Edgar Filing: TriState Capital Holdings, Inc. - Form SC 13G/A

TriState Capital Holdings, Inc. Form SC 13G/A February 10, 2015

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934 (Amendment No. 1)

TriState Capital Holdings, Inc. (Name of Issuer)

Common Stock, par value \$0.01 per share (Title of Class of Securities)

89678F100 (CUSIP Number)

December 31, 2014

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

[] Rule 13d-1(b)

[] Rule 13d-1(c)

[X] Rule 13d-1(d)

*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No.: 89678F100		Page	Page 2 of 13		
1	Name of Reporting Person I.R.S. Identification Nos. of Above Persons (Entities Only)				
2	Financial Stocks Capital Partners V L.P. Check the Appropriate Box if a Member of a Group (a) [] (b) []				
3	SEC Use Only				
4	Citizenship or Place of Orga	nnization			
Number of Shares	Delaware 5	Sole Voting Power			
Beneficially Owned by Each Reporting Person with		0 Shared Voting Power			
	7	1,005,644 Sole Dispositive Power	r		
	8	0 Shared Dispositive Pov	ver		
9	Aggregate Amount Benefici	1,005,644 ally Owned by Each Rep	porting Person		
10	1,005,644 Check if the Aggregate Amount in Row (9) Excludes Certain Shares []				
11	Percent of Class Represented by Amount in Row 9				
12	3.5%(1) Type of Reporting Person				
	PN				

CUSIP No.: 89678F100		Page 3 of 13	
1	Name of Reporting Person I.R.S. Identification Nos. of Above Persons (Entities Only)		
2	Finstocks Capital Management V, LLC Check the Appropriate Box if a Member of a Group (a) [] (b) []		
3	SEC Use Only		
4	Citizenship or Place of Organization		
Number of Shares	Delaware 5	Sole Voting Power	
Beneficially Owned by Each	6	0 Shared Voting Power	
Reporting Person with	7	1,005,644 Sole Dispositive Power	
	8	0 Shared Dispositive Power	
9	Aggregate Amount Beneficia	1,005,644 ally Owned by Each Reporting Person	
10	1,005,644 Check if the Aggregate Amount in Row (9) Excludes Certain Shares []		
11	Percent of Class Represented by Amount in Row 9		
12	3.5%(1) Type of Reporting Person		
	00		

CUSIP No.: 89678F100		Page 4 of 13	
1	Name of Reporting Person I.R.S. Identification Nos. of Above Persons (Entities Only)		
2	Elbrook Holdings, LLC Check the Appropriate Box if a Member of a Group (a) [] (b) []		
3	SEC Use Only		
4	Citizenship or Place of Orga	nization	
Number of Shares	Delaware 5	Sole Voting Power	
Beneficially Owned by Each Reporting Person with	6	0 Shared Voting Power	
	7	1,005,644 Sole Dispositive Power	
	8	0 Shared Dispositive Power	
9	Aggregate Amount Beneficia	1,005,644 ally Owned by Each Reporting Person	
10	1,005,644 Check if the Aggregate Amount in Row (9) Excludes Certain Shares []		
11	Percent of Class Represented by Amount in Row 9		
12	3.5%(1) Type of Reporting Person		
	00		

CUSIP No.: 89678F100		Page 5 of 13	
1	Name of Reporting Person I.R.S. Identification Nos. of Above Persons (Entities Only)		
2	FSI Group, LLC Check the Appropriate Box if a Member of a Group (a) [] (b) []		
3	SEC Use Only		
4	Citizenship or Place of Orga	nization	
Number of Shares	Delaware 5	Sole Voting Power	
Beneficially Owned by Each	6	0 Shared Voting Power	
Reporting Person with	7	1,005,644 Sole Dispositive Power	
	8	0 Shared Dispositive Power	
9	Aggregate Amount Beneficia	1,005,644 ally Owned by Each Reporting Person	
10	1,005,644 Check if the Aggregate Amount in Row (9) Excludes Certain Shares []		
11	Percent of Class Represented by Amount in Row 9		
12	3.5%(1) Type of Reporting Person		
	00		

