

Edgar Filing: Clough Global Opportunities Fund - Form N-Q

Clough Global Opportunities Fund  
Form N-Q  
September 28, 2016

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM N-Q

QUARTERLY SCHEDULE OF PORTFOLIO HOLDINGS OF REGISTERED  
MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number: 811-21846

CLOUGH GLOBAL OPPORTUNITIES FUND  
(Exact name of registrant as specified in charter)

1290 Broadway, Suite 1100, Denver, Colorado 80203  
(Address of principal executive offices) (Zip code)

Abigail J. Murray  
Clough Global Opportunities Fund  
1290 Broadway, Suite 1100  
Denver, Colorado 80203  
(Name and address of agent for service)

Registrant's telephone number, including area code: (303) 623-2577

Date of fiscal year end: October 31

Date of reporting period: July 31, 2016

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## Item 1 – Schedule of Investments.

The Schedule of Investments is included herewith.

## Clough Global Dividend and Income Fund

## STATEMENT OF INVESTMENTS

July 31, 2016 (Unaudited)

	Shares	Value
COMMON STOCKS 84.72%		
Consumer Discretionary 18.91%		
Cable One, Inc. <sup>(a)(b)</sup>	4,465	\$2,339,124
Charter Communications, Inc. - Class A <sup>(a)(b)(c)</sup>	6,510	1,529,004
Comcast Corp. - Class A <sup>(a)(b)</sup>	50,700	3,409,575
CommerceHub, Inc. - Series A <sup>(a)(c)</sup>	2,557	36,057
CommerceHub, Inc. - Series C <sup>(a)(c)</sup>	5,114	71,602
DR Horton, Inc. <sup>(a)(b)</sup>	63,226	2,078,871
Great Wall Motor Co., Ltd. - Class H	1,594,500	1,654,429
Hilton Worldwide Holdings, Inc.	39,800	922,962
IMAX Corp. <sup>(a)(b)(c)</sup>	47,900	1,513,161
Las Vegas Sands Corp.	18,200	921,830
Lennar Corp. - Class A <sup>(a)(b)</sup>	61,400	2,873,520
Liberty Broadband Corp. - Class A <sup>(a)(b)(c)</sup>	11,528	724,074
Liberty Broadband Corp. - Class C <sup>(a)(b)(c)</sup>	42,648	2,700,045
Liberty Ventures - Series A <sup>(a)(c)</sup>	25,572	964,320
PulteGroup, Inc. <sup>(a)(b)</sup>	84,300	1,785,474
Sands China, Ltd.	179,600	685,213
Service Corp. International <sup>(a)(b)</sup>	50,400	1,397,088
Signet Jewelers, Ltd. <sup>(a)(b)</sup>	11,030	969,647
Sony Corp.	27,700	890,982
William Lyon Homes - Class A <sup>(a)(b)(c)</sup>	40,200	697,470
		28,164,448
Consumer Staples 0.57%		
CVS Health Corp. <sup>(a)(b)</sup>	9,100	843,752
Energy 15.89%		
Anadarko Petroleum Corp. <sup>(a)(b)</sup>	21,700	1,183,301
Apache Corp. <sup>(a)(b)</sup>	31,400	1,648,500
Cabot Oil & Gas Corp. <sup>(a)(b)</sup>	46,300	1,142,221
Concho Resources, Inc. <sup>(a)(b)(c)</sup>	12,000	1,490,400
CONSOL Energy, Inc.	34,000	658,920
Continental Resources, Inc. <sup>(a)(b)(c)</sup>	38,000	1,673,900
Devon Energy Corp. <sup>(a)(b)</sup>	50,100	1,917,828
Energen Corp. <sup>(a)</sup>	20,500	971,290
EQT Corp.	5,400	393,444
Fairway Energy LP <sup>(c)(d)(e)</sup>	130,700	1,323,337
Hess Corp. <sup>(a)(b)</sup>	23,200	1,244,680

