

BANK OF AMERICA CORP /DE/
Form 3
August 03, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|---|---|--|--|---|--|
| <p>1. Name and Address of Reporting Person *</p> <p>Â Donofrio Paul M</p> <p>(Last) (First) (Middle)</p> <p>100 NORTH TRYON STREET</p> <p>(Street)</p> <p>CHARLOTTE,Â NCÂ 28255</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>08/01/2015</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>BANK OF AMERICA CORP /DE/ [BAC]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner</p> <p><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other</p> <p>(give title below) (specify below)</p> <p>Chief Financial Officer</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person</p> <p><input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|---|---|--|--|---|--|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 363,348 | D | Â |
| Common Stock | 300 | I | IRA |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|---|---|--|
|---|---|--|---|---|--|

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|-----------------------------|------------------|-----------------|--------------|----------------------------|---------------|---------------------------------------|---|
| 2012 Restricted Stock Units | Â <u>(1)</u> | 08/15/2015 | Common Stock | 125,787 | \$ <u>(2)</u> | D | Â |
| 2013 Restricted Stock Units | Â <u>(3)</u> | 02/15/2016 | Common Stock | 166,248 | \$ <u>(2)</u> | D | Â |
| 2014 Restricted Stock Units | Â <u>(4)</u> | 02/14/2017 | Common Stock | 224,360 | \$ <u>(2)</u> | D | Â |
| 2015 Restricted Stock Units | Â <u>(5)</u> | 02/13/2018 | Common Stock | 358,797 | \$ <u>(2)</u> | D | Â |
| Option, Right to Buy | Â <u>(6)</u> | 02/15/2018 | Common Stock | 26,250 | \$ 42.7 | D | Â |
| Option, Right to Buy | Â <u>(6)</u> | 02/15/2016 | Common Stock | 22,680 | \$ 44.36 | D | Â |
| Option, Right to Buy | Â <u>(6)</u> | 02/15/2017 | Common Stock | 65,100 | \$ 53.85 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Donofrio Paul M 100 NORTH TRYON STREET CHARLOTTE, NC 28255 | Â | Â | Â Chief Financial Officer | Â |

Signatures

Paul M. Donofrio / Amanda Daniel POA
08/03/2015

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On February 15, 2012, the reporting person was granted units, vesting in three equal annual installments commencing with the first anniversary of the grant date. Vested units are payable in cash six months after each vesting date.
 - (2) Each unit is the economic equivalent of one share of Bank of America Corporation common stock.
 - (3) On February 15, 2013, the reporting person was granted units, vesting and payable in cash in three equal annual installments commencing with the first anniversary of the grant date.
 - (4) On February 14, 2014, the reporting person was granted units, vesting and payable in cash in three equal annual installments commencing with the first anniversary of the grant date.
 - (5) On February 13, 2015, the reporting person was granted units, vesting and payable in cash in three equal annual installments commencing with the first anniversary of the grant date.
 - (6) These options, which are exempt under Rule 16b-3(d), are fully exercisable.

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