

MERCANTILE BANK CORP  
Form 4  
June 03, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
SULLIVAN THOMAS R

(Last) (First) (Middle)

C/O MERCANTILE BANK CORPORATION, 310 LEONARD STREET

(Street)

GRAND RAPIDS, MI 49504

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
MERCANTILE BANK CORP [MBWM]

3. Date of Earliest Transaction (Month/Day/Year)  
06/01/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
CHAIRMAN OF THE BOARD

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	06/01/2014		A	30,330 A (1)	30,330	I	by IRA
Common Stock	06/01/2014		A	16,728 A (2)	16,728	D	
Common Stock	06/01/2014		A	53,814 A (3)	53,814	I	by 401K

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Employee Stock Option (Common Stock - right to buy)	\$ 24.4639	06/01/2014		A	1,736	06/01/2014 11/22/2014	Common Stock 1,736
Employee Stock Option (Common Stock - right to buy)	\$ 22.8662	06/01/2014		A	1,653	06/01/2014 11/28/2015	Common Stock 1,653
Employee Stock Option (Common Stock - right to buy)	\$ 22	06/01/2014		A	1,575	06/01/2014 11/27/2016	Common Stock 1,575
Employee Stock Option (Common Stock - right to buy)	\$ 16	06/01/2014		A	7,000	06/01/2014 12/03/2017	Common Stock 7,000
Employee Stock	\$ 7.8	06/01/2014		A	7,000	06/01/2014 11/24/2018	Common Stock 7,000

Option  
(Common  
Stock -  
right to  
buy)

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SULLIVAN THOMAS R C/O MERCANTILE BANK CORPORATION 310 LEONARD STREET GRAND RAPIDS, MI 49504			CHAIRMAN OF THE BOARD	

## Signatures

/s/ Bradley J. Wyatt,  
Attorney-in-Fact

06/03/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Received in exchange for 30,330 shares of Firstbank Corporation common stock in connection with the merger of Firstbank Corporation
- (1) into Mercantile Bank Corporation (the "Merger"). On the effective date of the Merger, the closing price of Firstbank's common stock was \$21.59 per share and the closing price of Mercantile's common stock was \$21.43 per share.
  - (2) Received in exchange for 16,728 shares of Firstbank Corporation common stock in connection with the Merger.
  - (3) Received in exchange for 53,814 shares of Firstbank Corporation common stock in connection with the Merger.
  - (4) Received in the Merger in exchange for an employee stock option to acquire 1,736 shares of Firstbank Corporation common stock for \$24.4639 per share.
  - (5) Received in the Merger in exchange for an employee stock option to acquire 1,653 shares of Firstbank Corporation common stock for \$22.8662 per share.
  - (6) Received in the Merger in exchange for an employee stock option to acquire 1,575 shares of Firstbank Corporation common stock for \$22.00 per share.
  - (7) Received in the Merger in exchange for an employee stock option to acquire 7,000 shares of Firstbank Corporation common stock for \$16.00 per share.
  - (8) Received in the Merger in exchange for an employee stock option to acquire 7,000 shares of Firstbank Corporation common stock for \$7.80 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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