Edgar Filing: Engebretsen James R - Form 4

Engebretsen J Form 4	ames R											
August 22, 20	17											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								MICCION	OMB APPROVAL			
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							AMISSION	OMB Number:	3235-0287			
Check this			(u 51		D.C. 200				Expires:	January 31,		
if no longe subject to	sr STAT	EMENT O	F CHANC	GES IN 1	BENEFI	CIA	L OWNE	RSHIP OF	Estimated average			
Section 16. SEC					CURITIES				burden hours per			
Form 4 or Form 5	E1.1		Casting 10	(-) - f(4)	. C	F .	- 1 A	-4 -6 1024	response	0.5		
obligations	-	pursuant to $17(a)$ of the					-	35 or Section				
may contin See Instruc	iue.) of the Inv	•	•	• •		55 Of Section				
1(b).		()			- r ,							
	,											
(Print or Type Re	esponses)											
1. Name and Ad	dress of Report	ting Person [*] _	2. Issuer]	Name and	Ticker or T	Fradin	σ 5. I	5. Relationship of Reporting Person(s) to				
Engebretsen James R Symbol				I and				suer (Check all applicable)				
				AL AGRICULTURAL								
			MORTG	AGE CO	ORP [AG	M]		(Check	an applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction			_>	_X_ Director10% Owner					
				h/Day/Year) — bel			Officer (give title Other (specify below)					
STREET NW			08/18/20	1/								
(Street) 4. If Ame			4 If Amen	4. If Amendment, Date Original 6. I				Individual or Joint/Group Filing(Check				
								plicable Line)				
X Form filed by						One Reporting Person More than One Reporting						
WASHINGT	ON, DC 200)06						son	re than One Rep	orung		
(City)	(State)	(Zip)	Table	I - Non-D	erivative S	ecuri	ties Acquire	d, Disposed of,	or Beneficially	y Owned		
1.Title of	2. Transaction	n Date 2A. De	eemed	3.	4. Securi	ties A	cquired (A)	5. Amount of	6.	7. Nature of		
Security	(Month/Day/Year) Execution Date			• • • •				Securities	Ownership	Indirect		
(Instr. 3)		any (Month	Code (Instr. 3, 4 and 5) /Day/Year) (Instr. 8)				5)	Beneficially Owned		Beneficial Ownership		
			•					Following	or Indirect	(Instr. 4)		
						(A)		Reported Transaction(s)	(I) (Instr. 4)			
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)	· · · ·			
Class C				coue v	mount	(1)						
Non-Voting	08/18/2017	1		S	400 (1)	D	\$ 65 6365	9,628 <u>(3)</u>	D			
Common Stock	00/10/2017			5	400 (-)	D	$\frac{(2)}{2}$),020 <u>(*)</u>	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Inst
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address					
	Director	10% Owner	Officer	Other	
Engebretsen James R C/O FARMER MAC 1999 K STREET NW, 4TH FLOOR WASHINGTON, DC 20006	Х				
Signatures					
Anjali Desai, as attorney-in-fact for Ja Engebretsen	ames R.	08/22/2017			
<u>**</u> Signature of Reporting Person	Date				

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction effected during an open trading window for key employees and directors of the Federal Agricultural Mortgage Corporation ("Farmer Mac").

(2) The price reported in Column 4 is a weighted average price. These shares of Class C Non-Voting Common Stock were sold in multiple transactions at prices ranging from \$65.6237 to \$65.675, inclusive. The Reporting Person undertakes to provide to Farmer Mac, any security holder of Farmer Mac, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to Form 4.

(3) Includes 869 restricted shares of Farmer Mac's Class C Non-Voting Common Stock that will vest on March 31, 2018 if the Reporting Person remains a director of Farmer Mac on that date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.