5/16/2014	3	Elect Gary D. Cohn	Mgmt	For
Goldman Sachs Group Inc.	GS	38141G104	5/16/2014	4	Elect Claes Dahlbäck	Mgmt	For
Goldman Sachs Group Inc.	GS	38141G104	5/16/2014	5	Elect William W. George	Mgmt	For
Invesco Municipal Trust	VKQ	46131J103	8/2/2013	2	Elect Colin D. Meadows	Mgmt	For
John Hancock Income Securities Trust	JHS	410123103	2/18/2014	1.1	Elect Charles L. Bardelis	Mgmt	For
John Hancock Income Securities Trust	JHS	410123103	2/18/2014	1.2	Elect James R. Boyle	Mgmt	For
John Hancock Income Securities Trust	JHS	410123103	2/18/2014	1.3	Elect Craig Bromley	Mgmt	For
	JHS	410123103	2/18/2014	1.4	Elect Peter S. Burgess	Mgmt	For

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John Hancock Income Securities Trust

John Hancock Income Securities Trust	JHS	410123103	2/18/2014	1.5	Elect William H. Cunningham	Mgmt	For
John Hancock Income Securities Trust	JHS	410123103	2/18/2014	1.6	Elect Grace K. Fey	Mgmt	For
John Hancock Income Securities Trust	JHS	410123103	2/18/2014	1.7	Elect Theron S. Hoffman	Mgmt	For
John Hancock Income Securities Trust	JHS	410123103	2/18/2014	1.8	Elect Deborah C. Jackson	Mgmt	For
John Hancock Income Securities Trust	JHS	410123103	2/18/2014	1.9	Elect Hassell H. McClellan	Mgmt	For
John Hancock Income Securities Trust	JHS	410123103	2/18/2014	1.10	Elect James M. Oates	Mgmt	For
John Hancock Income Securities Trust	JHS	410123103	2/18/2014	1.11	Elect Steven R. Pruchansky	Mgmt	For
John Hancock Income Securities Trust	JHS	410123103	2/18/2014	1.12	Elect Gregory A. Russo	Mgmt	For
John Hancock Income Securities Trust	JHS	410123103	2/18/2014	1.13	Elect Warren A. Thomson	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/18/2014	1.1	Elect Charles L. Bardelis	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/18/2014	1.2	Elect James R. Boyle	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/18/2014	1.3	Elect Craig Bromley	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/18/2014	1.4	Elect Peter S. Burgess	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/18/2014	1.5	Elect William H. Cunningham	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/18/2014	1.6	Elect Grace K. Fey	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/18/2014	1.7	Elect Theron S. Hoffman	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/18/2014	1.8	Elect Deborah C. Jackson	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/18/2014	1.9	Elect Hassell H. McClellan	Mgmt	For
	JHI	410142103	2/18/2014	1.10	Elect James M. Oates	Mgmt	For



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John Hancock Investors Trust

John Hancock Investors Trust	JHI	410142103	2/18/2014	1.11	Elect Steven R. Pruchansky	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/18/2014	1.12	Elect Gregory A. Russo	Mgmt	For
John Hancock Investors Trust	JHI	410142103	2/18/2014	1.13	Elect Warren A. Thomson	Mgmt	For
John Hancock Premium Dividend Fund	PDT	41013T105	2/18/2014	1.1	Elect James R. Boyle	Mgmt	For
John Hancock Premium Dividend Fund	PDT	41013T105	2/18/2014	1.2	Elect William H. Cunningham	Mgmt	For
John Hancock Premium Dividend Fund	PDT	41013T105	2/18/2014	1.3	Elect Grace K. Fey	Mgmt	For
John Hancock Premium Dividend Fund	PDT	41013T105	2/18/2014	1.4	Elect Hassell H. McClellan	Mgmt	For
John Hancock Premium Dividend Fund	PDT	41013T105	2/18/2014	1.5	Elect Gregory A. Russo	Mgmt	For
John Hancock Tax-Advantaged Dividend Income	HTD	41013V100	2/18/2014	1.1	Elect James R. Boyle	Mgmt	For
John Hancock Tax-Advantaged Dividend Income	HTD	41013V100	2/18/2014	1.2	Elect William H. Cunningham	Mgmt	For
John Hancock Tax-Advantaged Dividend Income	HTD	41013V100	2/18/2014	1.3	Elect Grace K. Fey	Mgmt	For
John Hancock Tax-Advantaged Dividend Income	HTD	41013V100	2/18/2014	1.4	Elect Hassell H. McClellan	Mgmt	For
John Hancock Tax-Advantaged Dividend Income	HTD	41013V100	2/18/2014	1.5	Elect Gregory A. Russo	Mgmt	For
Johnson Controls, Inc.	JCI	478366107	1/29/2014	1.1	Elect Natalie A. Black	Mgmt	For
Johnson Controls, Inc.	JCI	478366107	1/29/2014	1.2	Elect Raymond L. Conner	Mgmt	For
Johnson Controls, Inc.	JCI	478366107	1/29/2014	1.3	Elect William H. Lacy	Mgmt	For
Johnson Controls, Inc.	JCI	478366107	1/29/2014	1.4	Elect Alex A. Molinaroli	Mgmt	For
Johnson Controls, Inc.	JCI	478366107	1/29/2014	2		Mgmt	For

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					Ratification of Auditor		
Johnson Controls, Inc.	JCI	478366107	1/29/2014	3	Advisory Vote on Executive Compensation	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	1	Elect Linda B. Bammann	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	2	Elect James A. Bell	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	3	Elect Crandall C. Bowles	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	4	Elect Stephen B. Burke	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	5	Elect James S. Crown	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	6	Elect James Dimon	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	7	Elect Timothy P. Flynn	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	8	Elect Laban P. Jackson, Jr.	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	9	Elect Michael A. Neal	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	10	Elect Lee R. Raymond	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	11	Elect William C. Weldon	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	12	Advisory Vote on Executive Compensation	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	13	Ratification of Auditor	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	14	ShrHldr Proposal Regarding Lobbying Report	ShrHldr	Against
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	15	ShrHldr Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against
JPMorgan Chase & Co.	JPM	46625H100	5/20/2014	16	ShrHldr Proposal Regarding Cumulative Voting	ShrHldr	Against
Kellogg Company	K	487836108	4/25/2014	1.1	Elect John Bryant	Mgmt	For
Kellogg Company	K	487836108	4/25/2014	1.2		Mgmt	For

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						Elect Stephanie A. Burns		
Kellogg Company	K	487836108	4/25/2014	1.3	Elect June Montgomery Tabron	Mgmt	For	
Kellogg Company	K	487836108	4/25/2014	1.4	Elect Rogelio Rebolledo	Mgmt	For	
Kellogg Company	K	487836108	4/25/2014	2	Advisory Vote on Executive Compensation	Mgmt	For	
Kellogg Company	K	487836108	4/25/2014	3	Repeal of Classified Board	Mgmt	For	
Kellogg Company	K	487836108	4/25/2014	4	Ratification of Auditor	Mgmt	For	
Kellogg Company	K	487836108	4/25/2014	5	ShrHldr Proposal Regarding Human Rights Report	ShrHldr	Against	
Kellogg Company	K	487836108	4/25/2014	6	ShrHldr Proposal Regarding Simple Majority Vote	ShrHldr	Against	
Korea Equity Fund Inc	KEF	50063B104	8/15/2013	1.1	Elect E. Han Kim	Mgmt	For	
Korea Equity Fund Inc	KEF	50063B104	8/15/2013	1.2	Elect Marcia L. MacHarg	Mgmt	For	
Kroger Co.	KR	501044101	6/26/2014	1	Elect Reuben V. Anderson	Mgmt	For	
Kroger Co.	KR	501044101	6/26/2014	2	Elect Robert D. Beyer	Mgmt	For	
Kroger Co.	KR	501044101	6/26/2014	3	Elect David B. Dillon	Mgmt	For	
Kroger Co.	KR	501044101	6/26/2014	4	Elect Susan J. Kropf	Mgmt	For	
Kroger Co.	KR	501044101	6/26/2014	5	Elect David B. Lewis	Mgmt	For	
Kroger Co.	KR	501044101	6/26/2014	6	Elect W. Rodney McMullen	Mgmt	For	
Kroger Co.	KR	501044101	6/26/2014	7	Elect Jorge P. Montoya	Mgmt	For	
Kroger Co.	KR	501044101	6/26/2014	8	Elect Clyde R. Moore	Mgmt	For	
Kroger Co.	KR	501044101	6/26/2014	9	Elect Susan M. Phillips	Mgmt	For	
Kroger Co.	KR	501044101	6/26/2014	10	Elect Steven R. Rogel	Mgmt	For	
Kroger Co.	KR	501044101	6/26/2014	11	Elect James A. Runde	Mgmt	For	

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Kroger Co.	KR	501044101	6/26/2014	12	Elect Ronald L. Sargent	Mgmt	For
Kroger Co.	KR	501044101	6/26/2014	13	Elect Bobby S. Shackouls	Mgmt	For
Kroger Co.	KR	501044101	6/26/2014	14	2014 Long-Term Incentive and Cash Bonus Plan	Mgmt	For
Kroger Co.	KR	501044101	6/26/2014	15	Advisory Vote on Executive Compensation	Mgmt	For
Kroger Co.	KR	501044101	6/26/2014	16	Ratification of Auditor	Mgmt	For
Kroger Co.	KR	501044101	6/26/2014	17	ShrHldr Proposal Regarding Human Rights Report	ShrHldr	Against
Kroger Co.	KR	501044101	6/26/2014	18	ShrHldr Proposal Regarding Extended Producer Responsibility	ShrHldr	Against
Liberty All-Star Equity Fund	USA	530158104	8/29/2013	1.1	Elect Richard W. Lowry	Mgmt	For
Liberty All-Star Equity Fund	USA	530158104	8/29/2013	1.2	Elect John J. Neuhauser	Mgmt	For
Liberty All-Star Equity Fund	USA	530158104	8/29/2013	1.3	Elect Richard C. Rantzow	Mgmt	For
Eli Lilly and Company	LLY	532457108	5/5/2014	1	Elect Michael L. Eskew	Mgmt	For
Eli Lilly and Company	LLY	532457108	5/5/2014	2	Elect Karen N. Horn	Mgmt	For
Eli Lilly and Company	LLY	532457108	5/5/2014	3	Elect William Kaelin	Mgmt	For
Microsoft Corporation	MSFT	594918104	11/19/2013	5	Elect Stephen J. Luczo	Mgmt	For
Microsoft Corporation	MSFT	594918104	11/19/2013	6	Elect David F. Marquardt	Mgmt	For
Microsoft Corporation	MSFT	594918104	11/19/2013	7	Elect Charles H. Noski	Mgmt	For
Microsoft Corporation	MSFT	594918104	11/19/2013	8	Elect Helmut G. W. Panke	Mgmt	For
Microsoft Corporation	MSFT	594918104	11/19/2013	9	Elect John W. Thompson	Mgmt	For
Microsoft Corporation	MSFT	594918104	11/19/2013	10		Mgmt	For

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					Approval of the Material Terms of the Executive Officer Incentive Plan		
Microsoft Corporation	MSFT	594918104	11/19/2013	11	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For
Microsoft Corporation	MSFT	594918104	11/19/2013	12	Ratification of Auditor	Mgmt	For
Neuberger Berman High Yield Strategies Fund	NHS	64128C106	8/22/2013	1.1	Elect Jack L. Rivkin	Mgmt	For
Neuberger Berman High Yield Strategies Fund	NHS	64128C106	8/22/2013	1.2	Elect Tom D. Seip	Mgmt	For
Neuberger Berman Real Estate Securities Income Fund Inc	NRO	64190A103	8/22/2013	1.1	Elect Jack L. Rivkin	Mgmt	For
Neuberger Berman Real Estate Securities Income Fund Inc	NRO	64190A103	8/22/2013	1.2	Elect Tom D. Seip	Mgmt	For
The New Ireland Fund, Inc.	IRL	645673104	6/10/2014	1.1	Elect Margaret Duffy	Mgmt	For
The New Ireland Fund, Inc.	IRL	645673104	6/10/2014	1.2	Elect Sean Hawkshaw	Mgmt	For
Nuveen AMT-Free Municipal Income Fund	NEA	670657105	8/7/2013	1.1	Elect Judith M. Stockdale	Mgmt	For
Nuveen AMT-Free Municipal Income Fund	NEA	670657105	8/7/2013	1.2	Elect Carole E. Stone	Mgmt	For
Nuveen AMT-Free Municipal Income Fund	NEA	670657105	8/7/2013	1.3	Elect Virginia L. Stringer	Mgmt	For
Nuveen Build America Bond Fund	NBB	67074C103	8/7/2013	1.1	Elect William C. Hunter	Mgmt	For
Nuveen Build America Bond Fund	NBB	67074C103	8/7/2013	1.2	Elect Judith M. Stockdale	Mgmt	For
Nuveen Build America Bond Fund	NBB	67074C103	8/7/2013	1.3	Elect Carole E. Stone	Mgmt	For
Nuveen Build America Bond Fund	NBB	67074C103	8/7/2013	1.4	Elect Virginia L. Stringer	Mgmt	For
Nuveen Dividend Advantage Municipal Fund	NXZ	67070F100	8/7/2013	1.1	Elect Judith M. Stockdale	Mgmt	For

