Oak Valley Bancorp Form 8-K January 19, 2018

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

**CURRENT REPORT** 

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934.

**Date of Report: January 16, 2018** (Date of earliest event reported)

### Oak Valley Bancorp

(Exact name of registrant as specified in its charter)

CA
(State or other jurisdiction of incorporation)

001-34142
(Commission File Number)

(IRS Employer Identification Number)

**125 N. Third Ave. Oakdale, CA 95361**(Address of principal executive offices) (Zip Code)

#### (209) 848-2265

(Registrant's telephone number, including area code)

### **Not Applicable**

(Former Name or Former Address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securit Act of 1933 or Rule 12b-2 of the Securities Exchange Act of 1934.	ies
Emerging growth company	
If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.	

### Item 2.02. Results of Operations and Financial Condition

On January 18, 2018, Oak Valley Bancorp issued a press release, a copy of which is attached as Exhibit 99.1 and incorporated herein by reference. The press release announced the Company's operating results for the quarter and year ended December 31, 2017.

The information in this Item 2.02 in this Form 8-K and the Exhibit 99.1 shall not be deemed "filed" for purposes of Section 18 of the Securities Exchange Act of 1934 or otherwise subject to the liabilities of that Section, nor shall it be deemed incorporated by reference in any filing under the Securities Act of 1933 or the Securities Exchange Act of 1934, except as shall be expressly set forth by specific reference in such filing.

### Item 7.01. Regulation FD Disclosure.

See "Item 2.02. Results of Operations and Financial Condition" which is incorporated by reference in this Item 7.01.

### Item 8.01 Other Events

On January 16, 2018, the Board of Directors of Oak Valley Bancorp declared a \$0.13 per share cash dividend for shareholders of record as of January 29, 2018, payable on February 9, 2018. A press release was issued on January 18, 2018 and is attached as Exhibit 99.2 and is incorporated into this report by reference.

#### Item 9.01. Financial Statements and Exhibits

(a) Financial statements:

None

(b) Pro forma financial information:

None

(c) Shell company transactions:

None

- (d) Exhibits
  - 99.1 Operating Results Press Release of Oak Valley Bancorp dated January 18, 2018
  - 99.2 Cash Dividend Press Release of Oak Valley Bancorp dated January 18, 2018

### **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: January 19, 2018

# OAK VALLEY BANCORP

By: /s/ Jeffrey A. Gall

Jeffrey A. Gall

Senior Vice President and Chief Financial Officer
(Principal Financial Officer and duly authorized signatory)

# **Exhibit Index**

# **Exhibit No. Description**

- 99.1 Operating Results Press Release of Oak Valley Bancorp dated January 18, 2018
- 99.2 <u>Cash Dividend Press Release of Oak Valley Bancorp date January 18, 2018</u>