Ingersoll-Rand plc Form 3 October 21, 2015

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Ingersoll-Rand plc [IR] SULTANA KEITH A (Month/Day/Year) 10/12/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O INGERSOLL-RAND (Check all applicable) COMPANY, Â 800-E BEATY STREET 10% Owner Director \_X\_\_ Officer (Street) Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Senior Vice President \_X\_ Form filed by One Reporting Person DAVIDSON. NCÂ 28036 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â **Ordinary Shares** 7,024 D **Ordinary Shares** 2,995,4745 (1) Ι By Plan Trustee Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security
2. Date Exercisable and (Instr. 4)

Expiration Date (Month/Day/Year)

Expiration Date (Month/Day/Year)

Derivative Security

3. Title and Amount of 4.

Securities Underlying Conversion or Exercise Form of Ownership

#### Edgar Filing: Ingersoll-Rand plc - Form 3

	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Phantom Stock Units	(2)	(2)	Ordinary Shares	1,673.1782 (3)	\$ 0	I	By Plan Trustee
Stock Option (Right to Buy)	(4)	02/21/2023	Ordinary Shares	2,008	\$ 41.9062	D	Â
Stock Option (Right to Buy)	(5)	02/24/2024	Ordinary Shares	4,607	\$ 59.825	D	Â
Stock Option (Right to Buy)	(6)	02/02/2025	Ordinary Shares	4,977	\$ 67.055	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
SULTANA KEITH A C/O INGERSOLL-RAND COMPANY 800-E BEATY STREET DAVIDSON, NC 28036	Â	Â	Senior Vice President	Â	

## **Signatures**

/s/ Sara Walden Brown -Attorney-in-Fact

10/21/2015

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Latest available information provided by the trustee of the Ingersoll-Rand Employee Savings Plan.
- (2) These Phantom Stock Units are to be settled in cash equal to the fair market value of ordinary shares multiplied by the number of phantom stock units held upon the reporting person's termination of employment with the issuer.
- (3) Amount represents an approximate number of shares based on the total market value of the reporting person's Company stock fund units, as reported by the trustee of the Company supplemental savings plan.
- (4) The stock option vests in three (3) equal annual installments beginning on February 22, 2014.
- (5) The stock option vests in three (3) equal annual installments beginning on February 25, 2015.
- (6) The stock option vests in three (3) equal annual installments beginning on February 3, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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