

PACCAR INC
Form 4
March 09, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
PIGOTT MARK C

(Last) (First) (Middle)

777 106TH AVENUE NE

(Street)

BELLEVUE, WA 98004

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
PACCAR INC [PCAR]

3. Date of Earliest Transaction
(Month/Day/Year)
03/07/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below) below)

Executive Chairman

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
COMMON STOCK					2,886,445	D	
COMMON STOCK					1,308,892	I ⁽¹⁾	EASCLIFFE COMPANY
COMMON STOCK					283,280	I	WIFE AND CHILDREN
COMMON STOCK (SIP) ⁽²⁾ ⁽³⁾	03/07/2017		J ⁽⁴⁾		287.912	A	\$ 68.27
					82,220.524	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Securities (Instr. 3 and 4)				
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number of Shares
STOCK OPTION ⁽⁵⁾	\$ 45.74							01/01/2011	01/30/2018	COMMON STOCK	9
STOCK OPTION ⁽⁵⁾	\$ 30.81							01/01/2012	02/06/2019	COMMON STOCK	19
STOCK OPTION ⁽⁵⁾	\$ 36.12							01/01/2013	02/02/2020	COMMON STOCK	13
STOCK OPTION ⁽⁵⁾	\$ 50.5							01/01/2014	02/03/2021	COMMON STOCK	9
STOCK OPTION ⁽⁵⁾	\$ 43.24							01/01/2015	02/02/2022	COMMON STOCK	13
STOCK OPTION ⁽⁵⁾	\$ 47.81							01/01/2016	02/06/2023	COMMON STOCK	10
STOCK OPTION ⁽⁵⁾	\$ 59.15							01/01/2017	02/07/2024	COMMON STOCK	8
COMMON STOCK (LTIP) ⁽⁶⁾	⁽⁶⁾	03/07/2017		J ⁽⁷⁾		152.252		⁽⁶⁾	⁽⁶⁾	COMMON STOCK	15
COMMON STOCK (DICP) ⁽⁸⁾	⁽⁸⁾	03/07/2017		J ⁽⁹⁾		379.451		⁽⁸⁾	⁽⁸⁾	COMMON STOCK	37
COMMON STOCK (DCP) ⁽¹⁰⁾	⁽¹⁰⁾	03/07/2017		J ⁽¹¹⁾		116.006		⁽¹⁰⁾	⁽¹⁰⁾	COMMON STOCK	11

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

PIGOTT MARK C
777 106TH AVENUE NE
BELLEVUE, WA 98004

X

Executive Chairman

Signatures

Mark C. Pigott

03/09/2017

Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held by a corporation in which Reporting Person is a shareholder. Holding is reported voluntarily as Reporting Person is not a controlling shareholder and has no voting or investment power with respect to the Issuer's securities.
- (2) Shares held in PACCAR Savings Investment Plan (SIP).
- (3) Balance includes shares awarded under SIP (Company match) since date of last report in a transaction that was exempt under both Rule 16b-3(d) and Rule 16b-3(c).
- (4) March 7, 2017 dividend on PACCAR Savings Investment Plan (SIP) shares reinvested pursuant to SIP.
- (5) Option to buy awarded under PACCAR Long Term Incentive Plan (LTIP).
- (6) Share units held in deferred phantom stock account under LTIP convertible to common stock on a one for one basis upon satisfaction of all applicable conditions.
- (7) Dividend on share units held in deferred phantom stock account under LTIP reinvested pursuant to LTIP.
- (8) Share units held in deferred phantom stock account under PACCAR Deferred Incentive Compensation Plan (DICP) convertible to common stock on a one for one basis upon satisfaction of all applicable conditions.
- (9) Dividend on share units held in deferred phantom stock account under DICP reinvested pursuant to DICP.
- (10) Share units held in deferred phantom stock account under PACCAR Deferred Compensation Plan (DCP) convertible to common stock on a one for one basis upon satisfaction of all applicable conditions
- (11) Dividend on share units held in deferred phantom stock account under DCP reinvested pursuant to DCP.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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