Stark Juergen M. Form 4 April 17, 2019

# FORM 4

Check this box

if no longer

Section 16.

Form 4 or

obligations

may continue.

See Instruction

Form 5

subject to

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading Stark Juergen M. Symbol

Turtle Beach Corp [HEAR] (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year) C/O TURTLE BEACH 04/15/2019

(Zip)

CORPORATION, 11011 VIA FRONTERA, SUITE A/B

(State)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

OMB

Number:

Expires:

response...

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

\_X\_\_ Director 10% Owner Other (specify X\_ Officer (give title below)

Chief Executive Officer & Pres

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

SAN DIEGO, CA 92127

		1401	CI MON D	CIIVative	Secui	ines ricq	un eu, Disposeu o	i, or beneficial	iy Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securi	ties A	cquired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	on(A) or D	ispose	d of (D)	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code (Instr. 3, 4 and 5)			Beneficially	(D) or	Beneficial	
		(Month/Day/Year)	(Instr. 8)				Owned	Indirect (I)	Ownership
							Following	(Instr. 4)	(Instr. 4)
					(4)		Reported		
					(A)		Transaction(s)		
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	04/15/2019		F	2,067	D	\$ 11.99	152,908	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: Stark Juergen M. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date Under (Month/Day/Year) (Instr		Underlying S	Title and Amount of nderlying Securities nstr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(1)</u>					(2)	(2)	Common Stock	53,000	
Stock Option (Right to Buy)	\$ 7.72					(3)	09/03/2022	Common Stock	365,912	
Stock Option (Right to Buy)	\$ 7.24					<u>(4)</u>	05/29/2025	Common Stock	70,000	
Stock Option (Right to Buy)	\$ 4.64					<u>(5)</u>	04/04/2026	Common Stock	112,500	
Stock Option (Right to Buy)	\$ 2.04					<u>(6)</u>	11/13/2027	Common Stock	112,500	
Stock Option (Right to Buy)	\$ 3.12					<u>(7)</u>	04/11/2028	Common Stock	112,500	
Stock Option (Right to Buy)	\$ 12.1					<u>(8)</u>	04/01/2029	Common Stock	105,000	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
	X		Chief Executive Officer & Pres			

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Stark Juergen M. C/O TURTLE BEACH CORPORATION 11011 VIA FRONTERA, SUITE A/B SAN DIEGO, CA 92127

### **Signatures**

/s/ Juergen Stark 04/17/2019

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The securities are restricted stock units representing a contingent right to receive one share of Turtle Beach Corporation common stock or, cash with a value equal to the fair market value of the underlying common stock or, a combination thereof.
- (2) Restricted stock units will vest in accordance with the grant agreements. Vested shares will be delivered to the reporting person promptly following the vesting thereof.
- (3) All shares were exercisable as of the transaction date.
- (4) These shares are scheduled to vest in equal monthly installments until May 29, 2019.
- (5) These shares are scheduled to vest in equal monthly installments until April 4, 2020.
- (6) These shares are scheduled to vest in equal monthly installments until November 13, 2021.
- (7) These shares are scheduled to vest in equal monthly installments until April 11, 2022.
- (8) One-quarter of the underlying shares will vest on April 1, 2020, with the remainder vesting with respect to 1/48 of the underlying shares each month thereafter

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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