

Stock Yards Bancorp, Inc.
Form 4
September 06, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
DAVIS NANCY B

(Last) (First) (Middle)

4700 CROFTON ROAD

(Street)

LOUISVILLE, KY 40207

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
Stock Yards Bancorp, Inc. [SYBT]

3. Date of Earliest Transaction
(Month/Day/Year)
09/01/2016

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer (give title below) ____ Other (specify below)

Executive V.P., Treasurer

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	06/08/2016		G	V 36 D 11	93,288 (2)	D	
Common Stock	06/21/2016		G	V 72 D 11	93,216	D	
Common Stock	09/01/2016		M	4,800 A \$ 15.58	98,016	D	
Common Stock	09/01/2016		M	4,500 A \$ 14.76	102,516	D	
Common Stock	09/01/2016		M	8,739 A \$ 14.02	111,255	D	

Edgar Filing: Stock Yards Bancorp, Inc. - Form 4

Common Stock 09/01/2016 F 11,736 D \$ 31.94 99,519 D

Common Stock 232 (2) I By 401k/ESOP-FBO Nancy Davis

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securities (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Appreciation Right	\$ 15.58	09/01/2016		M	4,800	08/19/2008	02/19/2018	Common Stock	4,800
Stock Appreciation Right	\$ 14.76	09/01/2016		M	4,500	02/17/2010	02/17/2019	Common Stock	4,500
Stock Appreciation Right	\$ 14.02	09/01/2016		M	8,739	02/16/2011	02/16/2020	Common Stock	8,739
Option (right to buy)	\$ 17.89					08/20/2007	02/20/2017	Common Stock	7,500
Stock Appreciation Right	\$ 15.84					03/15/2012	03/15/2021	Common Stock	5,220
Stock Appreciation Right	\$ 15.24					02/20/2013	02/20/2022	Common Stock	9,180
Stock Appreciation	\$ 19.37					02/18/2015	02/18/2024	Common Stock	7,720

Right

Stock

Appreciation \$ 22.96

Right

03/17/2016 03/17/2025

Common
Stock

5,95

Stock

Appreciation \$ 25.76

Right

03/15/2017 03/15/2026

Common
Stock

7,30

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DAVIS NANCY B 4700 CROFTON ROAD LOUISVILLE, KY 40207			Executive V.P., Treasurer	

Signatures

/s/ Nancy B. 09/06/2016
Davis

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Gifted shares to charitable organization.
- (2) Shares adjusted due to 3 for 2 stock split distributed on May 27, 2016.

Remarks:

All Share amounts on Table I and Table II as well as exercise pricing in Table II are updated to reflect 3 for 2 split distributed

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.