CUSIP No.: 89678F100		Page 6 of 13	Page 6 of 13		
1	Name of Reporting Person I.R.S. Identification Nos. of Above Persons (Entities Only)				
2	Steven N. Stein Check the Appropriate Box if a Member of a Group (a) [] (b) []				
3	SEC Use Only				
4	Citizenship or Place of Organization				
Number of Shares Beneficially Owned by Each Reporting Person with	United States of America 5	Sole Voting Power			
		0 Shared Voting Power			
	7	1,005,644 Sole Dispositive Power			
	8	0 Shared Dispositive Power			
9	1,005,644 Aggregate Amount Beneficially Owned by Each Reporting Person		son		
10	1,005,644 Check if the Aggregate Amount in Row (9) Excludes Certain Shares []				
11	Percent of Class Represented by Amount in Row 9				
12	3.5%(1) Type of Reporting Person				
	IN				

CUSIP No.: 89678F100		Pa	age 7 of	f 13		
1	Name of Reporting Person I.R.S. Identification Nos. of Above Persons (Entities Only)					
2	John M. Stein Check the Appropriate Box if a Member of a Group (a) [] (b) []					
3	SEC Use Only					
4	Citizenship or Place of Orga	nization				
Number of Shares	United States of America 5	Sole Voting Power				
Beneficially Owned by Each	6	0 Shared Voting Power	r			
Reporting Person with	7	1,005,644 Sole Dispositive Pow	ver			
	8	0 Shared Dispositive P	ower			
9	1,005,644 Aggregate Amount Beneficially Owned by Each Reporting Person					
10	1,005,644 Check if the Aggregate Amount in Row (9) Excludes Certain Shares []			[]		
11	Percent of Class Represented by Amount in Row 9					
12	3.5%(1) Type of Reporting Person					
	IN					

⁽¹⁾Based on 28,712,779 shares of common stock outstanding, as reported in the Issuer's Form 10-Q for the quarter ending September 30, 2014 filed with the Securities and Exchange Commission on October 31, 2014.

CUSIP	No.: 8	39678F100	Page 8 of 13
Item 1	(a)	Name of Issuer:	
		TriState Capital Holdings, Inc	c.
	(b)	Address of Issuer's Principal	Executive Offices:
		One Oxford Centre, 301 Gran Pittsburgh, Pennsylvania 152	
Item 2			
	(a)	Name of Person Filing:	
		1.	Financial Stocks Capital Partners V L.P.
		2.	Finstocks Capital Management V, LLC
		3.	Elbrook Holdings, LLC
		4.	FSI Group, LLC
		5.	Steven N. Stein
		6.	John M. Stein
	(b)	Address of Principal Busines	s Office or, if none, Residence:
		1.	1300 Carew Tower
			441 Vince Street Cincinnati, Ohio 45202
		2.	1300 Carew Tower 441 Vince Street
			Cincinnati, Ohio 45202
		3.	1300 Carew Tower
			441 Vince Street
			Cincinnati, Ohio 45202
		4.	1300 Carew Tower
			441 Vince Street Cincinnati, Ohio 45202

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		5.		1300 Carew Tower 441 Vince Street Cincinnati, Ohio 45202
		6.		1300 Carew Tower 441 Vince Street Cincinnati, Ohio 45202
((c)	Citizenship:		
		1.		Delaware
		2.		Delaware
		3.		Delaware
		4.		Delaware
		5.		United States of America
		6.		United States of America
((d)	Title of Class	of Securities:	
		Common stock	x; par value \$0.01 per	share
((e)	CUSIP Number	er:	
		89678F100		
Item 3	Check ap	opropriate box if this s	statement is filed purs	suant to Rules 13d-1(b) or 13d-2(b) or (c):
((a)		[] Broker or De	ealer registered under Section 15 of the Act;
((b)		[] Bank as defin	ned in section 3(a) (6) of the Act;
((c)		[] Insurance Co	ompany as defined in section 3(a)(19) of the Act;
((d)		[] Investment C Company Act of 1	Company registered under section 8 of the Investment 1940;
((e)		[] An investmen	nt adviser in accordance with Rule 13d-1(b)(1)(ii)(E);

