

Edgar Filing: Flaherty & Crumrine PREFERRED INCOME OPPORTUNITY FUND INC - Form NSAR-B

Flaherty & Crumrine PREFERRED INCOME OPPORTUNITY FUND INC  
Form NSAR-B  
January 29, 2013

PAGE 1  
000 B000000 11/30/2012  
000 C000000 882071  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 FLAHERTY&CRUMRINE PFD INCOME OPPTY FUND INC  
001 B000000 811-06495  
001 C000000 6267957300  
002 A000000 301 E. COLORADO BOULEVARD, SUITE 720  
002 B000000 PASADENA  
002 C000000 CA  
002 D010000 91101  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 FLAHERTY & CRUMRINE INCORPORATED  
008 B000001 A  
008 C000001 801-19384  
008 D010001 PASADENA  
008 D020001 CA  
008 D030001 91101  
010 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.  
010 B000001 84-1761  
010 C010001 WESTBOROUGH  
010 C020001 MA  
010 C030001 01581  
012 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.  
012 B000001 84-1761  
012 C010001 WESTBOROUGH  
012 C020001 MA  
012 C030001 01581  
013 A000001 KPMG LLP  
013 B010001 BOSTON  
013 B020001 MA  
013 B030001 02110  
015 A000001 THE BANK OF NEW YORK MELLON CORP.  
015 B000001 C  
015 C010001 NEW YORK  
015 C020001 NY  
015 C030001 10286  
018 000000 Y  
019 A000000 N  
019 B000000 0  
PAGE 2  
020 A000001 MCADAMS WRIGHT RAGEN  
020 B000001 91-1920002  
020 C000001 1  
021 000000 1  
022 A000001 WELLS FARGO SECURITIES  
022 B000001 56-2326000

Edgar Filing: Flaherty & Crumrine PREFERRED INCOME OPPORTUNITY FUND INC - Form NSAR-B

022 C000001 13701  
022 D000001 13791  
022 A000002 GLEACHER & COMPANY SECURITIES  
022 B000002 14-1391446  
022 C000002 9078  
022 D000002 16936  
022 A000003 BANK OF AMERICA SECURITIES, LLC  
022 B000003 13-4078651  
022 C000003 10682  
022 D000003 3652  
022 A000004 MORGAN STANLEY & CO. INC  
022 B000004 13-2655998  
022 C000004 7293  
022 D000004 1839  
022 A000005 UBS SECURITIES LLC  
022 B000005 13-3873456  
022 C000005 4512  
022 D000005 2927  
022 A000006 DEUTSCHE BANK  
022 B000006 13-6124068  
022 C000006 5050  
022 D000006 1688  
022 A000007 CABRERA CAPITAL MARKETS  
022 B000007 01-0892965  
022 C000007 2602  
022 D000007 2300  
022 A000008 JANNEY MONTGOMERY SCOTT, LLC  
022 B000008 23-0731260  
022 C000008 619  
022 D000008 3813  
022 A000009 BARCLAYS CAPITAL  
022 B000009 13-4942190  
022 C000009 4022  
022 D000009 395  
022 A000010 SANDLER O'NEILL & PARTNERS  
022 B000010 13-3906061  
022 C000010 2230  
022 D000010 2038  
023 C000000 77323  
023 D000000 61738  
024 000000 Y  
025 A000001 WELLS FARGO SECURITIES  
025 B000001 56-2326000  
025 C000001 E  
025 D000001 5217  
PAGE 3  
025 A000002 MORGAN STANLEY  
025 B000002 13-2655998  
025 C000002 E  
025 D000002 868  
025 A000003 BARCLAYS BANK PLC  
025 B000003 13-4942190  
025 C000003 E  
025 D000003 6354  
025 A000004 BANK OF AMERICA SECURITIES, LLC  
025 B000004 13-4078651  
025 C000004 E  
025 D000004 3781  
025 A000005 MORGAN STANLEY  
025 B000005 13-2655998  
025 C000005 D  
025 D000005 3116

Edgar Filing: Flaherty & Crumrine PREFERRED INCOME OPPORTUNITY FUND INC - Form NSAR-B