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Marathon Oil Corp. <sup>(a)(b)</sup>	125,100	1,706,364
Newfield Exploration Co. <sup>(a)(c)</sup>	18,100	783,730
Occidental Petroleum Corp. <sup>(a)(b)</sup>	8,700	650,151
Parsley Energy, Inc. - Class A <sup>(a)(b)(c)</sup>	28,200	803,982
Pioneer Natural Resources Co. <sup>(a)(b)</sup>	12,800	2,080,896
Royal Dutch Shell PLC - Sponsored ADR - Class A <sup>(a)</sup>	21,600	1,118,664
Sanchez Energy Corp. <sup>(a)(c)</sup>	36,000	228,240
Statoil ASA - Sponsored ADR <sup>(a)(b)</sup>	5,500	87,505

	Shares	Value
Energy (continued)		
Total S.A. - Sponsored ADR <sup>(a)</sup>	5,337	\$ 256,710
The Williams Cos., Inc.	46,000	1,102,620
WPX Energy, Inc. <sup>(a)(b)(c)</sup>	121,200	1,210,788
		23,677,471
Financials 21.44%		
American Capital Agency Corp. <sup>(a)(b)</sup>	165,300	3,238,227
American Capital Mortgage Investment Corp.	62,100	1,015,956
Ares Capital Corp. <sup>(a)</sup>	291,600	4,414,824
Atlas Mara, Ltd. <sup>(c)(e)</sup>	160,550	606,076
Bank of America Corp. <sup>(a)(b)</sup>	176,491	2,557,355
Blackstone Mortgage Trust, Inc. - Class A <sup>(a)</sup>	50,500	1,465,005
Citigroup, Inc. <sup>(a)(b)</sup>	51,030	2,235,625
Community Healthcare Trust, Inc. <sup>(a)(b)</sup>	108,100	2,485,219
First Republic Bank <sup>(a)</sup>	13,100	938,877
Franklin Resources, Inc.	20,500	741,895
	77,000	840,840

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Global Medical REIT, Inc.		
Golub Capital BDC, Inc. <sup>(a)</sup>	128,400	2,444,736
Hercules Capital, Inc. <sup>(a)(b)</sup>	97,300	1,290,198
JPMorgan Chase & Co. <sup>(a)(b)</sup>	16,000	1,023,520
Ladder Capital Corp. <sup>(a)</sup>	55,102	719,632
PennyMac Mortgage Investment Trust <sup>(a)(b)</sup>	79,514	1,290,512
Solar Capital, Ltd.	47,100	953,775
Starwood Property Trust, Inc. <sup>(a)(b)</sup>	123,500	2,692,300
Two Harbors Investment Corp. <sup>(a)(b)</sup>	112,391	983,421
		31,937,993
Health Care 8.63%		
Actelion, Ltd.	2,789	494,665
Akorn, Inc. <sup>(a)(b)(c)</sup>	25,600	876,288
Albany Molecular Research, Inc. <sup>(a)(b)(c)</sup>	43,800	632,472
Allergan PLC <sup>(a)(b)(c)</sup>	2,700	682,965
Amsurg Corp. <sup>(a)(b)(c)</sup>	10,500	787,605
AstraZeneca PLC - Sponsored ADR	13,900	474,546
Bristol-Meyers Squibb Co. <sup>(a)(b)</sup>	15,787	1,181,025

10. Check if the Aggregate Amount in Row (9) Excludes Certain Shares\*

11. Percent of Class Represented by Amount in Row (9)  
 0.0% as of the date of this filing.

12.

**Type of Reporting Person\***

CO

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1. **Names of Reporting Person S.S. OR I.R.S. Identification No. of above person**  
Hera International Investments Ltd.

2. **Check the Appropriate Box if a Member of a Group\***

(a)  [X]

(b)  [ ]

3. **SEC Use Only**

4. **Citizenship or Place of Organization**  
Cayman Islands company

5. **Sole Voting Power**  
0

**Number of  
Shares  
Beneficially  
Owned by  
Each  
Reporting  
Person With**

6. **Shared Voting Power**  
0

7. **Sole Dispositive Power**  
0

8. **Shared Dispositive Power**  
See Row 6 above.

9. **Aggregate Amount Beneficially Owned by Each Reporting Person**  
See Row 6 above.

10. **Check if the Aggregate Amount in Row (9) Excludes Certain Shares\***  
 [ ]

11. **Percent of Class Represented by Amount in Row (9)**  
0.0% as of the date of this filing.