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Nuveen Dividend Advantage Municipal Fund	NXZ	67070F100	8/7/2013	1.2	Elect Carole E. Stone	Mgmt	For
Nuveen Dividend Advantage Municipal Fund	NXZ	67070F100	8/7/2013	1.3	Elect Virginia L. Stringer	Mgmt	For
Nuveen Dividend Advantage Municipal Fund	NZF	67070F100	8/7/2013	1.1	Elect Judith M. Stockdale	Mgmt	For
Nuveen Dividend Advantage Municipal Fund	NZF	67070F100	8/7/2013	1.2	Elect Carole E. Stone	Mgmt	For
Nuveen Dividend Advantage Municipal Fund	NZF	67070F100	8/7/2013	1.3	Elect Virginia L. Stringer	Mgmt	For
Nuveen Dividend Advantage Municipal Income Fund	NVG	67071L106	8/7/2013	1.1	Elect Judith M. Stockdale	Mgmt	For
Nuveen Dividend Advantage Municipal Income Fund	NVG	67071L106	8/7/2013	1.2	Elect Carole E. Stone	Mgmt	For
Nuveen Dividend Advantage Municipal Income Fund	NVG	67071L106	8/7/2013	1.3	Elect Virginia L. Stringer	Mgmt	For
Nuveen Municipal Advantage Fund	NMA	67062H106	8/7/2013	1.1	Elect John P. Amboian	Mgmt	For
Nuveen Municipal Advantage Fund	NMA	67062H106	8/7/2013	1.2	Elect Robert P. Bremner	Mgmt	For
Nuveen Municipal Advantage Fund	NMA	67062H106	8/7/2013	1.3	Elect Jack B. Evans	Mgmt	For
Nuveen Municipal Advantage Fund	NMA	67062H106	8/7/2013	1.4	Elect David J. Kundert	Mgmt	For
Nuveen Municipal Advantage Fund	NMA	67062H106	8/7/2013	1.5	Elect Judith M. Stockdale	Mgmt	For
Nuveen Municipal Advantage Fund	NMA	67062H106	8/7/2013	1.6	Elect Carole E. Stone	Mgmt	For
Nuveen Municipal Advantage Fund	NMA	67062H106	8/7/2013	1.7	Elect Virginia L. Stringer	Mgmt	For
Nuveen Municipal Advantage Fund	NMA	67062H106	8/7/2013	1.8	Elect Terence J. Toth	Mgmt	For
	NMO	67062W103	8/7/2013	1.1		Mgmt	For

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Nuveen Municipal Market Opportunity Fund					Elect John P. Amboian		
Nuveen Municipal Market Opportunity Fund	NMO	67062W103	8/7/2013	1.2	Elect Robert P. Bremner	Mgmt	For
Nuveen Municipal Market Opportunity Fund	NMO	67062W103	8/7/2013	1.3	Elect Jack B. Evans	Mgmt	For
Nuveen Municipal Market Opportunity Fund	NMO	67062W103	8/7/2013	1.4	Elect David J. Kundert	Mgmt	For
Nuveen Municipal Market Opportunity Fund	NMO	67062W103	8/7/2013	1.5	Elect Judith M. Stockdale	Mgmt	For
Nuveen Municipal Market Opportunity Fund	NMO	67062W103	8/7/2013	1.6	Elect Carole E. Stone	Mgmt	For
Nuveen Municipal Market Opportunity Fund	NMO	67062W103	8/7/2013	1.7	Elect Virginia L. Stringer	Mgmt	For
Nuveen Municipal Market Opportunity Fund	NMO	67062W103	8/7/2013	1.8	Elect Terence J. Toth	Mgmt	For
Nuveen Performance Plus Municipal Fund	NPP	67062P108	8/7/2013	1.1	Elect John P. Amboian	Mgmt	For
Nuveen Performance Plus Municipal Fund	NPP	67062P108	8/7/2013	1.2	Elect Robert P. Bremner	Mgmt	For
Nuveen Performance Plus Municipal Fund	NPP	67062P108	8/7/2013	1.3	Elect Jack B. Evans	Mgmt	For
Nuveen Performance Plus Municipal Fund	NPP	67062P108	8/7/2013	1.4	Elect David J. Kundert	Mgmt	For
Nuveen Performance Plus Municipal Fund	NPP	67062P108	8/7/2013	1.5	Elect Judith M. Stockdale	Mgmt	For
Nuveen Performance Plus Municipal Fund	NPP	67062P108	8/7/2013	1.6	Elect Carole E. Stone	Mgmt	For
Nuveen Performance Plus Municipal Fund	NPP	67062P108	8/7/2013	1.7	Elect Virginia L. Stringer	Mgmt	For
Nuveen Performance Plus Municipal Fund	NPP	67062P108	8/7/2013	1.8	Elect Terence J. Toth	Mgmt	For
	NPF	670977107	8/7/2013	1.1		Mgmt	For

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Nuveen Quality Income Municipal Fund					Elect John P. Amboian		
Nuveen Quality Income Municipal Fund	NPF	670977107	8/7/2013	1.2	Elect Robert P. Bremner	Mgmt	For
Nuveen Quality Income Municipal Fund	NPF	670977107	8/7/2013	1.3	Elect Jack B. Evans	Mgmt	For
Nuveen Quality Income Municipal Fund	NPF	670977107	8/7/2013	1.4	Elect David J. Kundert	Mgmt	For
Nuveen Quality Income Municipal Fund	NPF	670977107	8/7/2013	1.5	Elect Judith M. Stockdale	Mgmt	For
Nuveen Quality Income Municipal Fund	NPF	670977107	8/7/2013	1.6	Elect Carole E. Stone	Mgmt	For
Nuveen Quality Income Municipal Fund	NPF	670977107	8/7/2013	1.7	Elect Virginia L. Stringer	Mgmt	For
Nuveen Quality Income Municipal Fund	NPF	670977107	8/7/2013	1.8	Elect Terence J. Toth	Mgmt	For
Nuveen Quality Preferred Income Fund	JTP	67072C105	4/11/2014	1.1	Elect William Adams, IV	Mgmt	For
Nuveen Quality Preferred Income Fund	JTP	67072C105	4/11/2014	1.2	Elect David J. Kundert	Mgmt	For
Nuveen Quality Preferred Income Fund	JTP	67072C105	4/11/2014	1.3	Elect John K. Nelson	Mgmt	For
Nuveen Quality Preferred Income Fund	JTP	67072C105	4/11/2014	1.4	Elect Terence J. Toth	Mgmt	For
Nuveen Quality Preferred Income Fund	JPS	67072C105	4/11/2014	1.1	Elect William Adams, IV	Mgmt	For
Nuveen Quality Preferred Income Fund	JPS	67072C105	4/11/2014	1.2	Elect David J. Kundert	Mgmt	For
Nuveen Quality Preferred Income Fund	JPS	67072C105	4/11/2014	1.3	Elect John K. Nelson	Mgmt	For
Nuveen Quality Preferred Income Fund	JPS	67072C105	4/11/2014	1.4	Elect Terence J. Toth	Mgmt	For
Nuveen Quality Preferred Income Fund 3	JHP	67072W101	4/11/2014	1.1	Elect William Adams, IV	Mgmt	For
Nuveen Quality Preferred Income Fund 3	JHP	67072W101	4/11/2014	1.2	Elect David J. Kundert	Mgmt	For
Nuveen Quality Preferred Income Fund	JHP	67072W101	4/11/2014	1.3	Elect John K. Nelson	Mgmt	For



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Nuveen Quality Preferred Income Fund	JHP	67072W101	4/11/2014	1.4	Elect Terence J. Toth	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/7/2013	1.1	Elect John P. Amboian	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/7/2013	1.2	Elect Robert P. Bremner	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/7/2013	1.3	Elect Jack B. Evans	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/7/2013	1.4	Elect David J. Kundert	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/7/2013	1.5	Elect Judith M. Stockdale	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/7/2013	1.6	Elect Carole E. Stone	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/7/2013	1.7	Elect Virginia L. Stringer	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/7/2013	1.8	Elect Terence J. Toth	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	NBD	67074Q102	8/7/2013	1.1	Elect William C. Hunter	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	NBD	67074Q102	8/7/2013	1.2	Elect Judith M. Stockdale	Mgmt	For
State Street Corporation	STT	857477103	5/14/2014	14	Ratification of Auditor	Mgmt	For
The Swiss Helvetia Fund, Inc.	SWZ	870875101	6/27/2014	1.1	Elect Richard Brealey	Mgmt	For
The Swiss Helvetia Fund, Inc.	SWZ	870875101	6/27/2014	1.2	Elect Claus Helbig	Mgmt	For
The Swiss Helvetia Fund, Inc.	SWZ	870875101	6/27/2014	1.3	Elect Samuel B. Witt, III	Mgmt	For
The Swiss Helvetia Fund, Inc.	SWZ	870875101	6/27/2014	2	Approval of a New Investment Advisory Agreement	Mgmt	For
The Swiss Helvetia Fund, Inc.	SWZ	870875101	6/27/2014	3	Approval of a New Investment Sub-Advisory	Mgmt	For

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					Agreement		
The Taiwan Fund, Inc.	TWN	874036106	4/22/2014	1.1	Elect Joe O. Rogers	Mgmt	For
The Taiwan Fund, Inc.	TWN	874036106	4/22/2014	1.2	Elect M. Christopher Canavan, Jr.	Mgmt	For
The Taiwan Fund, Inc.	TWN	874036106	4/22/2014	1.3	Elect Michael F. Holland	Mgmt	For
The Taiwan Fund, Inc.	TWN	874036106	4/22/2014	1.4	Elect William C. Kirby	Mgmt	For
The Taiwan Fund, Inc.	TWN	874036106	4/22/2014	1.5	Elect Anthony Kai Yiu Lo	Mgmt	For
The Taiwan Fund, Inc.	TWN	874036106	4/22/2014	2	Approval of a New Investment Advisory Agreement	Mgmt	For
Templeton Russia and East European Fund Inc	TRF	88022F105	8/23/2013	1.1	Elect Edith E. Holiday	Mgmt	For
Templeton Russia and East European Fund Inc	TRF	88022F105	8/23/2013	1.2	Elect Frank A. Olson	Mgmt	For
Templeton Russia and East European Fund Inc	TRF	88022F105	8/23/2013	1.3	Elect Robert E. Wade	Mgmt	For
Templeton Russia and East European Fund Inc	TRF	88022F105	8/23/2013	1.4	Elect Rupert H. Johnson, Jr.	Mgmt	For
Templeton Russia and East European Fund Inc	TRF	88022F105	8/23/2013	2	Ratification of Auditor	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	6/5/2014	1	Elect Carole Black	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	6/5/2014	2	Elect Glenn A. Britt	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	6/5/2014	3	Elect Thomas H. Castro	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	6/5/2014	4	Elect David C. Chang	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	6/5/2014	5	Elect James E. Copeland, Jr.	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	6/5/2014	6	Elect Peter R. Haje	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	6/5/2014	7	Elect Donna A. James	Mgmt	For
	TWC	88732J207	6/5/2014	8	Elect Don Logan	Mgmt	For

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Time Warner Cable, Inc.								
Time Warner Cable, Inc.	TWC	88732J207	6/5/2014	9	Elect Robert D. Marcus	Mgmt	For	
Time Warner Cable, Inc.	TWC	88732J207	6/5/2014	10	Elect N.J. Nicholas, Jr.	Mgmt	For	
Time Warner Cable, Inc.	TWC	88732J207	6/5/2014	11	Elect Wayne H. Pace	Mgmt	For	
Time Warner Cable, Inc.	TWC	88732J207	6/5/2014	12	Elect Edward D. Shirley	Mgmt	For	
Time Warner Cable, Inc.	TWC	88732J207	6/5/2014	13	Elect John E. Sununu	Mgmt	For	
Time Warner Cable, Inc.	TWC	88732J207	6/5/2014	14	Ratification of Auditor	Mgmt	For	
Time Warner Cable, Inc.	TWC	88732J207	6/5/2014	15	Advisory Vote on Executive Compensation	Mgmt	For	
Time Warner Cable, Inc.	TWC	88732J207	6/5/2014	16	ShrHldr Proposal Regarding Lobbying Report	ShrHldr	Against	
Time Warner Cable, Inc.	TWC	88732J207	6/5/2014	17	ShrHldr Proposal Regarding Compensation in the Event of a Change in Control	ShrHldr	Against	
Transamerica Income Shares, Inc.	TAI	893506105	7/11/2013	1.1	Elect Leo J. Hill	Mgmt	For	
Transamerica Income Shares, Inc.	TAI	893506105	7/11/2013	1.2	Elect Eugene M. Mannella	Mgmt	For	
Transamerica Income Shares, Inc.	TAI	893506105	7/11/2013	1.3	Elect Patricia L. Sawyer	Mgmt	For	
Transamerica Income Shares, Inc.	TAI	893506105	7/11/2013	1.4	Elect Alan F. Warrick	Mgmt	For	
Tri-Continental Corporation	TY	895436103	4/11/2014	1.1	Elect William P. Carmichael	Mgmt	For	
Tri-Continental Corporation	TY	895436103	4/11/2014	1.2	Elect Patricia M. Flynn	Mgmt	For	
Tri-Continental Corporation	TY	895436103	4/11/2014	1.3	Elect Stephen R. Lewis, Jr.	Mgmt	For	
	TY	895436103	4/11/2014	1.4		Mgmt	For	

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Tri-Continental Corporation					Elect Catherine James Paglia		
Tri-Continental Corporation	TY	895436103	4/11/2014	2	Ratification of Auditor	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	1/28/2014	1	Acquisition	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	1/28/2014	2	Increase of Authorized Common Stock	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	1/28/2014	3	Right to Adjourn Meeting	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/1/2014	1	Elect Shellye L. Archambeau	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/1/2014	2	Elect Richard L. Carrión	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/1/2014	3	Elect Melanie L. Healey	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/1/2014	4	Elect M. Frances Keeth	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/1/2014	5	Elect Robert W. Lane	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/1/2014	6	Elect Lowell C. McAdam	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/1/2014	7	Elect Donald T. Nicolaisen	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/1/2014	8	Elect Clarence Otis, Jr.	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/1/2014	9	Elect Rodney E. Slater	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/1/2014	10	Elect Kathryn A. Tesija	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/1/2014	11	Elect Gregory D. Wasson	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/1/2014	12	Ratification of Auditor	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/1/2014	13	Advisory Vote on Executive Compensation	Mgmt	For
	VZ	92343V104	5/1/2014	14		Mgmt	For

