Edgar Filing: STAGE STORES INC - Form 4

STAGE STORES INC Form 4 July 10, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D. | C., | 20549 |
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FORM 4

| | STATEMENT OF C | CHANGES IN BENEFIC | CIAL OWNERSHIP |
|----------------------------------|-----------------------------------------------------------------------------|-------------------------|--------------------------------------------------------|
| • | to Section 16(a) of the Securities of 1935 or Section 30(f) of the In | • | 4, Section 17(a) of the Public Utility Holding of 1940 |
| ${1(b).}$ Check the | is box if no longer subject to Se | ction 16. Form 4 or For | m 5 obligations may continue. See Instruction |
| (Print or Type l | Responses) | | |
| 1. Name and | Address of Reporting Person* | | |
| Scarborou | gh James | | |
| (Last) | (First) | (Middle) | _ |
| 10201 Mai (Street) | n St. | | _ |
| Houston | TX | 77025 | |
| (City) | (State) | (Zip) | - |
| 2. Issuer Nam | e and Ticker or Trading Symbol | Stage Stores, In | c. ("STGS") |
| 3. I.R.S. Identif (Voluntary) | fication Number of Reporting Pe | rson, if an Entity | |
| 4. Statement fo | r Month/Year | <u>July 2002</u> | |
| 5. If Amendme | nt, Date of Original (Month/Yea | r) | |
| X Director | of Reporting Person(s) to Issuer r X_Officer10% Owner low) (specify below) | | |
| Chairman, C | hief Executive Officer and Presi | dent | |
| 7. Individual or | Joint/Group Filing (Check App | licable Line) | |
| X Form fi | led by One Reporting Person | | |
| Form fi | led by More than One Reporting | Person | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| | - | ransaction Dat&ransactionth/day/yea(Jnstr. 8) | n Code | V |
|----------------------------------------|------------|-----------------------------------------------|--------|---|
| Common Stock, \$.01 par value | 07/09/2002 | P | | • |
| Common Stock, \$.01 par value | 07/09/2002 | P | | |
| Common Stock, \$.01 par value | 07/09/2002 | P | | |
| Common Stock, \$.01 par value | 07/09/2002 | Р | | |

Table I - Continued

| 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) Amount - (A) - Price or (D) | 5. Amount of Securities Beneficially Owned at End of Month (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|-------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------------|
| 5,200 A \$33.00 | 5,200 | D | |
| 3,900 A \$32.99 | 3,900 | D | |
| 800 A \$32.98 | 800 | D | _ |
| 100 A \$32.97 | 100 | D | _ |
| | Total: 10,000 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (mm/dd/yy) | 4. Transaction Code (Instr. 8 | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 6. Date Exercisable and Expiration Date (mm/dd/yy) |
|-----------------------------------------------------|--------------------------------------------------------|-----------------------------------------|----------------------------------------|----------------------------------------------------------------------------------------|----------------------------------------------------|
| | | | | | |
| | | | | | |
| | | | | | |

Table II - Continued

| 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned at End of Month (Instr. 4) | 10. Ownership Form of Derivative Securities Beneficially Owned at End of Month (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------|
| | | | | |
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| | | | | |

| Reminder: | Report on a separate line for each class of securities beneficially owned directly |
|---------------|------------------------------------------------------------------------------------|
| or indirectly | |

| Explanation of Responses: | |
|---------------------------|--|
| | |
| | |
| /s/ James Scarborough | |

July 10, 2002
**Signature of Reporting Person

Date

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** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.