#### Edgar Filing: Kershaw Thomas - Form 4

| Kershaw Tho   | mas   |                              |   |                                      |                  |   |                  |                     |  |  |                             |  |
|---|---|------------------------------|---|--------------------------------------|------------------|---|------------------|---------------------|--|--|-----------------------------|--|
| Form 4  |   |                              |   |                                      |                  |   |                  |                     |  |  |                             |  |
| November 16   | , 2018  |                              |   |                                      |                  |   |                  |                     |  |  |                             |  |
|   | FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549  |                              |   |                                      |                  |   |                  | PROVAL<br>3235-0287 |  |  |                             |  |
| Check this<br>if no longe<br>subject to<br>Section 16<br>Form 4 or<br>Form 5<br>obligation:<br>may contin<br><i>See</i> Instruct<br>1(b). | Filed provide the state of the | pursuant to S<br>7(a) of the | Section 10  | <b>SECU</b><br>6(a) of t<br>ility Ho | the              | <b>TIES</b><br>Securiti<br>ng Com         | es Ex<br>pany    | chang<br>Act of     | NERSHIP OF<br>e Act of 1934,<br>1935 or Section  | Expires:<br>Estimated a<br>burden hou<br>response<br>n               |                             |  |
| (Print or Type Ro   | esponses)   |                              |   |                                      |                  |   |                  |                     |  |  |                             |  |
|   |   |                              | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>RUBICON PROJECT, INC. [RUBI] |                                      |                  |   |                  | -                   | 5. Relationship of Reporting Person(s) to<br>Issuer  |  |                             |  |
| (Last)  | (First)   | (Middle)                     | 3. Date of  | Earliest                             | Tran             | isaction                                  | -                | -                   | (Chec  | k all applicable   | ;)                          |  |
| C/O THE RU<br>INC., 12181<br>DRIVE, 4TH   |   |                              | (Month/D<br>11/15/20  | •                                    |                  |   |                  |                     | Director<br>X Officer (give<br>below)<br>Chief Te  |  | Owner<br>er (specify<br>cer |  |
|   | (Street)  |                              | 4. If Amer<br>Filed(Mon   |                                      |                  | Original                                  |                  |                     | 6. Individual or Jo<br>Applicable Line)<br>_X_ Form filed by 0   | -  | -                           |  |
| LOS ANGEI   | LES, CA 9009  | 94                           |   |                                      |                  |   |                  |                     | Form filed by M<br>Person  | Iore than One Re   | porting                     |  |
| (City)  | (State)   | (Zip)                        | Table   | e I - Non                            | -Dei             | rivative S                                | Securit          | ties Aca            | uired, Disposed of   | . or Beneficial  | lv Owned                    |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction I<br>(Month/Day/Ye   | ar) Executio<br>any          | med   | 3.                                   | ction<br>(<br>3) | 4. Securit<br>(A) or Dis<br>(Instr. 3, 4) | ies Ac<br>sposed | quired<br>of (D)    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of                |  |
| Common<br>Stock   | 11/15/2018  |                              |   | F <u>(1)</u>                         |                  | 11,648                                    | D                | \$<br>4.62          | 472,789  | D  |                             |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5.<br>onNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | Secur | int of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owno<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--|---|---------------------|--------------------|-------|--|---|--|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares |   |  |

## **Reporting Owners**

| <b>Reporting Owner Name / Address</b>   | Relationships |           |                                |       |  |  |  |  |
|---|---------------|-----------|--------------------------------|-------|--|--|--|--|
|   | Director      | 10% Owner | Officer                        | Other |  |  |  |  |
| Kershaw Thomas<br>C/O THE RUBICON PROJECT, INC.<br>12181 BLUFF CREEK DRIVE, 4TH FL<br>LOS ANGELES, CA 90094 |               |           | Chief<br>Technology<br>Officer |       |  |  |  |  |
| Signaturas  |               |           |                                |       |  |  |  |  |

### Signatures

| /s/ Jonathan Feldman,           |            |
|---------------------------------|------------|
| attorney-in-fact                | 11/16/2018 |
| **Signature of Reporting Person | Date       |

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v). \*
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares withheld by the issuer to cover the reporting person's tax liability incurred upon the vesting of the reporting person's restricted (1) stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.