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Verizon Communications Inc.					Adoption of Proxy Access		
Verizon Communications Inc.	VZ	92343V104	5/1/2014	15	ShrHldr Proposal Regarding Network Neutrality	ShrHldr	Against
Verizon Communications Inc.	VZ	92343V104	5/1/2014	16	ShrHldr Proposal Regarding Lobbying Report	ShrHldr	Against
Verizon Communications Inc.	VZ	92343V104	5/1/2014	17	ShrHldr Proposal Regarding Approval of Severance Agreements	ShrHldr	Against
Verizon Communications Inc.	VZ	92343V104	5/1/2014	18	ShrHldr Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against
Verizon Communications Inc.	VZ	92343V104	5/1/2014	19	ShrHldr Proposal Regarding Right to Act by Written Consent	ShrHldr	Against
Verizon Communications Inc.	VZ	92343V104	5/1/2014	20	ShrHldr Proposal Regarding Counting ShrHldr Votes	ShrHldr	Against
Virtus Investment Partners Inc	DCA	92829A103	5/20/2014	1.1	Elect William R. Moyer	Mgmt	For
Virtus Investment Partners Inc	DCA	92829A103	5/20/2014	1.2	Elect James M. Oates	Mgmt	For
Walgreen Co.	WAG	931422109	1/8/2014	1	Elect Janice M. Babiak	Mgmt	For
Walgreen Co.	WAG	931422109	1/8/2014	2	Elect David J. Brailer	Mgmt	For
Walgreen Co.	WAG	931422109	1/8/2014	3	Elect Steven A. Davis	Mgmt	For
Walgreen Co.	WAG	931422109	1/8/2014	4	Elect William C. Foote	Mgmt	For
Walgreen Co.	WAG	931422109	1/8/2014	5	Elect Mark P. Frissora	Mgmt	For
Walgreen Co.	WAG	931422109	1/8/2014	6	Elect Ginger L. Graham	Mgmt	For
Walgreen Co.	WAG	931422109	1/8/2014	7	Elect Alan G. McNally	Mgmt	For
Walgreen Co.	WAG	931422109	1/8/2014	8	Elect Dominic P. Murphy	Mgmt	For

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Walgreen Co.	WAG	931422109	1/8/2014	9	Elect Stefano Pessina	Mgmt	For
Walgreen Co.	WAG	931422109	1/8/2014	10	Elect Nancy M. Schlichting	Mgmt	For
Walgreen Co.	WAG	931422109	1/8/2014	11	Elect Alejandro Silva	Mgmt	For
Walgreen Co.	WAG	931422109	1/8/2014	12	Elect James A. Skinner	Mgmt	For
Walgreen Co.	WAG	931422109	1/8/2014	13	Elect Gregory D. Wasson	Mgmt	For
Walgreen Co.	WAG	931422109	1/8/2014	14	Advisory Vote on Executive Compensation	Mgmt	For
Walgreen Co.	WAG	931422109	1/8/2014	15	Ratification of Auditor	Mgmt	For
Walgreen Co.	WAG	931422109	1/8/2014	16	ShrHldr Proposal Regarding Retention of Shares Until Retirement	ShrHldr	Against
Walgreen Co.	WAG	931422109	1/8/2014	17	ShrHldr Proposal Regarding Proxy Access	ShrHldr	Against
Wellpoint Inc.	WLP	94973V107	5/14/2014	1	Elect R. Kerry Clark	Mgmt	For
Wellpoint Inc.	WLP	94973V107	5/14/2014	2	Elect Robert L. Dixon, Jr.	Mgmt	For
Wellpoint Inc.	WLP	94973V107	5/14/2014	3	Elect Lewis Hay, III	Mgmt	For
Wellpoint Inc.	WLP	94973V107	5/14/2014	4	Elect William J. Ryan	Mgmt	For
Wellpoint Inc.	WLP	94973V107	5/14/2014	5	Ratification of Auditor	Mgmt	For
Wellpoint Inc.	WLP	94973V107	5/14/2014	6	Advisory Vote on Executive Compensation	Mgmt	For
Wellpoint Inc.	WLP	94973V107	5/14/2014	7	ShrHldr Proposal Regarding Prohibiting Political Spending	ShrHldr	Against
Wells Fargo Advantage Income Opportunities Fund	EOD	94987C103	2/10/2014	1.1	Elect Isaiah Harris, Jr.	Mgmt	For
Wells Fargo Advantage Income Opportunities Fund	EOD	94987C103	2/10/2014	1.2	Elect David F. Larcker	Mgmt	For
	EOD	94987C103	2/10/2014	1.3		Mgmt	For

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Wells Fargo Advantage Income Opportunities Fund						Elect Olivia S. Mitchell		
Western Asset Emerging Markets Debt Fund Inc	ESD	95766A101	4/25/2014	1.1		Elect Eileen A. Kamerick	Mgmt	For
Western Asset Emerging Markets Debt Fund Inc	ESD	95766A101	4/25/2014	1.2		Elect Riordan Roett	Mgmt	For
Western Asset Emerging Markets Debt Fund Inc	ESD	95766A101	4/25/2014	1.3		Elect Jeswald W. Salacuse	Mgmt	For
American International Group, Inc.	AIG	026874784	5/12/2014	8		Elect George L. Miles, Jr.	Mgmt	For
American International Group, Inc.	AIG	026874784	5/12/2014	9		Elect Henry S. Miller	Mgmt	For
American International Group, Inc.	AIG	026874784	5/12/2014	10		Elect Robert S. Miller	Mgmt	For
American International Group, Inc.	AIG	026874784	5/12/2014	11		Elect Suzanne Nora Johnson	Mgmt	For
American International Group, Inc.	AIG	026874784	5/12/2014	12		Elect Ronald A. Rittenmeyer	Mgmt	For
American International Group, Inc.	AIG	026874784	5/12/2014	13		Elect Douglas M. Steenland	Mgmt	For
American International Group, Inc.	AIG	026874784	5/12/2014	14		Elect Theresa M. Stone	Mgmt	For
American International Group, Inc.	AIG	026874784	5/12/2014	15		Advisory Vote on Executive Compensation	Mgmt	For
American International Group, Inc.	AIG	026874784	5/12/2014	16		Restatement of Protective Amendment to the Certificate of Incorporation	Mgmt	For
American International Group, Inc.	AIG	026874784	5/12/2014	17		Ratification of the Tax Asset Protection Plan	Mgmt	For
American International Group, Inc.	AIG	026874784	5/12/2014	18		Ratification of Auditor	Mgmt	For
AMGEN Inc.	AMGN	031162100	5/15/2014	1		Elect David Baltimore	Mgmt	For
AMGEN Inc.	AMGN	031162100	5/15/2014	2		Elect Frank J. Biondi, Jr.	Mgmt	For

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AMGEN Inc.	AMGN	031162100	5/15/2014	3	Elect Robert A. Bradway	Mgmt	For
AMGEN Inc.	AMGN	031162100	5/15/2014	4	Elect François de Carbonnel	Mgmt	For
AMGEN Inc.	AMGN	031162100	5/15/2014	5	Elect Vance D. Coffman	Mgmt	For
AMGEN Inc.	AMGN	031162100	5/15/2014	6	Elect Robert A. Eckert	Mgmt	For
AMGEN Inc.	AMGN	031162100	5/15/2014	7	Elect Greg C. Garland	Mgmt	For
AMGEN Inc.	AMGN	031162100	5/15/2014	8	Elect Rebecca M. Henderson	Mgmt	For
AMGEN Inc.	AMGN	031162100	5/15/2014	9	Elect Frank C. Herringer	Mgmt	For
AMGEN Inc.	AMGN	031162100	5/15/2014	10	Elect Tyler Jacks	Mgmt	For
AMGEN Inc.	AMGN	031162100	5/15/2014	11	Elect Judith C. Pelham	Mgmt	For
AMGEN Inc.	AMGN	031162100	5/15/2014	12	Elect Ronald D. Sugar	Mgmt	For
AMGEN Inc.	AMGN	031162100	5/15/2014	13	Ratification of Auditor	Mgmt	For
AMGEN Inc.	AMGN	031162100	5/15/2014	14	Advisory Vote on Executive Compensation	Mgmt	For
AMGEN Inc.	AMGN	031162100	5/15/2014	15	ShrHldr Proposal Regarding Counting Abstentions	ShrHldr	Against
Archer-Daniels-Midland Company	ADM	039483102	5/1/2014	1	Elect Alan L. Boeckmann	Mgmt	For
Archer-Daniels-Midland Company	ADM	039483102	5/1/2014	2	Elect Mollie Hale Carter	Mgmt	For
Archer-Daniels-Midland Company	ADM	039483102	5/1/2014	3	Elect Terrell K. Crews	Mgmt	For
Archer-Daniels-Midland Company	ADM	039483102	5/1/2014	4	Elect Pierre Dufour	Mgmt	For
Archer-Daniels-Midland Company	ADM	039483102	5/1/2014	5	Elect Donald E. Felsing	Mgmt	For
Archer-Daniels-Midland Company	ADM	039483102	5/1/2014	6	Elect Antonio dos Santos Maciel Neto	Mgmt	For
Archer-Daniels-Midland Company	ADM	039483102	5/1/2014	7	Elect Patrick J. Moore	Mgmt	For



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Archer-Daniels-Midland Company	ADM	039483102	5/1/2014	8	Elect Thomas F. O'Neill	Mgmt	For
Archer-Daniels-Midland Company	ADM	039483102	5/1/2014	9	Elect Francisco Sanchez	Mgmt	For
Archer-Daniels-Midland Company	ADM	039483102	5/1/2014	10	Elect Daniel Shih	Mgmt	For
Archer-Daniels-Midland Company	ADM	039483102	5/1/2014	11	Elect Kelvin R. Westbrook	Mgmt	For
Archer-Daniels-Midland Company	ADM	039483102	5/1/2014	12	Elect Patricia A. Woertz	Mgmt	For
Archer-Daniels-Midland Company	ADM	039483102	5/1/2014	13	Ratification of Auditor	Mgmt	For
Archer-Daniels-Midland Company	ADM	039483102	5/1/2014	14	Advisory Vote on Executive Compensation	Mgmt	For
Archer-Daniels-Midland Company	ADM	039483102	5/1/2014	15	ShrHldr Proposal Regarding Independent Board Chairman	ShrHldr	Against
Asia Pacific Fund Inc	APB	044901A00	9/6/2013	1.1	Elect Robert F. Gunia	Mgmt	For
Asia Pacific Fund Inc	APB	044901A00	9/6/2013	1.2	Elect Nicholas T. Sibley	Mgmt	For
Asia Pacific Fund Inc	APB	044901A00	9/6/2013	1	Approval of Investment Mgmt Agreement	Mgmt	For
AT&T Inc.	T	00206R102	4/25/2014	1	Elect Randall L. Stephenson	Mgmt	For
AT&T Inc.	T	00206R102	4/25/2014	2	Elect Reuben V. Anderson	Mgmt	For
AT&T Inc.	T	00206R102	4/25/2014	3	Elect Jaime Chico Pardo	Mgmt	For
AT&T Inc.	T	00206R102	4/25/2014	4	Elect Scott T. Ford	Mgmt	For
AT&T Inc.	T	00206R102	4/25/2014	5	Elect James P. Kelly	Mgmt	For
AT&T Inc.	T	00206R102	4/25/2014	6	Elect Jon C. Madonna	Mgmt	For
AT&T Inc.	T	00206R102	4/25/2014	7	Elect Michael B. McCallister	Mgmt	For
AT&T Inc.	T	00206R102	4/25/2014	8	Elect John B. McCoy	Mgmt	For
AT&T Inc.	T	00206R102	4/25/2014	9	Elect Beth E. Mooney	Mgmt	For

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AT&T Inc.	T	00206R102	4/25/2014	10	Elect Joyce M. Roché	Mgmt	For
AT&T Inc.	T	00206R102	4/25/2014	11	Elect Matthew K. Rose	Mgmt	For
AT&T Inc.	T	00206R102	4/25/2014	12	Elect Cynthia B. Taylor	Mgmt	For
AT&T Inc.	T	00206R102	4/25/2014	13	Elect Laura D'Andrea Tyson	Mgmt	For
AT&T Inc.	T	00206R102	4/25/2014	14	Ratification of Auditor	Mgmt	For
AT&T Inc.	T	00206R102	4/25/2014	15	Advisory Vote on Executive Compensation	Mgmt	For
AT&T Inc.	T	00206R102	4/25/2014	16	Approval of AT&T Severance Policy	Mgmt	For
AT&T Inc.	T	00206R102	4/25/2014	17	ShrHldr Proposal Regarding Political Contributions and Expenditures Report	ShrHldr	Against
AT&T Inc.	T	00206R102	4/25/2014	18	ShrHldr Proposal Regarding Lobbying Report	ShrHldr	Against
AT&T Inc.	T	00206R102	4/25/2014	19	ShrHldr Proposal Regarding Right to Act by Written Consent	ShrHldr	Against
Bank of America Corporation	BAC	060505104	5/7/2014	1	Elect Sharon L. Allen	Mgmt	For
Bank of America Corporation	BAC	060505104	5/7/2014	2	Elect Susan S. Bies	Mgmt	For
Bank of America Corporation	BAC	060505104	5/7/2014	3	Elect Jack O. Bovender, Jr.	Mgmt	For
Bank of America Corporation	BAC	060505104	5/7/2014	4	Elect Frank P. Bramble, Sr.	Mgmt	For
Bank of America Corporation	BAC	060505104	5/7/2014	5	Elect Pierre J. P. de Weck	Mgmt	For
Bank of America Corporation	BAC	060505104	5/7/2014	6	Elect Arnold W. Donald	Mgmt	For
Bank of America Corporation	BAC	060505104	5/7/2014	7	Elect Charles K. Gifford	Mgmt	For
	BAC	060505104	5/7/2014	8		Mgmt	For