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(f)	[] An employee benefit plan or endowment fund in accordance with Rule 13d-1(b)(1)(ii)(F);	
(g)	[] A parent holding company or control person in accordance with Rule $13d-1(b)(1)(ii)(G)$;	
(h) [] A savings association as defined in Section 3(b) of the Federal Dep Insurance Act;		
(i)	[] A church plan that is excluded from the definition of an investment company under Section 3(c)(14) of the Investment Company Act of 1940;	
(j)	[] A non-U.S. institution in accordance with Section 240.13d-1(b)(1) (ii)(J);	
(k)	[] Group, in accordance with Rule 13d-1(b)(1)(ii)(J).	
If filing as a non-U.S. institut institution:	ion in accordance with Section 240.13d-1(b)(1)(ii)(J), please specify the type of	
If this statement is filed pursu box.	ant to Rule 13d-1(c), check this	
Item 4 Ownership:	Amount Beneficially Owned:	
(a)		
.	1,005,644	
(b)	Percent of Class:	
	3.5%	
(c)	Number of shares as to which such person has:	
	(i) Sole power to vote or to direct the vote: 0	
	(ii) Shared power to vote or to direct the vote: 1,005,644	
	(iii) Sole power to dispose of or direct the disposition of: 0	
	(iv) Shared power to dispose or to direct the disposition of: 1,005,644	

Financial Stocks Capital Partners V L.P. is the record owner of the shares of the security being reported. Finstocks Capital Management V, LLC is the general partner of Financial Stocks Capital Partners V L.P. Finstocks Capital Management V, LLC is a subsidiary of Elbrook Holdings, LLC, which is in turn a subsidiary of FSI Group, LLC, a company controlled by Steven N. Stein and John M. Stein. Therefore, Finstocks Capital Management V, LLC,

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Elbrook Holdings, LLC, FSI Group, LLC, and Steven N. Stein and John M. Stein indirectly have the power to vote and dispose of the shares being reported, and, accordingly, may be deemed the beneficial owners of such shares. The foregoing should not be construed in and of itself as an admission by Finstocks Capital Management V, LLC, Elbrook Holdings, LLC, FSI Group, LLC, or Steven N. Stein or John M. Stein as to the beneficial ownership of the shares owned by Financial Stocks Capital Partners V L.P. A Joint Filing Agreement is attached hereto as Exhibit 1.

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Item 5. Ownership of Five Percent or Less of a Class:

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following [X].

Item 6. Ownership of More than Five Percent on Behalf of Another Person:

Not Applicable.

Item 7. Identification and Classification of the Subsidiary which Acquired the Security Being Reported on By the Parent Holding Company:

Not Applicable.

Item 8. Identification and Classification of Members of the Group:

Not Applicable.

Item 9. Notice of Dissolution of Group:

Not Applicable.

Item 10. Certification:

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

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SIGNATURES

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: February 10, 2015 FINANCIAL STOCKS CAPITAL PARTNERS V L.P.

By: Finstocks Capital Management V, LLC

General Partner

By: /s/ John M. Stein

John M. Stein President

FINSTOCKS CAPITAL MANAGEMENT V, LLC

By: /s/ John M. Stein

John M. Stein President

ELBROOK HOLDINGS, LLC

By: /s/ John M. Stein

John M. Stein President

FSI GROUP, LLC

By: /s/ John M. Stein

John M. Stein President

/s/ Steven M. Stein STEVEN M. STEIN

/s/ John M. Stein JOHN M. STEIN CUSIP No.: 89678F100 Page 13 of 13

EXHIBIT 1

JOINT FILING AGREEMENT

The undersigned hereby agree that the Statement on Schedule 13G filed herewith is being filed jointly with the Securities and Exchange Commission pursuant to Rule 13d-1(k)(1)(iii) promulgated pursuant to the Securities Exchange Act of 1934, as amended, on behalf of each such person.

Date: February 10, 2015 FINANCIAL STOCKS CAPITAL PARTNERS V L.P.

By: Finstocks Capital Management V, LLC

General Partner

By: /s/ John M. Stein

John M. Stein President

FINSTOCKS CAPITAL MANAGEMENT V, LLC

By: /s/ John M. Stein

John M. Stein President

ELBROOK HOLDINGS, LLC

By: /s/ John M. Stein

John M. Stein President

FSI GROUP, LLC

By: /s/ John M. Stein

John M. Stein President

/s/ Steven M. Stein STEVEN M. STEIN

/s/ John M. Stein JOHN M. STEIN