

St Clair Joyce
Form 3
April 04, 2007

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

St Clair Joyce

(Last) (First) (Middle)

50 S. LA SALLE ST.

(Street)

CHICAGO, IL 60603

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

04/01/2007

3. Issuer Name and Ticker or Trading Symbol
NORTHERN TRUST CORP [NTRS]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

____ Director ____ 10% Owner
____ Officer ____ Other
(give title below) (specify below)
Executive Vice President

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
____X____ Form filed by One Reporting
Person
____ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

21,003

I

By Trust

Common Stock ⁽¹⁾

14,909

D

St

Common Stock

5,026 ⁽²⁾

I

401(k)

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security

4. Conversion
or Exercise

5. Ownership
Form of

6. Nature of Indirect
Beneficial
Ownership

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Employee Stock Option (right-to-buy)	09/15/2000	09/15/2008	Common Stock	2,890	\$ 34.5938	D	Â
Employee Stock Option (right-to-buy)	05/13/2001	05/13/2009	Common Stock	4,428	\$ 45.1563	D	Â
Employee Stock Option (right-to-buy)	Â <u>(3)</u>	05/15/2010	Common Stock	12,000	\$ 70.2813	D	Â
Employee Stock Option (right-to-buy)	Â <u>(4)</u>	05/21/2011	Common Stock	12,000	\$ 68.105	D	Â
Employee Stock Option (right-to-buy)	08/19/2002	02/19/2012	Common Stock	3,507	\$ 53.655	D	Â
Employee Stock Option (right-to-buy)	Â <u>(5)</u>	05/20/2012	Common Stock	15,000	\$ 52.3	D	Â
Employee Stock Option (right-to-buy)	08/18/2003	02/18/2013	Common Stock	5,090	\$ 32.615	D	Â
Employee Stock Option (right-to-buy)	Â <u>(6)</u>	02/18/2013	Common Stock	16,934	\$ 32.615	D	Â
Employee Stock Option (right-to-buy)	Â <u>(7)</u>	02/17/2014	Common Stock	20,000	\$ 49.12	D	Â
Employee Stock Option (right-to-buy)	03/31/2005	02/15/2015	Common Stock	20,000	\$ 44.465	D	Â
Employee Stock Option (right-to-buy)	Â <u>(8)</u>	02/21/2016	Common Stock	17,168	\$ 52.095	D	Â
Employee Stock Option (right-to-buy)	Â <u>(9)</u>	02/20/2017	Common Stock	11,838	\$ 63.36	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
St Clair Joyce 50 S. LA SALLE ST. CHICAGO, IL 60603	Â	Â	Â Executive Vice President	Â

Signatures

/s/Eileen C. Ratzka, Attorney-in-Fact, for Joyce St.
Clair

04/04/2007

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents stock units payable automatically on a 1-for-1 basis in shares of the Corporation's common stock.
- (2) as of 12/31/2006
- (3) This option became exercisable in three equal installments beginning 5/15/2001.
- (4) This option became exercisable in three equal installments beginning 5/21/2002.
- (5) This option became exercisable in three equal installments beginning 5/20/2003.
- (6) This option became exercisable in three equal installments beginning 2/18/2004.
- (7) This option became exercisable in four equal installments beginning 2/17/2005.
- (8) This option became exercisable in four equal installments beginning 2/21/2007.
- (9) This option becomes exercisable in four equal installments beginning 2/20/2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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