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Bank of America Corporation					Elect Charles O. Holliday, Jr.		
Bank of America Corporation	BAC	060505104	5/7/2014	9	Elect Linda P. Hudson	Mgmt	For
Bank of America Corporation	BAC	060505104	5/7/2014	10	Elect Monica C. Lozano	Mgmt	For
Bank of America Corporation	BAC	060505104	5/7/2014	11	Elect Thomas J. May	Mgmt	For
Bank of America Corporation	BAC	060505104	5/7/2014	12	Elect Brian T. Moynihan	Mgmt	For
Bank of America Corporation	BAC	060505104	5/7/2014	13	Elect Lionel L. Nowell, III	Mgmt	For
Bank of America Corporation	BAC	060505104	5/7/2014	14	Elect Clayton S. Rose	Mgmt	For
Bank of America Corporation	BAC	060505104	5/7/2014	15	Elect R. David Yost	Mgmt	For
Bank of America Corporation	BAC	060505104	5/7/2014	16	Advisory Vote on Executive Compensation	Mgmt	For
Bank of America Corporation	BAC	060505104	5/7/2014	17	Ratification of Auditor	Mgmt	For
Bank of America Corporation	BAC	060505104	5/7/2014	18	Amendment to Series T Preferred Stock	Mgmt	For
Bank of America Corporation	BAC	060505104	5/7/2014	19	ShrHldr Proposal Regarding Cumulative Voting	ShrHldr	Against
Bank of America Corporation	BAC	060505104	5/7/2014	20	ShrHldr Proposal Regarding Proxy Access	ShrHldr	Against
Bank of America Corporation	BAC	060505104	5/7/2014	21	ShrHldr Proposal Regarding Lending Portfolio Exposure To Climate Change Risk	ShrHldr	Against
Bank of America Corporation	BAC	060505104	5/7/2014	22	ShrHldr Proposal Regarding Lobbying Report	ShrHldr	Against
Bank of New York Mellon Corporation	BK	064058100	4/8/2014	1	Elect Ruth E. Bruch	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/8/2014	2	Elect Nicholas M. Donofrio	Mgmt	For

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Bank of New York Mellon Corporation	BK	064058100	4/8/2014	3	Elect Jeffrey A. Goldstein	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/8/2014	4	Elect Gerald L. Hassell	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/8/2014	5	Elect Edmund F. Kelly	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/8/2014	6	Elect Richard J. Kogan	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/8/2014	7	Elect Michael J. Kowalski	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/8/2014	8	Elect John A. Luke, Jr.	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/8/2014	9	Elect Mark A. Nordenberg	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/8/2014	10	Elect Catherine A. Rein	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/8/2014	11	Elect William C. Richardson	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/8/2014	12	Elect Samuel C. Scott III	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/8/2014	13	Elect Wesley W. von Schack	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/8/2014	14	Advisory Vote on Executive Compensation	Mgmt	For
BlackRock Real Asset Equity Trust	BCF	09254B109	7/30/2013	1.4	Elect Jerrold B. Harris	Mgmt	For
BlackRock S&P Quality Rankings Global Equity Managed Trust	BQY	09250D109	7/30/2013	1.1	Elect Richard E. Cavanagh	Mgmt	For
BlackRock S&P Quality Rankings Global Equity Managed Trust	BQY	09250D109	7/30/2013	1.2	Elect Kathleen F. Feldstein	Mgmt	For
BlackRock S&P Quality Rankings Global Equity Managed Trust	BQY	09250D109	7/30/2013	1.3	Elect Henry Gabbay	Mgmt	For
BlackRock S&P Quality Rankings Global Equity Managed Trust	BQY	09250D109	7/30/2013	1.4	Elect Jerrold B. Harris	Mgmt	For
	BHD	09249G106	10/25/2013	1	Reorganization	Mgmt	For

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BlackRock Strategic  
Bond Trust

BlackRock Utility and Infrastructure Trust	BUI	09248D104	7/30/2013	1.1	Elect Richard E. Cavanagh	Mgmt	For
BlackRock Utility and Infrastructure Trust	BUI	09248D104	7/30/2013	1.2	Elect Kathleen F. Feldstein	Mgmt	For
BlackRock Utility and Infrastructure Trust	BUI	09248D104	7/30/2013	1.3	Elect Henry Gabbay	Mgmt	For
BlackRock Utility and Infrastructure Trust	BUI	09248D104	7/30/2013	1.4	Elect Jerrold B. Harris	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/6/2014	1	Elect Bruce L. Byrnes	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/6/2014	2	Elect Nelda J. Connors	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/6/2014	3	Elect Kristina M. Johnson	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/6/2014	4	Elect Edward J. Ludwig	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/6/2014	5	Elect Michael F. Mahoney	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/6/2014	6	Elect Ernest Mario	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/6/2014	7	Elect N.J. Nicholas, Jr.	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/6/2014	8	Elect Pete M. Nicholas	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/6/2014	9	Elect Uwe E. Reinhardt	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/6/2014	10	Elect David J. Roux	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/6/2014	11	Elect John E. Sununu	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/6/2014	12	Advisory Vote on Executive Compensation	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/6/2014	13	Amendment to the 2006 Global Employee Stock Ownership Plan	Mgmt	For
	BSX	101137107	5/6/2014	14		Mgmt	For

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Boston Scientific Corporation					Ratification of Auditor		
Boston Scientific Corporation	BSX	101137107	5/6/2014	15	ShrHldr Proposal Regarding Animal Welfare	ShrHldr	Against
Brookfield Global Listed Infrastructure Income Fund Inc	INF	11273Q109	5/20/2014	1.1	Elect Louis P. Salvatore	Mgmt	For
Brookfield Global Listed Infrastructure Income Fund Inc	INF	11273Q109	5/20/2014	1.2	Elect Johnathan C. Tyras	Mgmt	For
Calamos Global Dynamic Income Fund	CHW	12811L107	6/25/2014	1.1	Elect John P. Calamos, Sr.	Mgmt	For
Calamos Global Dynamic Income Fund	CHW	12811L107	6/25/2014	1.2	Elect William R. Rybak	Mgmt	For
Calamos Strategic Total Return Fund	CSQ	128125101	6/25/2014	1.1	Elect John P. Calamos, Sr.	Mgmt	For
Calamos Strategic Total Return Fund	CSQ	128125101	6/25/2014	1.2	Elect William R. Rybak	Mgmt	For
Capital One Financial Corporation	COF	14040H105	5/1/2014	1	Elect Richard D. Fairbank	Mgmt	For
Capital One Financial Corporation	COF	14040H105	5/1/2014	2	Elect Patrick W. Gross	Mgmt	For
Capital One Financial Corporation	COF	14040H105	5/1/2014	3	Elect Ann F. Hackett	Mgmt	For
Capital One Financial Corporation	COF	14040H105	5/1/2014	4	Elect Lewis Hay, III	Mgmt	For
Capital One Financial Corporation	COF	14040H105	5/1/2014	5	Elect Benjamin P. Jenkins, III	Mgmt	For
Capital One Financial Corporation	COF	14040H105	5/1/2014	6	Elect Pierre E. Leroy	Mgmt	For
Capital One Financial Corporation	COF	14040H105	5/1/2014	7	Elect Peter E. Raskind	Mgmt	For
Capital One Financial Corporation	COF	14040H105	5/1/2014	8	Elect Mayo A. Shattuck III	Mgmt	For
Capital One Financial Corporation	COF	14040H105	5/1/2014	9	Elect Bradford H. Warner	Mgmt	For
Capital One Financial Corporation	COF	14040H105	5/1/2014	10	Elect Catherine G. West	Mgmt	For
	COF	14040H105	5/1/2014	11		Mgmt	For

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Capital One Financial Corporation						Ratification of Auditor		
Capital One Financial Corporation	COF	14040H105	5/1/2014	12		Amendment to the 2004 Stock Incentive Plan	Mgmt	For
Capital One Financial Corporation	COF	14040H105	5/1/2014	13		Advisory Vote on Executive Compensation	Mgmt	For
Capital One Financial Corporation	COF	14040H105	5/1/2014	14		Elimination of Supermajority Requirement for Future	Mgmt	For
						Amendments to the Bylaws and the Certificate		
Capital One Financial Corporation	COF	14040H105	5/1/2014	15		Elimination of Supermajority Requirement for the	Mgmt	For
						Removal of Directors		
Capital One Financial Corporation	COF	14040H105	5/1/2014	16		Elimination of Supermajority Requirement for Certain	Mgmt	For
						Business Combinations		
Capital One Financial Corporation	COF	14040H105	5/1/2014	17		ShrHldr Proposal Regarding Independent Board Chairman	ShrHldr	Against
The China Fund, Inc.	CHN	169373107	3/13/2014	1.1		Elect William Kirby	Mgmt	For
The China Fund, Inc.	CHN	169373107	3/13/2014	1.2		Elect Nigel S. Tulloch	Mgmt	For
The China Fund, Inc.	CHN	169373107	3/13/2014	1.3		Elect Gary L. French	Mgmt	For
Cisco Systems, Inc.	CSCO	17275R102	11/19/2013	1		Elect Carol A. Bartz	Mgmt	For
Cisco Systems, Inc.	CSCO	17275R102	11/19/2013	2		Elect Marc Benioff	Mgmt	For
Cisco Systems, Inc.	CSCO	17275R102	11/19/2013	3		Elect Gregory Q. Brown	Mgmt	For
Cisco Systems, Inc.	CSCO	17275R102	11/19/2013	4			Mgmt	For

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						Elect M. Michele Burns		
Cisco Systems, Inc.	CSCO	17275R102	11/19/2013	5	Elect Michael D. Capellas	Mgmt	For	
Cisco Systems, Inc.	CSCO	17275R102	11/19/2013	6	Elect John T. Chambers	Mgmt	For	
Cisco Systems, Inc.	CSCO	17275R102	11/19/2013	7	Elect Brian L. Halla	Mgmt	For	
Cisco Systems, Inc.	CSCO	17275R102	11/19/2013	8	Elect John L. Hennessy	Mgmt	For	
Cisco Systems, Inc.	CSCO	17275R102	11/19/2013	9	Elect Kristina M. Johnson	Mgmt	For	
Cisco Systems, Inc.	CSCO	17275R102	11/19/2013	10	Elect Roderick C. McGeary	Mgmt	For	
Cisco Systems, Inc.	CSCO	17275R102	11/19/2013	11	Elect Arun Sarin	Mgmt	For	
Cisco Systems, Inc.	CSCO	17275R102	11/19/2013	12	Elect Steven M. West	Mgmt	For	
Cisco Systems, Inc.	CSCO	17275R102	11/19/2013	13	Amendment to the 2005 Stock Incentive Plan	Mgmt	For	
Cisco Systems, Inc.	CSCO	17275R102	11/19/2013	14	Advisory Vote on Executive Compensation	Mgmt	For	
Cisco Systems, Inc.	CSCO	17275R102	11/19/2013	15	Ratification of Auditor	Mgmt	For	
Cisco Systems, Inc.	CSCO	17275R102	11/19/2013	16	ShrHldr Proposal Regarding Proxy Advice Contest	ShrHldr	Against	
Citigroup Inc.	C	172967424	4/22/2014	1	Elect Michael L. Corbat	Mgmt	For	
Citigroup Inc.	C	172967424	4/22/2014	2	Elect Duncan P. Hennes	Mgmt	For	
Citigroup Inc.	C	172967424	4/22/2014	3	Elect Franz B. Humer	Mgmt	For	
Citigroup Inc.	C	172967424	4/22/2014	4	Elect Eugene M. McQuade	Mgmt	For	
Citigroup Inc.	C	172967424	4/22/2014	5	Elect Michael E. O'Neill	Mgmt	For	
Citigroup Inc.	C	172967424	4/22/2014	6	Elect Gary M. Reiner	Mgmt	For	
Citigroup Inc.	C	172967424	4/22/2014	7	Elect Judith Rodin	Mgmt	For	
Citigroup Inc.	C	172967424	4/22/2014	8	Elect Robert L. Ryan	Mgmt	For	



