

HUMANA INC
Form 4
August 30, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
MARGULIS HEIDI S

(Last) (First) (Middle)

HUMANA INC., 500 WEST MAIN STREET

(Street)

LOUISVILLE, KY 40202

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
HUMANA INC [HUM]

3. Date of Earliest Transaction (Month/Day/Year)
08/29/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Senior V.P. - Public Affairs

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Humana Common	08/29/2013		M		3,295 A \$ 69.475	15,211	D
Humana Common	08/29/2013		F		1,091 D \$ 93.0155	14,120	D
Humana Common	08/29/2013		S		1,484 D \$ 93.4464 (12)	12,636	D
Humana Common	08/30/2013		S		1,835 D \$ 93.2648 (13)	10,801	D
						6,861	I

Humana
Common

See
Footnote
(1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Options ⁽²⁾	\$ 69.475	08/29/2013		M	3,295	⁽³⁾ 02/21/2015	Humana Common	3,295
Options ⁽²⁾	\$ 72.84					⁽⁴⁾ 02/20/2020	Humana Common	4,680
Restricted Stock Units ⁽⁵⁾	⁽⁷⁾					⁽⁸⁾ ⁽⁸⁾	Humana Common	2,355
Restricted Stock Units ⁽⁵⁾	⁽⁷⁾					⁽⁹⁾ ⁽⁹⁾	Humana Common	2,820
Restricted Stock Units ⁽⁶⁾	⁽⁷⁾					⁽¹⁰⁾ ⁽¹⁰⁾	Humana Common	1,544
Phantom Stock Units	⁽¹¹⁾					⁽¹¹⁾ ⁽¹¹⁾	Humana Common	1

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

