

CALIX, INC
Form 3
August 14, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â Bradaran Mehdi | | (Month/Day/Year) | CALIX, INC [(CALX)] | |
| (Last) | (First) | (Middle) | 08/12/2014 | |
| C/O CALIX, INC.,Â 1035 N. MCDOWELL BLVD. | | | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street) | | | (Check all applicable) | |
| PETALUMA,Â CAÂ 94954 | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| (City) | (State) | (Zip) | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| | | | (give title below) (specify below) | <input type="checkbox"/> Form filed by More than One Reporting Person |
| | | | SVP, Product Operations | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 50,222 ⁽¹⁾ | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
| | | Title | | | |

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| | Date Exercisable | Expiration Date | | Amount or Number of Shares | | or Indirect (I) (Instr. 5) | |
|-----------------------------|------------------|-----------------|--------------|----------------------------|----------|----------------------------|---|
| Stock Option (right to buy) | Â (2) | 02/23/2023 | Common Stock | 25,000 | \$ 10.71 | D | Â |
| Stock Option (right to buy) | Â (3) | 02/21/2023 | Common Stock | 20,000 | \$ 8.41 | D | Â |
| Stock Option (right to buy) | Â (4) | 07/23/2023 | Common Stock | 5,000 | \$ 11.98 | D | Â |
| Stock Option (right to buy) | Â (5) | 01/28/2024 | Common Stock | 12,000 | \$ 8.18 | D | Â |
| Stock Option (right to buy) | Â (6) | 07/22/2024 | Common Stock | 20,000 | \$ 8.43 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Bradaran Mehdi C/O CALIX, INC. 1035 N. MCDOWELL BLVD. PETALUMA, CA 94954 | Â | Â | Â SVP, Product Operations | Â |

Signatures

/s/ Mehdi Bradaran 08/13/2014

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 16,250 restricted stock units.
- (2) Non-Qualified Stock Options ("NQs"). The shares underlying this Option shall vest in accordance to the following schedule: 1/48th of the total number of shares subject to the option shall vest on the 23rd day of each month, with completion of vesting to occur on 2/23/2016.
- (3) Non-Qualified Stock Options ("NQs"). The shares underlying this Option shall vest in accordance to the following schedule: 1/48th of the total number of shares subject to the option shall vest on the 21st day of each month, with completion of vesting to occur on 2/21/2017.
- (4) Non-Qualified Stock Options ("NQs"). The shares underlying this Option shall vest in accordance to the following schedule: 1/48th of the total number of shares subject to the option shall vest on the 23rd day of each month, with completion of vesting to occur on 7/23/2017.
- (5) Non-Qualified Stock Options ("NQs"). The shares underlying this Option shall vest in accordance to the following schedule: 1/48th of the total number of shares subject to the option shall vest on the 28th day of each month, with completion of vesting to occur on 1/28/2018.
- (6) Non-Qualified Stock Options ("NQs"). The shares underlying this Option shall vest in accordance to the following schedule: 1/48th of the total number of shares subject to the option shall vest on the 22nd day of each month, with completion of vesting to occur on 7/22/2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.