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Citigroup Inc.	C	172967424	4/22/2014	9	Elect Anthony M. Santomero	Mgmt	For
Citigroup Inc.	C	172967424	4/22/2014	10	Elect Joan E. Spero	Mgmt	For
Citigroup Inc.	C	172967424	4/22/2014	11	Elect Diana L. Taylor	Mgmt	For
Citigroup Inc.	C	172967424	4/22/2014	12	Elect William S. Thompson, Jr.	Mgmt	For
Citigroup Inc.	C	172967424	4/22/2014	13	Elect James S. Turley	Mgmt	For
Citigroup Inc.	C	172967424	4/22/2014	14	Elect Ernesto Zedillo Ponce de Leon	Mgmt	For
Citigroup Inc.	C	172967424	4/22/2014	15	Ratification of Auditor	Mgmt	For
Citigroup Inc.	C	172967424	4/22/2014	16	Advisory Vote on Executive Compensation	Mgmt	For
Citigroup Inc.	C	172967424	4/22/2014	17	Approval of the 2014 Stock Incentive Plan	Mgmt	For
Citigroup Inc.	C	172967424	4/22/2014	18	ShrHldr Proposal Regarding Retention of Shares Until Retirement	ShrHldr	Against
Citigroup Inc.	C	172967424	4/22/2014	19	ShrHldr Proposal Regarding Lobbying Report	ShrHldr	Against
Citigroup Inc.	C	172967424	4/22/2014	20	ShrHldr Proposal Regarding Director Indemnification	ShrHldr	Against
Citigroup Inc.	C	172967424	4/22/2014	21	ShrHldr Proposal Regarding Proxy Access	ShrHldr	Against
Clough Global Allocation Fund	GLV	18913Y103	7/15/2013	1.1	Elect Edmund J. Burke	Mgmt	For
Clough Global Allocation Fund	GLV	18913Y103	7/15/2013	1.2	Elect John F. Mee	Mgmt	For
Clough Global Allocation Fund	GLV	18913Y103	7/15/2013	1.3	Elect Vincent W. Versaci	Mgmt	For
Cohen & Steers Dividend Majors Fund, Inc.	DVM	19248G106	4/24/2014	1	Combination of Funds	Mgmt	For
Cohen & Steers Dividend Majors Fund,	DVM	19248G106	4/24/2014	1.1	Elect Bonnie Cohen	Mgmt	For

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Inc.								
Cohen & Steers Dividend Majors Fund, Inc.	DVM	19248G106	4/24/2014	1.2	Elect Michael Clark	Mgmt	For	
Cohen & Steers Dividend Majors Fund, Inc.	DVM	19248G106	4/24/2014	1.3	Elect Richard E. Kroon	Mgmt	For	
Cohen & Steers Infrastructure Fund Inc	UTF	19248A109	4/24/2014	1.1	Elect Bonnie Cohen	Mgmt	For	
Cohen & Steers Infrastructure Fund Inc	UTF	19248A109	4/24/2014	1.2	Elect Michael Clark	Mgmt	For	
Cohen & Steers Infrastructure Fund Inc	UTF	19248A109	4/24/2014	1.3	Elect Richard E. Kroon	Mgmt	For	
Cohen & Steers Quality Income Realty Fund, Inc.	RQI	19247L106	4/24/2014	1.1	Elect Bonnie Cohen	Mgmt	For	
Devon Energy Corporation	DVN	25179M103	6/4/2014	3	Ratification of Auditor	Mgmt	For	
Devon Energy Corporation	DVN	25179M103	6/4/2014	4	ShrHldr Proposal Regarding Carbon Asset Risk Report	ShrHldr	Against	
Devon Energy Corporation	DVN	25179M103	6/4/2014	5	ShrHldr Proposal Regarding Lobbying Report	ShrHldr	Against	
Devon Energy Corporation	DVN	25179M103	6/4/2014	6	ShrHldr Proposal Regarding Report on Lobbying Activities Related to Energy Policy and Climate Change	ShrHldr	Against	
DIRECTV	DTV	25490A309	4/29/2014	1	Elect Neil R. Austrian	Mgmt	For	
DIRECTV	DTV	25490A309	4/29/2014	2	Elect Ralph F. Boyd, Jr.	Mgmt	For	
DIRECTV	DTV	25490A309	4/29/2014	3	Elect Abelardo E. Bru	Mgmt	For	
DIRECTV	DTV	25490A309	4/29/2014	4	Elect David B. Dillon	Mgmt	For	
DIRECTV	DTV	25490A309	4/29/2014	5	Elect Samuel A. DiPiazza, Jr.	Mgmt	For	
DIRECTV	DTV	25490A309	4/29/2014	6	Elect Dixon R. Doll	Mgmt	For	
DIRECTV	DTV	25490A309	4/29/2014	7	Elect Charles R. Lee	Mgmt	For	
DIRECTV	DTV	25490A309	4/29/2014	8	Elect Peter A. Lund	Mgmt	For	

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DIRECTV	DTV	25490A309	4/29/2014	9	Elect Nancy S. Newcomb	Mgmt	For
DIRECTV	DTV	25490A309	4/29/2014	10	Elect Lorrie M. Norrington	Mgmt	For
DIRECTV	DTV	25490A309	4/29/2014	11	Elect Anthony J. Vinciguerra	Mgmt	For
DIRECTV	DTV	25490A309	4/29/2014	12	Elect Michael D. White	Mgmt	For
DIRECTV	DTV	25490A309	4/29/2014	13	Ratification of Auditor	Mgmt	For
DIRECTV	DTV	25490A309	4/29/2014	14	Advisory Vote on Executive Compensation	Mgmt	For
DIRECTV	DTV	25490A309	4/29/2014	15	ShrHldr Proposal Regarding Compensation in the Event of a Change in Control	ShrHldr	Against
DIRECTV	DTV	25490A309	4/29/2014	16	ShrHldr Proposal Regarding Retention of Shares Until Retirement	ShrHldr	Against
Walt Disney Co.	DIS	254687106	3/18/2014	1	Elect Susan E. Arnold	Mgmt	For
Walt Disney Co.	DIS	254687106	3/18/2014	2	Elect John S. Chen	Mgmt	For
Walt Disney Co.	DIS	254687106	3/18/2014	3	Elect Jack Dorsey	Mgmt	For
Walt Disney Co.	DIS	254687106	3/18/2014	4	Elect Robert A. Iger	Mgmt	For
Walt Disney Co.	DIS	254687106	3/18/2014	5	Elect Fred H. Langhammer	Mgmt	For
Walt Disney Co.	DIS	254687106	3/18/2014	6	Elect Aylwin B. Lewis	Mgmt	For
Walt Disney Co.	DIS	254687106	3/18/2014	7	Elect Monica C. Lozano	Mgmt	For
Walt Disney Co.	DIS	254687106	3/18/2014	8	Elect Robert W. Matschullat	Mgmt	For
Walt Disney Co.	DIS	254687106	3/18/2014	9	Elect Sheryl Sandberg	Mgmt	For
Walt Disney Co.	DIS	254687106	3/18/2014	10	Elect Orin C. Smith	Mgmt	For
Walt Disney Co.	DIS	254687106	3/18/2014	11	Ratification of Auditor	Mgmt	For
Walt Disney Co.	DIS	254687106	3/18/2014	12		Mgmt	For

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					Advisory Vote on Executive Compensation		
Walt Disney Co.	DIS	254687106	3/18/2014	13	Right to Call a Special Meeting	Mgmt	For
Walt Disney Co.	DIS	254687106	3/18/2014	14	ShrHldr Proposal Regarding Proxy Access	ShrHldr	Against
Walt Disney Co.	DIS	254687106	3/18/2014	15	ShrHldr Proposal Regarding Compensation in the Event of a Change in Control	ShrHldr	Against
Dow Chemical Company	DOW	260543103	5/15/2014	1	Elect Arnold A. Allemang	Mgmt	For
Dow Chemical Company	DOW	260543103	5/15/2014	2	Elect Ajay S. Banga	Mgmt	For
Dow Chemical Company	DOW	260543103	5/15/2014	3	Elect Jacqueline K. Barton	Mgmt	For
Dow Chemical Company	DOW	260543103	5/15/2014	4	Elect James A. Bell	Mgmt	For
Dow Chemical Company	DOW	260543103	5/15/2014	5	Elect Jeff M. Fettig	Mgmt	For
Dow Chemical Company	DOW	260543103	5/15/2014	6	Elect Andrew N. Liveris	Mgmt	For
Dow Chemical Company	DOW	260543103	5/15/2014	7	Elect Paul Polman	Mgmt	For
Dow Chemical Company	DOW	260543103	5/15/2014	8	Elect Dennis H. Reilley	Mgmt	For
Dow Chemical Company	DOW	260543103	5/15/2014	9	Elect James M. Ringler	Mgmt	For
Dow Chemical Company	DOW	260543103	5/15/2014	10	Elect Ruth G. Shaw	Mgmt	For
Dow Chemical Company	DOW	260543103	5/15/2014	11	Ratification of Auditor	Mgmt	For
Dow Chemical Company	DOW	260543103	5/15/2014	12	Advisory Vote on Executive Compensation	Mgmt	For
Dow Chemical Company	DOW	260543103	5/15/2014	13	Amendment to the 2012 Stock Incentive Plan	Mgmt	For

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Dow Chemical Company	DOW	260543103	5/15/2014	14	ShrHldr Proposal Regarding Right to Act by Written Consent	ShrHldr	Against
Dow Chemical Company	DOW	260543103	5/15/2014	15	ShrHldr Proposal Regarding Retention of Shares Until Retirement	ShrHldr	Against
Duff & Phelps Global Utility Income Fund Inc	DPG	26433C105	6/19/2014	1.1	Elect Geraldine M. McNamara	Mgmt	For
Duff & Phelps Global Utility Income Fund Inc	DPG	26433C105	6/19/2014	1.2	Elect Christian H. Poindexter	Mgmt	For
Duff & Phelps Global Utility Income Fund Inc	DPG	26433C105	6/19/2014	1.3	Elect Carl F. Pollard	Mgmt	For
DWS High Income Opportunities Fund Inc	DHG	23339M204	9/4/2013	1.1	Elect Henry P. Becton, Jr.	Mgmt	For
DWS High Income Opportunities Fund Inc	DHG	23339M204	9/4/2013	1.2	Elect Paul K. Freeman	Mgmt	For
DWS High Income Opportunities Fund Inc	DHG	23339M204	9/4/2013	1.3	Elect William R. McClayton	Mgmt	For
DWS High Income Opportunities Fund Inc	DHG	23339M204	9/4/2013	1.4	Elect Jean Gleason Stromberg	Mgmt	For
Eaton Vance Municipal Bond Fund II	EIV	27827K109	7/19/2013	1.1	Elect Scott E. Eston	Mgmt	For
Eaton Vance Municipal Bond Fund II	EIV	27827K109	7/19/2013	1.2	Elect Thomas E. Faust, Jr.	Mgmt	For
Eaton Vance Municipal Bond Fund II	EIV	27827K109	7/19/2013	1.3	Elect Allen R. Freedman	Mgmt	For
Eaton Vance National Municipal Opportunities Trust	EOT	27829L105	1/23/2014	1.1	Elect William H. Park	Mgmt	For
Eaton Vance National Municipal Opportunities Trust	EOT	27829L105	1/23/2014	1.2	Elect Ronald A. Pearlman	Mgmt	For
Eaton Vance National Municipal Opportunities Trust	EOT	27829L105	1/23/2014	1.3	Elect Harriett Tee Taggart	Mgmt	For
Eaton Vance Senior Income Trust	EOI	278279104	7/19/2013	1.1	Elect Helen Frame Peters	Mgmt	For
Eaton Vance Senior Income Trust	EOI	278279104	7/19/2013	1.2	Elect Lynn A. Stout	Mgmt	For

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Eaton Vance Senior Income Trust	EOI	278279104	7/19/2013	1.3	Elect Ralph F. Verni	Mgmt	For
Eaton Vance Senior Income Trust	EFT	278279104	3/27/2014	1.1	Elect Thomas E. Faust	Mgmt	For
Eaton Vance Senior Income Trust	EFT	278279104	3/27/2014	1.2	Elect Allen R. Freedman	Mgmt	For
Eaton Vance Senior Income Trust	EFT	278279104	3/27/2014	1.3	Elect Valerie A. Mosley	Mgmt	For
EMC Corporation	EMC	268648102	4/30/2014	1	Elect Michael W. Brown	Mgmt	For
EMC Corporation	EMC	268648102	4/30/2014	2	Elect Randolph L. Cowen	Mgmt	For
EMC Corporation	EMC	268648102	4/30/2014	3	Elect Gail Deegan	Mgmt	For
EMC Corporation	EMC	268648102	4/30/2014	4	Elect James S. DiStasio	Mgmt	For
EMC Corporation	EMC	268648102	4/30/2014	5	Elect John R. Egan	Mgmt	For
EMC Corporation	EMC	268648102	4/30/2014	6	Elect William D. Green	Mgmt	For
EMC Corporation	EMC	268648102	4/30/2014	7	Elect Edmund F. Kelly	Mgmt	For
EMC Corporation	EMC	268648102	4/30/2014	8	Elect Jami Miscik	Mgmt	For
EMC Corporation	EMC	268648102	4/30/2014	9	Elect Paul Sagan	Mgmt	For
EMC Corporation	EMC	268648102	4/30/2014	10	Elect David N. Strohm	Mgmt	For
EMC Corporation	EMC	268648102	4/30/2014	11	Elect Joseph M. Tucci	Mgmt	For
EMC Corporation	EMC	268648102	4/30/2014	12	Ratification of Auditor	Mgmt	For
EMC Corporation	EMC	268648102	4/30/2014	13	Advisory Vote on Executive Compensation	Mgmt	For
EMC Corporation	EMC	268648102	4/30/2014	14	ShrHldr Proposal Regarding Independent Board Chairman	ShrHldr	Against
EMC Corporation	EMC	268648102	4/30/2014	15	ShrHldr Proposal Regarding Incorporation of Values in Political Spending	ShrHldr	Against