|     |         |      |   |
|-----|---------|------|---|
| 026 | A000000 | N    |   |
| 026 | B000000 | N    |   |
| 026 | C000000 | N    |   |
| 026 | D000000 | Y    |   |
| 026 | E000000 | N    |   |
| 026 | F000000 | N    |   |
| 026 | G010000 | N    |   |
| 026 | G020000 | N    |   |
| 026 | H000000 | N    |   |
| 027 | 000000  | N    |   |
| 028 | A010000 |      | 0 |
| 028 | A020000 |      | 0 |
| 028 | A030000 |      | 0 |
| 028 | A040000 |      | 0 |
| 028 | B010000 |      | 0 |
| 028 | B020000 |      | 0 |
| 028 | B030000 |      | 0 |
| 028 | B040000 |      | 0 |
| 028 | C010000 |      | 0 |
| 028 | C020000 |      | 0 |
| 028 | C030000 |      | 0 |
| 028 | C040000 |      | 0 |
| 028 | D010000 |      | 0 |
| 028 | D020000 |      | 0 |
| 028 | D030000 |      | 0 |
| 028 | D040000 |      | 0 |
| 028 | E010000 |      | 0 |
| 028 | E020000 |      | 0 |
| 028 | E030000 |      | 0 |
| 028 | E040000 |      | 0 |
| 028 | F010000 |      | 0 |
| 028 | F020000 |      | 0 |
| 028 | F030000 |      | 0 |
| 028 | F040000 |      | 0 |
| 028 | G010000 |      | 0 |
|     | PAGE    | 4    |   |
| 028 | G020000 |      | 0 |
| 028 | G030000 |      | 0 |
| 028 | G040000 |      | 0 |
| 028 | H000000 |      | 0 |
| 030 | A000000 |      | 0 |
| 030 | B000000 | 0.00 |   |
| 030 | C000000 | 0.00 |   |
| 031 | A000000 |      | 0 |
| 031 | B000000 |      | 0 |
| 032 | 000000  |      | 0 |
| 033 | 000000  |      | 0 |
| 035 | 000000  |      | 0 |
| 036 | B000000 |      | 0 |
| 038 | 000000  |      | 0 |
| 042 | A000000 | 0    |   |
| 042 | B000000 | 0    |   |
| 042 | C000000 | 0    |   |
| 042 | D000000 | 0    |   |
| 042 | E000000 | 0    |   |
| 042 | F000000 | 0    |   |
| 042 | G000000 | 0    |   |
| 042 | H000000 | 0    |   |
| 043 | 000000  |      | 0 |
| 044 | 000000  |      | 0 |
| 045 | 000000  | Y    |   |
| 046 | 000000  | N    |   |

Edgar Filing: Flaherty & Crumrine PREFERRED INCOME OPPORTUNITY FUND INC - Form NSAR-B

047 000000 Y  
 048 000000 0.000  
 048 A010000 100000  
 048 A020000 0.625  
 048 B010000 0  
 048 B020000 0.000  
 048 C010000 0  
 048 C020000 0.000  
 048 D010000 0  
 048 D020000 0.000  
 048 E010000 0  
 048 E020000 0.000  
 048 F010000 0  
 048 F020000 0.000  
 048 G010000 0  
 048 G020000 0.000  
 048 H010000 0  
 048 H020000 0.000  
 048 I010000 0  
 048 I020000 0.000  
 048 J010000 0  
 048 J020000 0.000  
 048 K010000 100000  
 048 K020000 0.500  
 049 000000 N  
     PAGE 5  
 050 000000 N  
 051 000000 N  
 052 000000 N  
 053 A000000 N  
 054 A000000 Y  
 054 B000000 Y  
 054 C000000 N  
 054 D000000 N  
 054 E000000 N  
 054 F000000 N  
 054 G000000 Y  
 054 H000000 Y  
 054 I000000 N  
 054 J000000 Y  
 054 K000000 N  
 054 L000000 N  
 054 M000000 Y  
 054 N000000 N  
 054 O000000 Y  
 055 A000000 N  
 055 B000000 Y  
 056 000000 Y  
 057 000000 N  
 058 A000000 N  
 059 000000 Y  
 060 A000000 Y  
 060 B000000 Y  
 061 000000 0  
 062 A000000 N  
 062 B000000 0.0  
 062 C000000 0.0  
 062 D000000 0.0  
 062 E000000 0.0  
 062 F000000 0.0  
 062 G000000 0.0  
 062 H000000 0.0

Edgar Filing: Flaherty & Crumrine PREFERRED INCOME OPPORTUNITY FUND INC - Form NSAR-B

062 I000000 0.0  
062 J000000 0.0  
062 K000000 0.0  
062 L000000 0.0  
062 M000000 0.0  
062 N000000 0.0  
062 O000000 0.0  
062 P000000 0.0  
062 Q000000 0.0  
062 R000000 0.0  
063 A000000 0  
063 B000000 0.0  
066 A000000 Y  
066 B000000 N  
066 C000000 N

PAGE 6

066 D000000 N  
066 E000000 N  
066 F000000 Y  
066 G000000 N  
067 000000 N  
068 A000000 N  
068 B000000 N  
069 000000 N  
070 A010000 Y  
070 A020000 N  
070 B010000 Y  
070 B020000 N  
070 C010000 Y  
070 C020000 N  
070 D010000 Y  
070 D020000 N  
070 E010000 Y  
070 E020000 N  
070 F010000 Y  
070 F020000 N  
070 G010000 Y  
070 G020000 N  
070 H010000 Y  
070 H020000 N  
070 I010000 N  
070 I020000 N  
070 J010000 Y  
070 J020000 Y  
070 K010000 Y  
070 K020000 Y  
070 L010000 Y  
070 L020000 Y  
070 M010000 Y  
070 M020000 N  
070 N010000 Y  
070 N020000 N  
070 O010000 Y  
070 O020000 Y  
070 P010000 N  
070 P020000 N  
070 Q010000 N  
070 Q020000 N  
070 R010000 Y  
070 R020000 N  
071 A000000 77441  
071 B000000 69121

