BROADPOINT SECURITIES GROUP, INC.

Form 8-K March 05, 2008

### **UNITED STATES**

### SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

### FORM 8-K

### **CURRENT REPORT**

# PURSUANT TO SECTION 13 or 15 (d) OF THE SECURITES EXCHANGE ACT of 1934

| March 5, 2008                                                                      | . 1                      |                                      |
|------------------------------------------------------------------------------------|--------------------------|--------------------------------------|
| (Date of Report – date of earliest event report                                    | rted)                    |                                      |
| Broadpoint Securities Group, Inc                                                   |                          |                                      |
| (Exact name of registrant as specified in its of                                   |                          |                                      |
| NewYork                                                                            | 0-14140                  | 22-2655804                           |
| New York(State of Other Jurisdiction of Incorporation) No.)                        | (Commission File Number) | (IRS Employer Identification         |
| One Penn Plaza, New York , New York                                                | 10119                    |                                      |
| (Address of Principal Executive Offices)<br>(Zip Code)                             |                          |                                      |
| ( 2<br>273-7100                                                                    | 1                        | 2                                    |
| (Registrant's telephone number, including a                                        | rea code)                |                                      |
| (Former Name or Former Address, if Chang                                           | ed Since Last Report)    |                                      |
| Check the appropriate box below if the Forn                                        | •                        | sly satisfy the filing obligation of |
| registrant under any of the following provisi                                      | ons:                     |                                      |
| Written communications pursuant to Rule Soliciting material pursuant to Rule 14a-1 |                          |                                      |

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Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b)) Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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# Item 2.02 Results of Operations and Financial Condition

On March 5, 2008, Broadpoint Securities Group, Inc. (the "Company") issued a press release announcing the Company's results for the period ended December 31, 2007. A copy of the press release is furnished with this Form 8-K as exhibit 99.1.

Item 9.01 Financial Statements Pro Forma Financial Information and Exhibits.

(c) Exhibits.

Exhibit 99.1 – Press Release of Broadpoint Securities Group, Inc. dated March 5, 2008.

### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Broadpoint Securities Group, Inc.

Dated: 03/05/2008 /s/ Brian Coad

Brian Coad Chief Financial Officer