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EOG Resources, Inc.	EOG	26875P101	5/1/2014	1	Elect Janet F. Clark	Mgmt	For
EOG Resources, Inc.	EOG	26875P101	5/1/2014	2	Elect Charles R. Crisp	Mgmt	For
EOG Resources, Inc.	EOG	26875P101	5/1/2014	3	Elect James C. Day	Mgmt	For
EOG Resources, Inc.	EOG	26875P101	5/1/2014	4	Elect Mark G. Papa	Mgmt	For
EOG Resources, Inc.	EOG	26875P101	5/1/2014	5	Elect H. Leighton Steward	Mgmt	For
EOG Resources, Inc.	EOG	26875P101	5/1/2014	6	Elect Donald F. Textor	Mgmt	For
EOG Resources, Inc.	EOG	26875P101	5/1/2014	7	Elect William R. Thomas	Mgmt	For
EOG Resources, Inc.	EOG	26875P101	5/1/2014	8	Elect Frank G. Wisner	Mgmt	For
EOG Resources, Inc.	EOG	26875P101	5/1/2014	9	Ratification of Auditor	Mgmt	For
EOG Resources, Inc.	EOG	26875P101	5/1/2014	10	Advisory Vote on Executive Compensation	Mgmt	For
EOG Resources, Inc.	EOG	26875P101	5/1/2014	11	ShrHldr Proposal Regarding Hydraulic Fracturing	ShrHldr	Against
EOG Resources, Inc.	EOG	26875P101	5/1/2014	12	ShrHldr Proposal Regarding Methane Reduction Targets	ShrHldr	Against
Exelon Corporation	EXC	30161N101	5/6/2014	1	Elect Anthony K. Anderson	Mgmt	For
Exelon Corporation	EXC	30161N101	5/6/2014	2	Elect Ann C. Berzin	Mgmt	For
Goldman Sachs Group Inc.	GS	38141G104	5/16/2014	6	Elect James A. Johnson	Mgmt	For
Goldman Sachs Group Inc.	GS	38141G104	5/16/2014	7	Elect Lakshmi N. Mittal	Mgmt	For
Goldman Sachs Group Inc.	GS	38141G104	5/16/2014	8	Elect Adebayo O. Ogunlesi	Mgmt	For
Goldman Sachs Group Inc.	GS	38141G104	5/16/2014	9	Elect Peter Oppenheimer	Mgmt	For
Goldman Sachs Group Inc.	GS	38141G104	5/16/2014	10	Elect James J. Schiro	Mgmt	For
Goldman Sachs Group Inc.	GS	38141G104	5/16/2014	11	Elect Debora L. Spar	Mgmt	For
Goldman Sachs Group	GS	38141G104	5/16/2014	12	Elect Mark E. Tucker	Mgmt	For

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Inc.								
Goldman Sachs Group Inc.	GS	38141G104	5/16/2014	13	Elect David A. Viniar	Mgmt	For	
Goldman Sachs Group Inc.	GS	38141G104	5/16/2014	14	Advisory Vote on Executive Compensation	Mgmt	For	
Goldman Sachs Group Inc.	GS	38141G104	5/16/2014	15	Ratification of Auditor	Mgmt	For	
Goldman Sachs Group Inc.	GS	38141G104	5/16/2014	16	ShrHldr Proposal Regarding Proxy Access	ShrHldr	Against	
Guggenheim Equal Weight Enhanced Equity Income Fund	GEQ	40167M106	4/3/2014	1	Amendment of Agreement and Declaration of Trust Regarding Board Size	Mgmt	For	
Guggenheim Equal Weight Enhanced Equity Income Fund	GEQ	40167M106	4/3/2014	2	Elect Randall C. Barnes	Mgmt	For	
Guggenheim Equal Weight Enhanced Equity Income Fund	GEQ	40167M106	4/3/2014	3	Elect Donald C. Cacciapaglia	Mgmt	For	
Guggenheim Equal Weight Enhanced Equity Income Fund	GEQ	40167M106	4/3/2014	4	Elect Donald A. Chubb Jr.	Mgmt	For	
Guggenheim Equal Weight Enhanced Equity Income Fund	GEQ	40167M106	4/3/2014	5	Elect Jerry B. Farley	Mgmt	For	
Guggenheim Equal Weight Enhanced Equity Income Fund	GEQ	40167M106	4/3/2014	6	Elect Maynard F. Oliverius	Mgmt	For	
Hewlett-Packard Company	HPQ	428236103	3/19/2014	1	Elect Marc L. Andreessen	Mgmt	For	
Hewlett-Packard Company	HPQ	428236103	3/19/2014	2	Elect Shumeet Banerji	Mgmt	For	
Hewlett-Packard Company	HPQ	428236103	3/19/2014	3	Elect Robert R. Bennett	Mgmt	For	
Hewlett-Packard Company	HPQ	428236103	3/19/2014	4	Elect Rajiv L. Gupta	Mgmt	For	
Hewlett-Packard Company	HPQ	428236103	3/19/2014	5	Elect Raymond J. Lane	Mgmt	For	
	HPQ	428236103	3/19/2014	6		Mgmt	For	



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Hewlett-Packard Company					Elect Ann M. Livermore		
Hewlett-Packard Company	HPQ	428236103	3/19/2014	7	Elect Raymond E. Ozzie	Mgmt	For
Hewlett-Packard Company	HPQ	428236103	3/19/2014	8	Elect Gary M Reiner	Mgmt	For
Hewlett-Packard Company	HPQ	428236103	3/19/2014	9	Elect Patricia F. Russo	Mgmt	For
Hewlett-Packard Company	HPQ	428236103	3/19/2014	10	Elect James A. Skinner	Mgmt	For
Hewlett-Packard Company	HPQ	428236103	3/19/2014	11	Elect Margaret C. Whitman	Mgmt	For
Hewlett-Packard Company	HPQ	428236103	3/19/2014	12	Elect Ralph V. Whitworth	Mgmt	For
Hewlett-Packard Company	HPQ	428236103	3/19/2014	13	Ratification of Auditor	Mgmt	For
Hewlett-Packard Company	HPQ	428236103	3/19/2014	14	Advisory Vote on Executive Compensation	Mgmt	For
Hewlett-Packard Company	HPQ	428236103	3/19/2014	15	ShrHldr Proposal Regarding Formation of a Human Rights Committee	ShrHldr	Against
Home Depot, Inc.	HD	437076102	5/22/2014	1	Elect F. Duane Ackerman	Mgmt	For
Home Depot, Inc.	HD	437076102	5/22/2014	2	Elect Francis S. Blake	Mgmt	For
Home Depot, Inc.	HD	437076102	5/22/2014	3	Elect Ari Bousbib	Mgmt	For
Home Depot, Inc.	HD	437076102	5/22/2014	4	Elect Gregory D. Brenneman	Mgmt	For
Home Depot, Inc.	HD	437076102	5/22/2014	5	Elect J. Frank Brown	Mgmt	For
Home Depot, Inc.	HD	437076102	5/22/2014	6	Elect Albert P. Carey	Mgmt	For
Home Depot, Inc.	HD	437076102	5/22/2014	7	Elect Armando M. Codina	Mgmt	For
Home Depot, Inc.	HD	437076102	5/22/2014	8	Elect Helena B. Foulkes	Mgmt	For
Home Depot, Inc.	HD	437076102	5/22/2014	9	Elect Wayne M. Hewett	Mgmt	For
Home Depot, Inc.	HD	437076102	5/22/2014	10	Elect Karen Katen	Mgmt	For

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Home Depot, Inc.	HD	437076102	5/22/2014	11	Elect Mark Vadon	Mgmt	For
Home Depot, Inc.	HD	437076102	5/22/2014	12	Ratification of Auditor	Mgmt	For
Home Depot, Inc.	HD	437076102	5/22/2014	13	Advisory Vote on Executive Compensation	Mgmt	For
Home Depot, Inc.	HD	437076102	5/22/2014	14	ShrHldr Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against
Home Depot, Inc.	HD	437076102	5/22/2014	15	ShrHldr Proposal Regarding Employment Diversity Report	ShrHldr	Against
The India Fund, Inc.	IFN	454089103	2/3/2014	1	Elimination of Fundamental Policy Governing Semi-Annual Repurchase Offers	Mgmt	For
Intel Corporation	INTC	458140100	5/22/2014	1	Elect Charlene Barshefsky	Mgmt	For
Intel Corporation	INTC	458140100	5/22/2014	2	Elect Andy D. Bryant	Mgmt	For
Intel Corporation	INTC	458140100	5/22/2014	3	Elect Susan L. Decker	Mgmt	For
Intel Corporation	INTC	458140100	5/22/2014	4	Elect John J. Donahoe	Mgmt	For
Intel Corporation	INTC	458140100	5/22/2014	5	Elect Reed E. Hundt	Mgmt	For
Intel Corporation	INTC	458140100	5/22/2014	6	Elect Brian M. Krzanich	Mgmt	For
Intel Corporation	INTC	458140100	5/22/2014	7	Elect James D. Plummer	Mgmt	For
Intel Corporation	INTC	458140100	5/22/2014	8	Elect David S. Pottruck	Mgmt	For
Intel Corporation	INTC	458140100	5/22/2014	9	Elect Frank D. Yeary	Mgmt	For
Intel Corporation	INTC	458140100	5/22/2014	10	Elect David B. Yoffie	Mgmt	For
Intel Corporation	INTC	458140100	5/22/2014	11	Ratification of Auditor	Mgmt	For
Intel Corporation	INTC	458140100	5/22/2014	12	Advisory Vote on Executive Compensation	Mgmt	For
International Business Machines Corporation	IBM	459200101	4/29/2014	1	Elect Alain J. P. Belda	Mgmt	For

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International Business Machines Corporation	IBM	459200101	4/29/2014	2	Elect William R. Brody	Mgmt	For
International Business Machines Corporation	IBM	459200101	4/29/2014	3	Elect Kenneth I. Chenault	Mgmt	For
International Business Machines Corporation	IBM	459200101	4/29/2014	4	Elect Michael L. Eskew	Mgmt	For
International Business Machines Corporation	IBM	459200101	4/29/2014	5	Elect David N. Farr	Mgmt	For
International Business Machines Corporation	IBM	459200101	4/29/2014	6	Elect Shirley A. Jackson	Mgmt	For
International Business Machines Corporation	IBM	459200101	4/29/2014	7	Elect Andrew N. Liveris	Mgmt	For
International Business Machines Corporation	IBM	459200101	4/29/2014	8	Elect W. James McNerney, Jr.	Mgmt	For
International Business Machines Corporation	IBM	459200101	4/29/2014	9	Elect James W. Owens	Mgmt	For
International Business Machines Corporation	IBM	459200101	4/29/2014	10	Elect Virginia M. Rometty	Mgmt	For
International Business Machines Corporation	IBM	459200101	4/29/2014	11	Elect Joan E. Spero	Mgmt	For
International Business Machines Corporation	IBM	459200101	4/29/2014	12	Elect Sidney Taurel	Mgmt	For
International Business Machines Corporation	IBM	459200101	4/29/2014	13	Elect Lorenzo H. Zambrano Treviño	Mgmt	For
International Business Machines Corporation	IBM	459200101	4/29/2014	14	Ratification of Auditor	Mgmt	For
International Business Machines Corporation	IBM	459200101	4/29/2014	15	Advisory Vote on Executive Compensation	Mgmt	For
International Business Machines Corporation	IBM	459200101	4/29/2014	16	Re-approve Performance Goals of the Company's Long-Term Incentive Awards	Mgmt	For
International Business Machines Corporation	IBM	459200101	4/29/2014	17	2014 Employees Stock Purchase Plan	Mgmt	For
International Business Machines Corporation	IBM	459200101	4/29/2014	18	ShrHldr Proposal Regarding Lobbying Report	ShrHldr	Against
	IBM	459200101	4/29/2014	19		ShrHldr	Against

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International Business Machines Corporation					ShrHldr Proposal Regarding Right to Act by Written Consent		
International Business Machines Corporation	IBM	459200101	4/29/2014	20	ShrHldr Proposal Regarding Compensation in the Event of a Change in Control	ShrHldr	Against
Invesco	VLT	46132L107	8/2/2013	1	Elect R. Craig Kennedy	Mgmt	For
Invesco	VLT	46132L107	8/2/2013	2	Elect Colin D. Meadows	Mgmt	For
Invesco	VLT	46132L107	8/2/2013	3	Elect Hugo F. Sonnenschein	Mgmt	For
Invesco Mun Income Opportunities Trust II	IIM	46132P108	8/8/2013	1.1	Elect Albert R. Dowden	Mgmt	For
Invesco Mun Income Opportunities Trust II	IIM	46132P108	8/8/2013	1.2	Elect Hugo F. Sonnenschein	Mgmt	For
Invesco Mun Income Opportunities Trust II	IIM	46132P108	8/8/2013	1.3	Elect Raymond Stickel, Jr.	Mgmt	For
Invesco Mun Income Opportunities Trust II	IIM	46132P108	8/8/2013	1.1	Elect Albert R. Dowden	Mgmt	For
Invesco Mun Income Opportunities Trust II	IIM	46132P108	8/8/2013	1.2	Elect Hugo F. Sonnenschein	Mgmt	For
Invesco Mun Income Opportunities Trust II	IIM	46132P108	8/8/2013	1.3	Elect Raymond Stickel, Jr.	Mgmt	For
Invesco Municipal Income Opportunities Trust	OIA	46132X101	8/8/2013	1.1	Elect Albert R. Dowden	Mgmt	For
Invesco Municipal Income Opportunities Trust	OIA	46132X101	8/8/2013	1.2	Elect Prema Mathai-Davis	Mgmt	For
Invesco Municipal Income Opportunities Trust	OIA	46132X101	8/8/2013	1.3	Elect Hugo F. Sonnenschein	Mgmt	For
Invesco Municipal Income Opportunities Trust	OIA	46132X101	8/8/2013	1.4	Elect Raymond Stickel, Jr.	Mgmt	For