Edgar Filing: Flaherty & Crumrine PREFERRED INCOME OPPORTUNITY FUND INC - Form NSAR-B

071 C000000 186769  
 071 D000000 37  
 072 A000000 12  
 072 B000000 8356  
 072 C000000 6562

PAGE 7

072 D000000 0  
 072 E000000 30  
 072 F000000 1077  
 072 G000000 196  
 072 H000000 0  
 072 I000000 53  
 072 J000000 27  
 072 K000000 0  
 072 L000000 76  
 072 M000000 70  
 072 N000000 0  
 072 O000000 0  
 072 P000000 788  
 072 Q000000 0  
 072 R000000 53  
 072 S000000 4  
 072 T000000 0  
 072 U000000 0  
 072 V000000 0  
 072 W000000 194  
 072 X000000 2538  
 072 Y000000 0  
 072 Z000000 12410  
 072AA000000 2076  
 072BB000000 0  
 072CC010000 18809  
 072CC020000 0  
 072DD010000 11554  
 072DD020000 0  
 072EE000000 0  
 073 A010000 0.9510  
 073 A020000 0.0000  
 073 B000000 0.0000  
 073 C000000 0.0000  
 074 A000000 153  
 074 B000000 0  
 074 C000000 0  
 074 D000000 21671  
 074 E000000 179720  
 074 F000000 279  
 074 G000000 0  
 074 H000000 0  
 074 I000000 1117  
 074 J000000 3490  
 074 K000000 0  
 074 L000000 2526  
 074 M000000 39  
 074 N000000 208995  
 074 O000000 3542  
 074 P000000 95  
 074 Q000000 68500

PAGE 8

074 R010000 0  
 074 R020000 0  
 074 R030000 0  
 074 R040000 268

Edgar Filing: Flaherty & Crumrine PREFERRED INCOME OPPORTUNITY FUND INC - Form NSAR-B

|     |         |                           |
|-----|---------|---------------------------|
| 074 | S000000 | 0                         |
| 074 | T000000 | 136590                    |
| 074 | U010000 | 12206                     |
| 074 | U020000 | 0                         |
| 074 | V010000 | 11.19                     |
| 074 | V020000 | 0.00                      |
| 074 | W000000 | 0.0000                    |
| 074 | X000000 | 185                       |
| 074 | Y000000 | 0                         |
| 075 | A000000 | 0                         |
| 075 | B000000 | 124133                    |
| 076 | 000000  | 11.58                     |
| 077 | A000000 | Y                         |
| 077 | B000000 | Y                         |
| 077 | C000000 | N                         |
| 077 | D000000 | N                         |
| 077 | E000000 | N                         |
| 077 | F000000 | N                         |
| 077 | G000000 | N                         |
| 077 | H000000 | N                         |
| 077 | I000000 | N                         |
| 077 | J000000 | N                         |
| 077 | K000000 | N                         |
| 077 | L000000 | N                         |
| 077 | M000000 | N                         |
| 077 | N000000 | N                         |
| 077 | O000000 | N                         |
| 077 | P000000 | N                         |
| 077 | Q010000 | N                         |
| 077 | Q020000 | N                         |
| 077 | Q030000 | N                         |
| 078 | 000000  | N                         |
| 080 | A000000 | Federal Insurance Company |
| 080 | B000000 | N/A                       |
| 080 | C000000 | 600                       |
| 081 | A000000 | N                         |
| 081 | B000000 | 0                         |
| 082 | A000000 | N                         |
| 082 | B000000 | 0                         |
| 083 | A000000 | N                         |
| 083 | B000000 | 0                         |
| 084 | A000000 | N                         |
| 084 | B000000 | 0                         |
| 085 | A000000 | Y                         |
| 085 | B000000 | N                         |
| 086 | A010000 | 113                       |
| 086 | A020000 | 1243                      |
|     | PAGE    | 9                         |
| 086 | B010000 | 0                         |
| 086 | B020000 | 0                         |
| 086 | C010000 | 0                         |
| 086 | C020000 | 0                         |
| 086 | D010000 | 0                         |
| 086 | D020000 | 0                         |
| 086 | E010000 | 0                         |
| 086 | E020000 | 0                         |
| 086 | F010000 | 0                         |
| 086 | F020000 | 0                         |
| 087 | A010000 | COMMON STOCK              |
| 087 | A020000 | 33848E106                 |
| 087 | A030000 | PFO                       |
| 088 | A000000 | N                         |

Edgar Filing: Flaherty & Crumrine PREFERRED INCOME OPPORTUNITY FUND INC - Form NSAR-B

088 B000000 N

088 C000000 N

088 D000000 N

SIGNATURE DONALD F CRUMRINE

TITLE CHIEF EXECUTIVE OFFICER