

BAILAR GREGOR  
Form 4  
August 03, 2007

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
BAILAR GREGOR

2. Issuer Name and Ticker or Trading Symbol  
CAPITAL ONE FINANCIAL CORP [COF]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

(Last) (First) (Middle)  
1680 CAPITAL ONE DRIVE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
08/01/2007

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Chief Information Officer

MCLEAN, VA 22102  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D) Code V Amount Price			
Common Stock	08/01/2007		M	24,490 A \$ 49.07	56,277	D	
Common Stock	08/01/2007		M	11,705 A \$ 34.13	67,982	D	
Common Stock	08/01/2007		S	100 D \$ 68.5	67,882	D	
Common Stock	08/01/2007		S	100 D \$ 68.51	67,782	D	
Common Stock	08/01/2007		S	100 D \$ 68.54	67,682	D	

Edgar Filing: BAILAR GREGOR - Form 4

Common Stock	08/01/2007	S	1,000	D	\$ 68.55	66,682	D
Common Stock	08/01/2007	S	1,500	D	\$ 68.6	65,182	D
Common Stock	08/01/2007	S	200	D	\$ 68.61	64,982	D
Common Stock	08/01/2007	S	1,000	D	\$ 68.65	63,982	D
Common Stock	08/01/2007	S	1,000	D	\$ 68.7	62,982	D
Common Stock	08/01/2007	S	9,200	D	\$ 69.15	53,782	D
Common Stock	08/01/2007	S	2,100	D	\$ 69.16	51,682	D
Common Stock	08/01/2007	S	200	D	\$ 69.17	51,482	D
Common Stock	08/01/2007	S	4,195	D	\$ 69.18	47,287	D
Common Stock	08/01/2007	S	100	D	\$ 69.19	47,187	D
Common Stock	08/01/2007	S	11,400	D	\$ 69.2	35,787	D
Common Stock	08/01/2007	S	4,000	D	\$ 69.21	31,787	D
Common Stock	08/02/2007	M	36,195	A	\$ 49.07	67,982	D
Common Stock	08/02/2007	S	16,195	D	\$ 71.3	51,787	D
Common Stock	08/02/2007	S	5,000	D	\$ 71.31	46,787	D
Common Stock	08/02/2007	S	5,000	D	\$ 71.32	41,787	D
Common Stock	08/02/2007	S	10,000	D	\$ 71.35	31,787	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

## Edgar Filing: BAILAR GREGOR - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 49.07	08/01/2007		M	24,490	04/22/2004 12/12/2011		Common Stock	24,490
Employee Stock Option (Right to Buy)	\$ 34.13	08/01/2007		M	11,705	<u>(1)</u> 12/05/2012		Common Stock	11,705
Employee Stock Option (Right to Buy)	\$ 49.07	08/02/2007		M	36,195	04/22/2004 12/12/2011		Common Stock	36,195

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director    10% Owner    Officer    Other

BAILAR GREGOR  
1680 CAPITAL ONE DRIVE  
MCLEAN, VA 22102

Chief Information Officer

## Signatures

By: Polly A. Nyquist (POA on File) for

08/03/2007

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This option became exercisable in 33 1/3% increments beginning on December 6, 2003 and annually from that date thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.