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Invesco Municipal Opportunity Trust	VMO	46132C107	8/2/2013	1	Elect R. Craig Kennedy	Mgmt	For
Invesco Municipal Opportunity Trust	VMO	46132C107	8/2/2013	2	Elect Colin D. Meadows	Mgmt	For
Invesco Municipal Trust	VKQ	46131J103	8/2/2013	1	Elect R. Craig Kennedy	Mgmt	For
Eli Lilly and Company	LLY	532457108	5/5/2014	4	Elect John C. Lechleiter	Mgmt	For
Eli Lilly and Company	LLY	532457108	5/5/2014	5	Elect Marschall S. Runge	Mgmt	For
Eli Lilly and Company	LLY	532457108	5/5/2014	6	Ratification of Auditor	Mgmt	For
Eli Lilly and Company	LLY	532457108	5/5/2014	7	Advisory Vote on Executive Compensation	Mgmt	For
LMP Capital and Income Fund Inc	SCD	50208A102	3/28/2014	1.1	Elect Eileen A. Kamerick	Mgmt	For
LMP Capital and Income Fund Inc	SCD	50208A102	3/28/2014	1.2	Elect Riordan Roett	Mgmt	For
LMP Capital and Income Fund Inc	SCD	50208A102	3/28/2014	1.3	Elect Jeswald W. Salacuse	Mgmt	For
LMP Capital and Income Fund Inc	SCD	50208A102	3/28/2014	1.4	Elect Kenneth D. Fuller	Mgmt	For
LMP Real Estate Income Fund Inc	RIT	50208C108	4/25/2014	1.1	Elect Daniel P. Cronin	Mgmt	For
LMP Real Estate Income Fund Inc	RIT	50208C108	4/25/2014	1.2	Elect Paolo M. Cucchi	Mgmt	For
LMP Real Estate Income Fund Inc	RIT	50208C108	4/25/2014	1.3	Elect Riordan Roett	Mgmt	For
LMP Real Estate Income Fund Inc	RIT	50208C108	4/25/2014	1.4	Elect Kenneth D. Fuller	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/24/2014	1	Elect Daniel F. Akerson	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/24/2014	2	Elect Nolan D. Archibald	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/24/2014	3	Elect Rosalind G. Brewer	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/24/2014	4	Elect David B. Burritt	Mgmt	For

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Lockheed Martin Corporation	LMT	539830109	4/24/2014	5	Elect James O. Ellis, Jr.	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/24/2014	6	Elect Thomas J. Falk	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/24/2014	7	Elect Marillyn A. Hewson	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/24/2014	8	Elect Gwendolyn S. King	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/24/2014	9	Elect James M. Loy	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/24/2014	10	Elect Douglas H. McCorkindale	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/24/2014	11	Elect Joseph W. Ralston	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/24/2014	12	Elect Anne L. Stevens	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/24/2014	13	Ratification of Auditor	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/24/2014	14	Advisory Vote on Executive Compensation	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/24/2014	15	Amendment to the 2011 Incentive Performance Award Plan	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/24/2014	16	ShrHldr Proposal Regarding Right to Act by Written Consent	ShrHldr	Against
Lockheed Martin Corporation	LMT	539830109	4/24/2014	17	ShrHldr Proposal Regarding Retention of Shares Until Retirement	ShrHldr	Against
Lockheed Martin Corporation	LMT	539830109	4/24/2014	18	ShrHldr Proposal Regarding Recoupment of Unearned Bonuses	ShrHldr	Against
Macy's Inc.	M	55616P104	5/16/2014	1	Elect Stephen F. Bollenbach	Mgmt	For
Macy's Inc.	M	55616P104	5/16/2014	2	Elect Deirdre P. Connelly	Mgmt	For

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Macy's Inc.	M	55616P104	5/16/2014	3	Elect Meyer Feldberg	Mgmt	For
Macy's Inc.	M	55616P104	5/16/2014	4	Elect Sara L. Levinson	Mgmt	For
Macy's Inc.	M	55616P104	5/16/2014	5	Elect Terry J. Lundgren	Mgmt	For
Macy's Inc.	M	55616P104	5/16/2014	6	Elect Joseph Neubauer	Mgmt	For
Macy's Inc.	M	55616P104	5/16/2014	7	Elect Joyce M. Roché	Mgmt	For
Macy's Inc.	M	55616P104	5/16/2014	8	Elect Paul C. Varga	Mgmt	For
Macy's Inc.	M	55616P104	5/16/2014	9	Elect Craig E. Weatherup	Mgmt	For
Macy's Inc.	M	55616P104	5/16/2014	10	Elect Marna C. Whittington	Mgmt	For
Macy's Inc.	M	55616P104	5/16/2014	11	Ratification of Auditor	Mgmt	For
Macy's Inc.	M	55616P104	5/16/2014	12	Advisory Vote on Executive Compensation	Mgmt	For
Macy's Inc.	M	55616P104	5/16/2014	13	Amendment to the Omnibus Incentive Compensation Plan	Mgmt	For
Marathon Oil Corporation	MRO	565849106	4/30/2014	1	Elect Gregory H. Boyce	Mgmt	For
Marathon Oil Corporation	MRO	565849106	4/30/2014	2	Elect Pierre R. Brondeau	Mgmt	For
Marathon Oil Corporation	MRO	565849106	4/30/2014	3	Elect Linda Z. Cook	Mgmt	For
Marathon Oil Corporation	MRO	565849106	4/30/2014	4	Elect Chadwick C. Deaton	Mgmt	For
Marathon Oil Corporation	MRO	565849106	4/30/2014	5	Elect Shirley A. Jackson	Mgmt	For
Marathon Oil Corporation	MRO	565849106	4/30/2014	6	Elect Philip Lader	Mgmt	For
Marathon Oil Corporation	MRO	565849106	4/30/2014	7	Elect Michael E.J. Phelps	Mgmt	For
Marathon Oil Corporation	MRO	565849106	4/30/2014	8	Elect Dennis H. Reilley	Mgmt	For
Marathon Oil Corporation	MRO	565849106	4/30/2014	9	Elect Lee M. Tillman	Mgmt	For

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Marathon Oil Corporation	MRO	565849106	4/30/2014	10	Ratification of Auditor	Mgmt	For
Marathon Oil Corporation	MRO	565849106	4/30/2014	11	Advisory Vote on Executive Compensation	Mgmt	For
Marathon Oil Corporation	MRO	565849106	4/30/2014	12	ShrHldr Proposal Regarding Lobbying Report	ShrHldr	Against
Marathon Oil Corporation	MRO	565849106	4/30/2014	13	ShrHldr Proposal Regarding Methane Emissions Reporting and Reduction Targets	ShrHldr	Against
Marsh & McLennan Companies, Inc.	MMC	571748102	5/15/2014	1	Elect Óscar Fajul Martín	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/15/2014	2	Elect Daniel S. Glaser	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/15/2014	3	Elect H. Edward Hanway	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/15/2014	4	Elect Ian Lang	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/15/2014	5	Elect Elaine La Roche	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/15/2014	6	Elect Steven A. Mills	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/15/2014	7	Elect Bruce P. Nolop	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/15/2014	8	Elect Marc D. Oken	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/15/2014	9	Elect Morton O. Schapiro	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/15/2014	10	Elect Adele S. Simmons	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/15/2014	11	Elect Lloyd M. Yates	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/15/2014	12	Elect R. David Yost	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/15/2014	13	Advisory Vote on Executive Compensation	Mgmt	For
	MMC	571748102	5/15/2014	14		Mgmt	For



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Marsh & McLennan Companies, Inc.						Ratification of Auditor		
MetLife, Inc.	MET	59156R108	4/22/2014	1		Elect Cheryl W. Gris�	Mgmt	For
MetLife, Inc.	MET	59156R108	4/22/2014	2		Elect Carlos M. Gutierrez	Mgmt	For
MetLife, Inc.	MET	59156R108	4/22/2014	3		Elect R. Glenn Hubbard	Mgmt	For
MetLife, Inc.	MET	59156R108	4/22/2014	4		Elect Steven A. Kandarian	Mgmt	For
MetLife, Inc.	MET	59156R108	4/22/2014	5		Elect John M. Keane	Mgmt	For
MetLife, Inc.	MET	59156R108	4/22/2014	6		Elect Alfred F. Kelly, Jr.	Mgmt	For
MetLife, Inc.	MET	59156R108	4/22/2014	7		Elect William E. Kennard	Mgmt	For
MetLife, Inc.	MET	59156R108	4/22/2014	8		Elect James M. Kilts	Mgmt	For
MetLife, Inc.	MET	59156R108	4/22/2014	9		Elect Catherine R. Kinney	Mgmt	For
MetLife, Inc.	MET	59156R108	4/22/2014	10		Elect Denise M. Morrison	Mgmt	For
MetLife, Inc.	MET	59156R108	4/22/2014	11		Elect Kenton J. Sicchitano	Mgmt	For
MetLife, Inc.	MET	59156R108	4/22/2014	12		Elect Lulu C. Wang	Mgmt	For
MetLife, Inc.	MET	59156R108	4/22/2014	13		Ratification of Auditor	Mgmt	For
MetLife, Inc.	MET	59156R108	4/22/2014	14		Advisory Vote on Executive Compensation	Mgmt	For
MetLife, Inc.	MET	59156R108	4/22/2014	15		2015 Stock and Incentive Compensation Plan	Mgmt	For
MetLife, Inc.	MET	59156R108	4/22/2014	16		Non-Mgmt Director Stock Compensation Plan	Mgmt	For
MFS High Income Municipal Trust		59318D104	10/3/2013	1.1		Elect Maureen R. Goldfarb	Mgmt	For
MFS High Income Municipal Trust		59318D104	10/3/2013	1.2		Elect Robert J. Manning	Mgmt	For
MFS High Yield Municipal Trust		59318E102	10/3/2013	1.1		Elect Maureen R. Goldfarb	Mgmt	For

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MFS High Yield Municipal Trust		59318E102	10/3/2013	1.2	Elect Robert J. Manning	Mgmt	For
MFS Intermediate High Income Fund		59318T109	10/3/2013	1.1	Elect Maureen R. Goldfarb	Mgmt	For
MFS Intermediate High Income Fund		59318T109	10/3/2013	1.2	Elect Robert J. Manning	Mgmt	For
MFS Intermediate High Income Fund		59318T109	10/3/2013	1.3	Elect Laurie J. Thomsen	Mgmt	For
MFS Investment Grade Municipal Trust		59318B108	10/3/2013	1.1	Elect Maureen R. Goldfarb	Mgmt	For
MFS Investment Grade Municipal Trust		59318B108	10/3/2013	1.2	Elect Robert J. Manning	Mgmt	For
Microsoft Corporation	MSFT	594918104	11/19/2013	1	Elect Steven A. Ballmer	Mgmt	For
Microsoft Corporation	MSFT	594918104	11/19/2013	2	Elect Dina Dublon	Mgmt	For
Microsoft Corporation	MSFT	594918104	11/19/2013	3	Elect William H. Gates III	Mgmt	For
Microsoft Corporation	MSFT	594918104	11/19/2013	4	Elect Maria Klawe	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	NBD	67074Q102	8/7/2013	1.3	Elect Carole E. Stone	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	NBD	67074Q102	8/7/2013	1.4	Elect Virginia L. Stringer	Mgmt	For
Petroleum & Resources Corporation	PEO	716549100	4/10/2014	1.1	Elect Enrique R. Arzac	Mgmt	For
Petroleum & Resources Corporation	PEO	716549100	4/10/2014	1.2	Elect Phyllis O. Bonanno	Mgmt	For
Petroleum & Resources Corporation	PEO	716549100	4/10/2014	1.3	Elect Kenneth J. Dale	Mgmt	For
Petroleum & Resources Corporation	PEO	716549100	4/10/2014	1.4	Elect Frederic A. Escherich	Mgmt	For
Petroleum & Resources Corporation	PEO	716549100	4/10/2014	1.5	Elect Roger W. Gale	Mgmt	For
Petroleum & Resources Corporation	PEO	716549100	4/10/2014	1.6	Elect Kathleen T. McGahran	Mgmt	For
	PEO	716549100	4/10/2014	1.7	Elect Craig R. Smith	Mgmt	For

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Petroleum & Resources Corporation

Petroleum & Resources Corporation	PEO	716549100	4/10/2014	1.8	Elect Mark E. Stoeckle	Mgmt	For
Petroleum & Resources Corporation	PEO	716549100	4/10/2014	2	Ratification of Auditor	Mgmt	For
Pfizer Inc.	PFE	717081103	4/24/2014	1	Elect Dennis A. Ausiello	Mgmt	For
Pfizer Inc.	PFE	717081103	4/24/2014	2	Elect W. Don Cornwell	Mgmt	For
Pfizer Inc.	PFE	717081103	4/24/2014	3	Elect Frances D. Fergusson	Mgmt	For
Pfizer Inc.	PFE	717081103	4/24/2014	4	Elect Helen H. Hobbs	Mgmt	For
Pfizer Inc.	PFE	717081103	4/24/2014	5	Elect Constance J. Horner	Mgmt	For
Pfizer Inc.	PFE	717081103	4/24/2014	6	Elect James M. Kilts	Mgmt	For
Pfizer Inc.	PFE	717081103	4/24/2014	7	Elect George A. Lorch	Mgmt	For
Pfizer Inc.	PFE	717081103	4/24/2014	8	Elect Shantanu Narayen	Mgmt	For
Pfizer Inc.	PFE	717081103	4/24/2014	9	Elect Suzanne Nora Johnson	Mgmt	For
Pfizer Inc.	PFE	717081103	4/24/2014	10	Elect Ian C. Read	Mgmt	For
Pfizer Inc.	PFE	717081103	4/24/2014	11	Elect Stephen W. Sanger	Mgmt	For
Pfizer Inc.	PFE	717081103	4/24/2014	12	Elect Marc Tessier-Lavigne	Mgmt	For
Pfizer Inc.	PFE	717081103	4/24/2014	13	Ratification of Auditor	Mgmt	For
Pfizer Inc.	PFE	717081103	4/24/2014	14	Advisory Vote on Executive Compensation	Mgmt	For
Pfizer Inc.	PFE	717081103	4/24/2014	15	2014 Stock Plan	Mgmt	For
Pfizer Inc.	PFE	717081103	4/24/2014	16	ShrHldr Proposal Regarding Approval of Political Contributions Policy	ShrHldr	Against
Pfizer Inc.	PFE	717081103	4/24/2014	17	ShrHldr Proposal Regarding Lobbying	ShrHldr	Against