12. **Type of Reporting Person\***  
CO

CUSIP No. 192446102

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**Item 1.**

- (a) **Name of Issuer**  
Cognizant Technology Solutions Corporation
- (b) **Address of Issuer's Principal Executive Offices:**  
500 Glenpointe Centre West  
Teaneck, New Jersey 07666

**Item 2.**

- (a) **Name of Person Filing**
  - (b) **Address of Principal Business Office**
  - (c) **Citizenship**  
Citadel Limited Partnership  
225 W. Washington  
9<sup>th</sup> Floor  
Chicago, Illinois 60606  
Illinois limited partnership
- GLB Partners, L.P.  
225 W. Washington  
9<sup>th</sup> Floor  
Chicago, Illinois 60606  
Delaware limited partnership
- Citadel Investment Group, L.L.C.  
225 W. Washington  
9<sup>th</sup> Floor  
Chicago, Illinois 60606  
Delaware limited liability company
- Kenneth Griffin  
225 W. Washington  
9<sup>th</sup> Floor  
Chicago, Illinois 60606  
U.S. Citizen
- Citadel Wellington Partners L.P.  
c/o Citadel Investment Group, L.L.C.  
225 W. Washington  
9<sup>th</sup> Floor  
Chicago, Illinois 60606  
Illinois limited partnership





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Citadel Kensington Global Strategies Fund Ltd.  
c/o Citadel Investment Group, L.L.C.  
225 W. Washington  
9<sup>th</sup> Floor  
Chicago, Illinois 60606  
Bermuda company

Citadel Antaeus International Investments Ltd.  
c/o Citadel Investment Group, L.L.C.  
225 W. Washington  
9<sup>th</sup> Floor  
Chicago, Illinois 60606  
Cayman Islands company

Citadel Equity Fund Ltd.  
c/o Citadel Investment Group, L.L.C.  
225 W. Washington  
9<sup>th</sup> Floor  
Chicago, Illinois 60606  
Cayman Islands company

Hera International Investments Ltd.  
c/o Citadel Investment Group, L.L.C.  
225 W. Washington  
9<sup>th</sup> Floor  
Chicago, Illinois 60606  
Cayman Islands company

(d) **Title of Class of Securities:**  
Class A Common Stock, par value \$0.01 per share

(e) **CUSIP Number:**  
192446102

**Item 3. If this statement is filed pursuant to Rules 13d-1(b), or 13d-2(b) or (c), check whether the person filing is a:**

- |     |                          |   |
|-----|--------------------------|---|
| (a) | <input type="checkbox"/> | Broker or dealer registered under Section 15 of the Exchange Act;     |
| (b) | <input type="checkbox"/> | Bank as defined in Section 3(a)(6) of the Exchange Act;               |
| (c) | <input type="checkbox"/> | Insurance company as defined in Section 3(a)(19) of the Exchange Act; |



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- |     |     |   |
|-----|-----|---|
| (d) | [ ] | Investment company registered under Section 8 of the Investment Company Act;  |
| (e) | [ ] | An investment adviser in accordance with Rule 13d-1(b)(1)(ii)(E);   |
| (f) | [ ] | An employee benefit plan or endowment fund in accordance with Rule 13d-1(b)(1)(ii)(F);  |
| (g) | [ ] | A parent holding company or control person in accordance with Rule 13d-1(b)(1)(ii)(G);  |
| (h) | [ ] | A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act;  |
| (i) | [ ] | A church plan that is excluded from the definition of an investment company under Section 3(c)(14) of the Investment Company Act; |
| (j) | [ ] | Group, in accordance with Rule 13d-1(b)(1)(ii)(J).  |

If this statement is filed pursuant to Rule 13d-1(c), check this box. [X]

**Item 4. Ownership:**

**CITADEL LIMITED PARTNERSHIP  
 GLB PARTNERS, L.P.  
 CITADEL INVESTMENT GROUP, L.L.C.  
 KENNETH GRIFFIN  
 CITADEL WELLINGTON PARTNERS L.P.  
 CITADEL KENSINGTON GLOBAL STRATEGIES FUND LTD.  
 CITADEL ANTAEUS INTERNATIONAL INVESTMENTS LTD.  
 CITADEL EQUITY FUND LTD.  
 HERA INTERNATIONAL INVESTMENTS LTD.**

