

FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND

Form NSAR-B

January 29, 2014

PAGE 1  
000 B000000 11/30/2013  
000 C000000 1392994  
000 D000000 N  
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000 I000000 6.1  
000 J000000 A  
001 A000000 FT SPECIALTY FINANCE & FINANCIAL OPP FUND  
001 B000000 811-22039  
001 C000000 6307658000  
002 A000000 120 E. Liberty Drive, Suite 400  
002 B000000 Wheaton  
002 C000000 IL  
002 D010000 60187  
003 000000 N  
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008 A000001 FIRST TRUST ADVISORS L.P.  
008 B000001 A  
008 C000001 801-39950  
008 D010001 Wheaton  
008 D020001 IL  
008 D030001 60187  
008 A000002 CONFLUENCE INVESTMENT MANAGEMENT LLC  
008 B000002 S  
008 C000002 801-68723  
008 D010002 Saint Louis  
008 D020002 MO  
008 D030002 63119  
010 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.  
010 B000001 84-1761  
010 C010001 WILMINGTON  
010 C020001 DE  
010 C030001 19809  
011 A000001 A.G. EDWARDS & SONS INC.  
011 B000001 8-013580  
011 C010001 ST. LOUIS  
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011 C030001 63103  
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013 A000001 DELOITTE & TOUCHE LLP  
PAGE 2  
013 B010001 CHICAGO  
013 B020001 IL  
013 B030001 60606  
013 B040001 4301  
015 A000001 THE BANK OF NEW YORK MELLON  
015 B000001 C

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015 C010001 NEW YORK  
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020 A000001 STIFEL, NICOLAUS & CO. INC.  
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020 B000002 41-0449260  
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020 A000003 JPMORGAN CHASE & CO  
020 B000003 13-3224016  
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020 A000004 RBC CAPITAL MARKETS CORP.  
020 B000004 13-5033225  
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022 A000005 RAYMOND JAMES & ASSOCIATES INC.  
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SIGNATURE JAMES M. DYKAS

TITLE TREASURER