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					Report		
Pfizer Inc.	PFE	717081103	4/24/2014	18	ShrHldr Proposal Regarding Right to Act by Written Consent	ShrHldr	Against
Prudential Financial, Inc.	PRU	744320102	5/13/2014	1	Elect Thomas J. Baltimore, Jr.	Mgmt	For
Prudential Financial, Inc.	PRU	744320102	5/13/2014	2	Elect Gordon M. Bethune	Mgmt	For
Prudential Financial, Inc.	PRU	744320102	5/13/2014	3	Elect Gilbert F. Casellas	Mgmt	For
Prudential Financial, Inc.	PRU	744320102	5/13/2014	4	Elect James G. Cullen	Mgmt	For
Prudential Financial, Inc.	PRU	744320102	5/13/2014	5	Elect Mark B. Grier	Mgmt	For
Prudential Financial, Inc.	PRU	744320102	5/13/2014	6	Elect Constance J. Horner	Mgmt	For
Prudential Financial, Inc.	PRU	744320102	5/13/2014	7	Elect Martina Hund-Mejean	Mgmt	For
Prudential Financial, Inc.	PRU	744320102	5/13/2014	8	Elect Karl J. Krapek	Mgmt	For
Prudential Financial, Inc.	PRU	744320102	5/13/2014	9	Elect Christine A. Poon	Mgmt	For
Prudential Financial, Inc.	PRU	744320102	5/13/2014	10	Elect Douglas A. Scovanner	Mgmt	For
Prudential Financial, Inc.	PRU	744320102	5/13/2014	11	Elect John R. Strangfeld	Mgmt	For
Prudential Financial, Inc.	PRU	744320102	5/13/2014	12	Elect James A. Unruh	Mgmt	For
Prudential Financial, Inc.	PRU	744320102	5/13/2014	13	Ratification of Auditor	Mgmt	For
Prudential Financial, Inc.	PRU	744320102	5/13/2014	14	Advisory Vote on Executive Compensation	Mgmt	For
Prudential Financial, Inc.	PRU	744320102	5/13/2014	15	ShrHldr Proposal Regarding Retention of Shares Until Retirement	ShrHldr	Against
Putnam High Income Securities Fund	PCF	746779107	2/27/2014	1	Approval of New Mgmt Contract for	Mgmt	For

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					Fund		
QUALCOMM Incorporated	QCOM	747525103	3/4/2014	1	Elect Barbara T. Alexander	Mgmt	For
QUALCOMM Incorporated	QCOM	747525103	3/4/2014	2	Elect Donald G. Cruickshank	Mgmt	For
QUALCOMM Incorporated	QCOM	747525103	3/4/2014	3	Elect Raymond V. Dittamore	Mgmt	For
QUALCOMM Incorporated	QCOM	747525103	3/4/2014	4	Elect Susan Hockfield	Mgmt	For
QUALCOMM Incorporated	QCOM	747525103	3/4/2014	5	Elect Thomas W. Horton	Mgmt	For
QUALCOMM Incorporated	QCOM	747525103	3/4/2014	6	Elect Paul E. Jacobs	Mgmt	For
QUALCOMM Incorporated	QCOM	747525103	3/4/2014	7	Elect Sherry Lansing	Mgmt	For
QUALCOMM Incorporated	QCOM	747525103	3/4/2014	8	Elect Steven M. Mollenkopf	Mgmt	For
QUALCOMM Incorporated	QCOM	747525103	3/4/2014	9	Elect Duane A. Nelles	Mgmt	For
QUALCOMM Incorporated	QCOM	747525103	3/4/2014	10	Elect Clark T. Randt, Jr.	Mgmt	For
QUALCOMM Incorporated	QCOM	747525103	3/4/2014	11	Elect Francisco Ros	Mgmt	For
QUALCOMM Incorporated	QCOM	747525103	3/4/2014	12	Elect Jonathan J. Rubinstein	Mgmt	For
QUALCOMM Incorporated	QCOM	747525103	3/4/2014	13	Elect Brent Scowcroft	Mgmt	For
QUALCOMM Incorporated	QCOM	747525103	3/4/2014	14	Elect Marc I. Stern	Mgmt	For
QUALCOMM Incorporated	QCOM	747525103	3/4/2014	15	Ratification of Auditor	Mgmt	For
QUALCOMM Incorporated	QCOM	747525103	3/4/2014	16	Advisory Vote on Executive Compensation	Mgmt	For
QUALCOMM Incorporated	QCOM	747525103	3/4/2014	17	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year
Reaves Utility Income Fund	UTG	756158101	5/2/2014	1.1	Elect Mary K. Anstine	Mgmt	For

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Reaves Utility Income Fund	UTG	756158101	5/2/2014	1.2	Elect Michael F. Holland	Mgmt	For
RMR Real Estate Income Fund		76970B101	4/23/2014	1	Elect John L. Harrington	Mgmt	For
Schlumberger N.V. (Schlumberger Limited)	SLB	806857108	4/9/2014	1	Elect Peter L.S. Currie	Mgmt	For
Schlumberger N.V. (Schlumberger Limited)	SLB	806857108	4/9/2014	2	Elect Tony Isaac	Mgmt	For
Schlumberger N.V. (Schlumberger Limited)	SLB	806857108	4/9/2014	3	Elect K.V. Kamath	Mgmt	For
Schlumberger N.V. (Schlumberger Limited)	SLB	806857108	4/9/2014	4	Elect Maureen Kempston Darkes	Mgmt	For
Schlumberger N.V. (Schlumberger Limited)	SLB	806857108	4/9/2014	5	Elect Paal Kibsgaard	Mgmt	For
Schlumberger N.V. (Schlumberger Limited)	SLB	806857108	4/9/2014	6	Elect Nikolay Kudryavtsev	Mgmt	For
Schlumberger N.V. (Schlumberger Limited)	SLB	806857108	4/9/2014	7	Elect Michael E. Marks	Mgmt	For
Schlumberger N.V. (Schlumberger Limited)	SLB	806857108	4/9/2014	8	Elect Lubna Olayan	Mgmt	For
Schlumberger N.V. (Schlumberger Limited)	SLB	806857108	4/9/2014	9	Elect Leo Rafael Reif	Mgmt	For
Schlumberger N.V. (Schlumberger Limited)	SLB	806857108	4/9/2014	10	Elect Tore I. Sandvold	Mgmt	For
Schlumberger N.V. (Schlumberger Limited)	SLB	806857108	4/9/2014	11	Elect Henri Seydoux	Mgmt	For
Schlumberger N.V. (Schlumberger Limited)	SLB	806857108	4/9/2014	12	Advisory Vote on Executive Compensation	Mgmt	For
Schlumberger N.V. (Schlumberger Limited)	SLB	806857108	4/9/2014	13	Approval of Financial Statements/Dividends	Mgmt	For
Schlumberger N.V. (Schlumberger Limited)	SLB	806857108	4/9/2014	14	Appointment of Auditor	Mgmt	For
St. Jude Medical, Inc.	STJ	790849103	5/1/2014	1	Elect Richard R. Devenuti	Mgmt	For
St. Jude Medical, Inc.	STJ	790849103	5/1/2014	2	Elect Stefan K. Widensohler	Mgmt	For
St. Jude Medical, Inc.	STJ	790849103	5/1/2014	3	Elect Wendy L. Yarno	Mgmt	For

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St. Jude Medical, Inc.	STJ	790849103	5/1/2014	4	Advisory Vote on Executive Compensation	Mgmt	For
St. Jude Medical, Inc.	STJ	790849103	5/1/2014	5	Mgmt Incentive Compensation Plan	Mgmt	For
St. Jude Medical, Inc.	STJ	790849103	5/1/2014	6	Repeal of Classified Board	Mgmt	For
St. Jude Medical, Inc.	STJ	790849103	5/1/2014	7	Ratification of Auditor	Mgmt	For
State Street Corporation	STT	857477103	5/14/2014	1	Elect Jose E. Almeida	Mgmt	For
State Street Corporation	STT	857477103	5/14/2014	2	Elect Kennett F. Burnes	Mgmt	For
State Street Corporation	STT	857477103	5/14/2014	3	Elect Peter Coym	Mgmt	For
State Street Corporation	STT	857477103	5/14/2014	4	Elect Patrick de Saint-Aignan	Mgmt	For
State Street Corporation	STT	857477103	5/14/2014	5	Elect Amelia C. Fawcett	Mgmt	For
State Street Corporation	STT	857477103	5/14/2014	6	Elect Linda A. Hill	Mgmt	For
State Street Corporation	STT	857477103	5/14/2014	7	Elect Joseph L. Hooley	Mgmt	For
State Street Corporation	STT	857477103	5/14/2014	8	Elect Robert S. Kaplan	Mgmt	For
State Street Corporation	STT	857477103	5/14/2014	9	Elect Richard P. Sergel	Mgmt	For
State Street Corporation	STT	857477103	5/14/2014	10	Elect Ronald L. Skates	Mgmt	For
State Street Corporation	STT	857477103	5/14/2014	11	Elect Gregory L. Summe	Mgmt	For
State Street Corporation	STT	857477103	5/14/2014	12	Elect Thomas J. Wilson	Mgmt	For
State Street Corporation	STT	857477103	5/14/2014	13	Advisory Vote on Executive Compensation	Mgmt	For
Western Asset Emerging Markets Debt Fund Inc	ESD	95766A101	4/25/2014	1.4	Elect Kenneth D. Fuller	Mgmt	For
Western Asset Managed High Income Portfolio Inc.	MHY	95766L107	6/27/2014	1.1	Elect Carol L. Colman	Mgmt	For

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Western Asset Managed High Income Portfolio Inc.	MHY	95766L107	6/27/2014	1.2	Elect Leslie H. Gelb	Mgmt	For
Western Asset Managed High Income Portfolio Inc.	MHY	95766L107	6/27/2014	1.3	Elect Riordan Roett	Mgmt	For
Western Asset Mortgage Defined Opportunity Fund Inc.	IGI	95790A101	3/28/2014	1.1	Elect Leslie H. Gelb	Mgmt	For
Western Asset Mortgage Defined Opportunity Fund Inc.	IGI	95790A101	3/28/2014	1.2	Elect Kenneth D. Fuller	Mgmt	For
Western Asset Mortgage Defined Opportunity Fund Inc.	IGI	95790A101	3/28/2014	1.3	Elect William R. Hutchinson	Mgmt	For
Western Asset Municipal High Income Fund Inc	MHF	95766N103	2/28/2014	1.1	Elect William R. Hutchinson	Mgmt	For
Western Asset Municipal High Income Fund Inc	MHF	95766N103	2/28/2014	1.2	Elect Eileen A. Kamerick	Mgmt	For
Western Asset Municipal High Income Fund Inc	MHF	95766N103	2/28/2014	1.3	Elect Jeswald W. Salacuse	Mgmt	For
Western Asset Municipal High Income Fund Inc	MHF	95766N103	2/28/2014	1.4	Elect Kenneth D. Fuller	Mgmt	For
Western Asset-Claymore Inflation-Linked Securities & Income Fu	WIA	95766Q106	5/6/2014	1.1	Elect Ronald A. Nyberg	Mgmt	For
Yahoo! Inc.	YHOO	984332106	6/25/2014	1	Elect David Filo	Mgmt	For
Yahoo! Inc.	YHOO	984332106	6/25/2014	2	Elect Susan M. James	Mgmt	For
Yahoo! Inc.	YHOO	984332106	6/25/2014	3	Elect Max R. Levchin	Mgmt	For
Yahoo! Inc.	YHOO	984332106	6/25/2014	4	Elect Marissa A. Mayer	Mgmt	For
Yahoo! Inc.	YHOO	984332106	6/25/2014	5	Elect Thomas J. McInerney	Mgmt	For
Yahoo! Inc.	YHOO	984332106	6/25/2014	6	Elect Charles R. Schwab	Mgmt	For
Yahoo! Inc.	YHOO	984332106	6/25/2014	7	Elect H. Lee Scott, Jr.	Mgmt	For



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Yahoo! Inc.	YHOO	984332106	6/25/2014	8	Elect Jane E. Shaw	Mgmt	For
Yahoo! Inc.	YHOO	984332106	6/25/2014	9	Elect Maynard G. Webb, Jr.	Mgmt	For
Yahoo! Inc.	YHOO	984332106	6/25/2014	10	Advisory Vote on Executive Compensation	Mgmt	For
Yahoo! Inc.	YHOO	984332106	6/25/2014	11	Amendment to the Stock Plan	Mgmt	For
Yahoo! Inc.	YHOO	984332106	6/25/2014	12	Ratification of Auditor	Mgmt	For
Yahoo! Inc.	YHOO	984332106	6/25/2014	13	Right to Call a Special Meeting	Mgmt	For
Yahoo! Inc.	YHOO	984332106	6/25/2014	14	ShrHldr Proposal Regarding Formation of a Human Rights Committee	ShrHldr	Against
Yahoo! Inc.	YHOO	984332106	6/25/2014	15	ShrHldr Proposal Regarding Lobbying Report	ShrHldr	Against
Yahoo! Inc.	YHOO	984332106	6/25/2014	16	ShrHldr Proposal Regarding Political Contributions and Expenditures Report	ShrHldr	Against

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SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) Cornerstone Progressive Return Fund

By (Signature and Title)

/s/ Ralph W. Bradshaw  
Ralph W. Bradshaw, President and  
Chairman  
(Principal Executive Officer)

Date August 11, 2014