(a)	<b>Amount beneficially owned:</b>
	0
(b)	<b>Percent of class:</b>
	0.0% as of the date of this filing.(1)

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(1) The obligation to file this Schedule 13G arose on February 12, 2003. The Reporting Persons have subsequently reduced their beneficial ownership to the currently reported percentage. At no time since February 12, 2003 have the Reporting Persons been the beneficial owners of greater than 10% of the Company's outstanding Common Stock as determined in accordance with Rule 13d of the Securities Exchange Act of 1934.

- (c) **Number of shares as to which such person has:**
- (i) **Sole power to vote or to direct the vote:**  
0
  - (ii) **Shared power to vote or to direct the vote:**  
See item (a) above.
  - (iii) **Sole power to dispose or to direct the disposition of:**  
0
  - (iv) **Shared power to dispose or to direct the disposition of:**  
See item (a) above.

**Item 5. Ownership of Five Percent or Less of a Class:**

If this Statement is being filed to report the fact that as of the date hereof the Reporting Persons have ceased to be the beneficial owner of more than five percent of the class of securities, check the following .

**Item 6. Ownership of More than Five Percent on Behalf of Another Person:**

Not Applicable.

**Item 7. Identification and Classification of the Subsidiary which Acquired the Security Being Reported on by the Parent Holding Company:**

See Item 2 above.

**Item 8. Identification and Classification of Members of the Group:**

Not Applicable.

**Item 9. Notice of Dissolution of Group:**

Not Applicable.

**Item 10. Certification**

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

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After reasonable inquiry and to the best of its knowledge and belief, the undersigned certify that the information set forth in this statement is true, complete and correct.

Dated this 21st day of February, 2003

/s/ Kenneth Griffin  
Kenneth Griffin

**CITADEL LIMITED PARTNERSHIP**

By: GLB Partners, L.P.,  
its General Partner

By: Citadel Investment Group, L.L.C.,  
its General Partner

By: /s/ Kenneth Griffin  
Kenneth Griffin, President

**GLB PARTNERS, L.P.**

By: Citadel Investment Group, L.L.C.,  
its General Partner

By: /s/ Kenneth Griffin  
Kenneth Griffin, President

**CITADEL KENSINGTON GLOBAL STRATEGIES FUND LTD.**

By: Citadel Limited Partnership,  
its Portfolio Manager

By: GLB Partners, L.P.,  
its General Partner

**CITADEL INVESTMENT GROUP, L.L.C.**

By: /s/ Kenneth Griffin  
Kenneth Griffin, President

**CITADEL ANTAEUS INTERNATIONAL INVESMENTS LTD.**

By: Citadel Limited Partnership,  
its Portfolio Manager

By: GLB Partners, L.P.,  
its General Partner

By: Citadel Investment Group, L.L.C.,  
its General Partner

By: /s/ Kenneth Griffin  
Kenneth Griffin, President

**CITADEL EQUITY FUND LTD.**

By: Citadel Limited Partnership,  
its Portfolio Manager

By: GLB Partners, L.P.,  
its General Partner

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By: Citadel Investment Group, L.L.C.,  
its General Partner

By: Citadel Investment Group, L.L.C.,  
its General Partner

By: /s/ Kenneth Griffin  
Kenneth Griffin, President

By: /s/ Kenneth Griffin  
Kenneth Griffin, President

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**HERA INTERNATIONAL INVESTMENTS  
LTD.**

By: Citadel Limited Partnership,  
its Portfolio Manager

By: GLB Partners, L.P.,  
its General Partner

By: Citadel Investment Group, L.L.C.,  
its General Partner

By: /s/ Kenneth Griffin  
Kenneth Griffin, President

**CITADEL WELLINGTON PARTNERS  
L.P.**

By: Citadel Limited Partnership,  
its General Partner

By: GLB Partners, L.P.,  
its General Partner

By: Citadel Investment Group, L.L.C.,  
its General Partner

By: /s/ Kenneth Griffin  
Kenneth Griffin, President