

Wilks Dan H.  
Form 4  
December 22, 2017

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Wilks Brothers, LLC

2. Issuer Name and Ticker or Trading Symbol  
Approach Resources Inc [AREX]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
17010 IH 20  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/19/2017

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  
\_\_X\_\_ 10% Owner  
\_\_X\_\_ Other (specify below)

See Remarks

CISCO, TX 76437

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_\_\_\_ Form filed by One Reporting Person  
\_\_X\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	12/19/2017		P		200,000 (1)	A	\$ 2.71 6,690,300 D (3) (4)
Common Stock	12/20/2017		P		150,000 (1)	A	\$ 2.721 36,799,413 D (2) (3)
Common Stock	12/20/2017		P		250,000 (1)	A	\$ 2.95 6,940,300 D (3) (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned (Instr. 5)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Wilks Brothers, LLC 17010 IH 20 CISCO, TX 76437		X		See Remarks
Wilks Dan H. 17010 IH 20 CISCO, TX 76437		X		
Wilks Staci 17010 IH 20 CISCO, TX 76437		X		
Wilks Farris 17010 IH 20 CISCO, TX 76437		X		
SDW Investments, LLC 17010 IH 20 CISCO, TX 76437		X		

## Signatures

Dan H. Wilks, By: /s/ Morgan D Neff, Morgan D Neff, as Attorney-in-Fact 12/21/2017  
 \_\_Signature of Reporting Person Date

Staci Wilks, By: /s/ Morgan D Neff, Morgan D Neff, as Attorney-in-Fact 12/21/2017  
 \_\_Signature of Reporting Person Date

Farris Wilks, By: /s/ Morgan D Neff, Morgan D Neff, as Attorney-in-Fact 12/21/2017

## Edgar Filing: Wilks Dan H. - Form 4

<u>**Signature of Reporting Person</u>	Date
WILKS BROTHERS, LLC, By: /s/ Morgan D Neff, Name: Morgan D Neff, Title: Attorney-in-Fact	12/21/2017

<u>**Signature of Reporting Person</u>	Date
SDW Investments, LLC, By: /s/ Morgan D Neff, Name: Morgan D Neff, Title: Attorney-in-Fact	12/21/2017

<u>**Signature of Reporting Person</u>	Date
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### Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The purchases reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by Wilks Brothers, LLC on May 5, 2017.
  - (2) These shares are owned directly by Wilks Brothers, LLC.
  - (3) See Remarks.
  - (4) These shares are owned directly by SDW Investments, LLC. .

### Remarks:

Wilks Brothers, LLC is a 10% owner of the Common Stock. Wilks Brothers, LLC may be deemed to be a member of a group

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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